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Particle Physics Seminar  
University of Bristol, 8 February 2012

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# Searching for Lepton Flavour Violation

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Motivating LFV

Mini-review of searches on LFV  $\mu$  decays

Mini-review of searches on LFV  $\tau$  decays

Intro to LFV phenomenology

Activities at the LHC

Outlook

# *Motivating LFV*

**Clear signature of New Physics !**

# Lepton number(s) and conservation law(s)

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- **1953: introduction of the lepton number  $L$  by Konopinski and Mahmoud**
- **Lepton number conservation verified empirically**
- **Pontecorvo later introduced (1959) a separate lepton number for muons**  
 **$\Rightarrow L_e$  and  $L_\mu$**
- **Separate conservation of  $L_e$  and  $L_\mu$  observed experimentally to high precision:**  
**- e.g. no observation of**

$$\begin{array}{l} \mu \rightarrow e \gamma , 3e \\ \tau \rightarrow 3\mu , e \gamma \end{array}$$

**But ...**

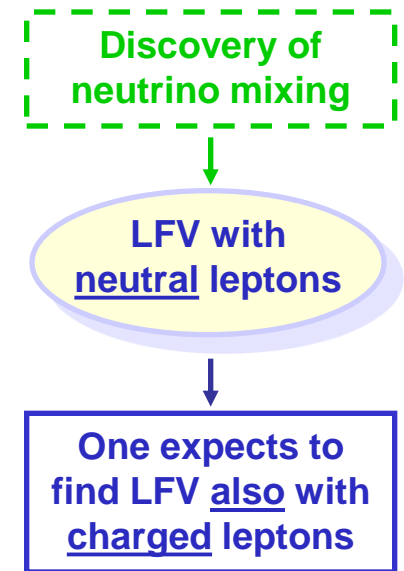
# Why so much enthusiasm for LFV?

## Experimental motivation:

- ❑ LFV: a reality in neutral leptons sector – neutrinos oscillate !

## Theoretical motivations:

- ❑ Lepton number conservation verified experimentally to very high precision but is not a consequence of a known gauge symmetry
- ❑ LFV in the charged sector will mean New Physics (NP) !
- ❑ Almost any model beyond the SM (BSM) predicts LFV. Some models allow branching fractions for certain  $\tau$  decays at the  $\sim 10^{-7}$  level, already reachable(ed) experimentally
- ❑ Baryogenesis via Leptogenesis



**What is the missing link among all this? Neutrinos !**

**⇒ Increasing interest in LFV stimulated by great progress in neutrino physics in the last decade**



## Non-exhaustive list of models :

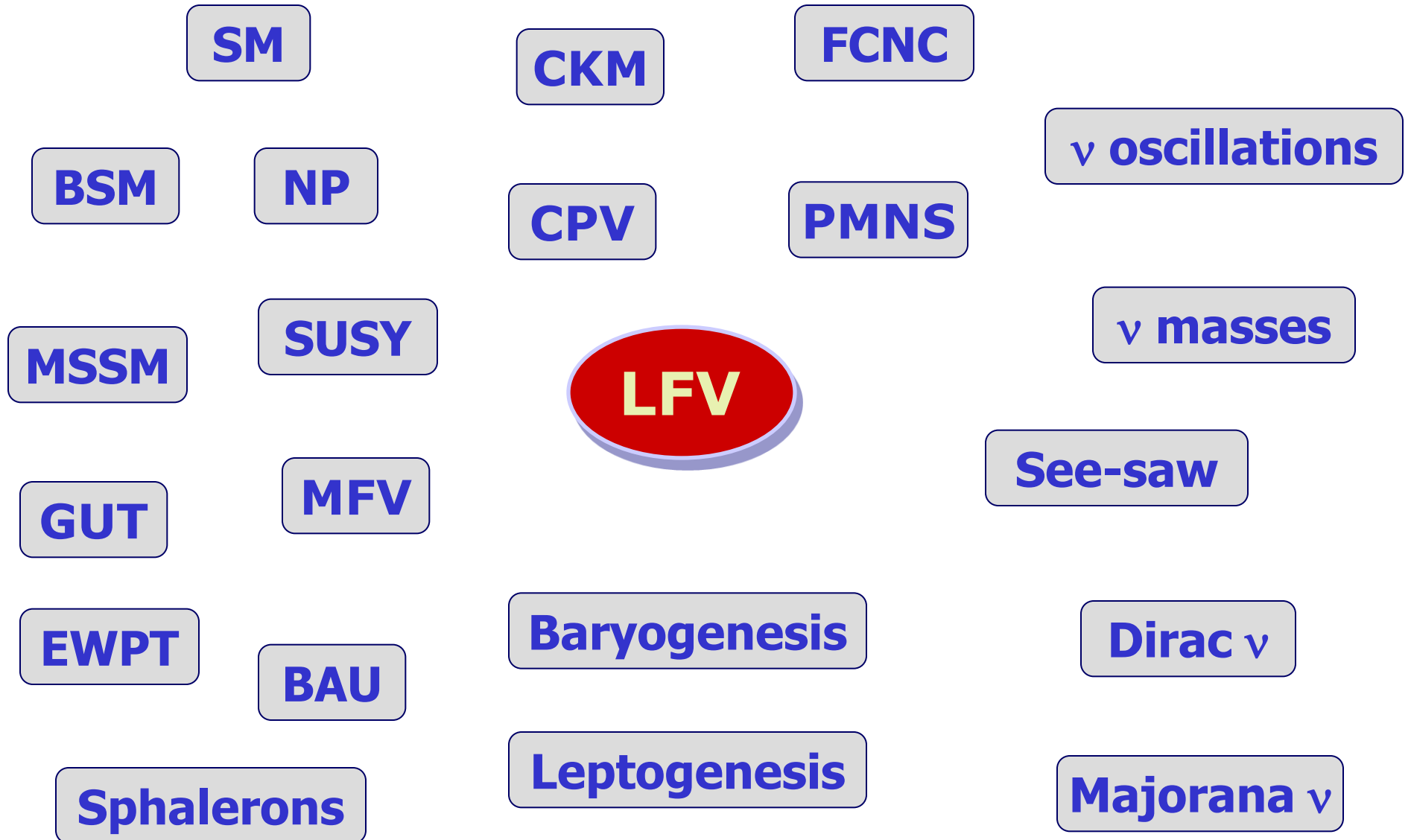
- ❑ MSSM
- ❑ CMSSM (constrained MSSM) see-saw models
- ❑  $\nu$ MSM (SM + 3 singlet neutrinos)
- ❑ SUSY models
- ❑ R-parity violating Supersymmetry (SUSY)
- ❑ mSUGRA + see-saw
- ❑ 2HDM (two-Higgs doublet models)
- ❑ Left-right symmetric models
- ❑ Models with heavy Dirac or Majorana neutrinos
- ❑ Topcolour models
- ❑ ...

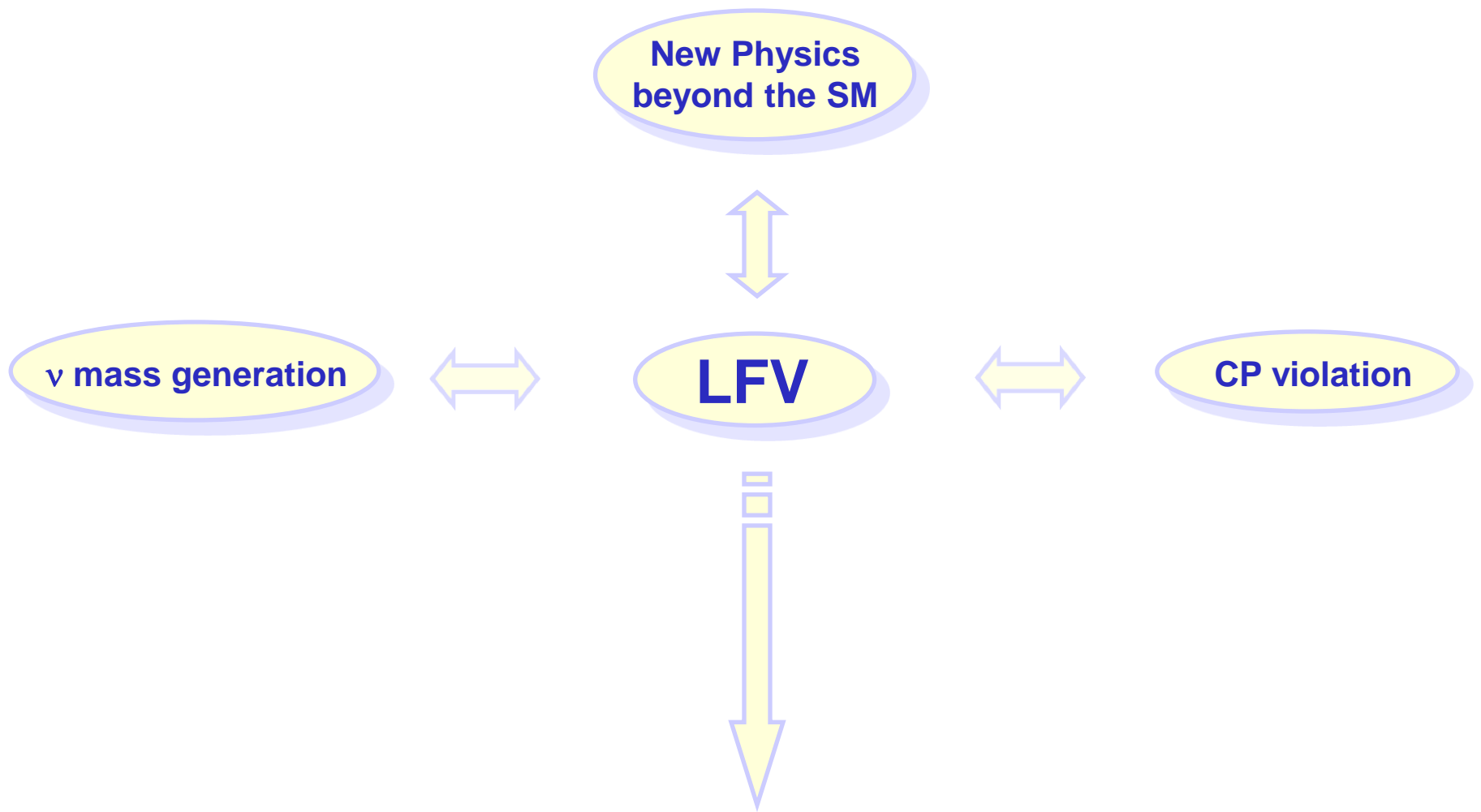
**LFV is always “around the corner” in these models ...**

**... particularly “large effects” in SUSY GUTs**

# Disclaimer: LFV relates to many topics! (Not all for this talk ;-))

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**New Physics  
beyond the SM**

**ν mass generation**

**LFV**

**CP violation**

**Clear signature of New Physics !**

# The big picture beyond HEP – my schematic summary !

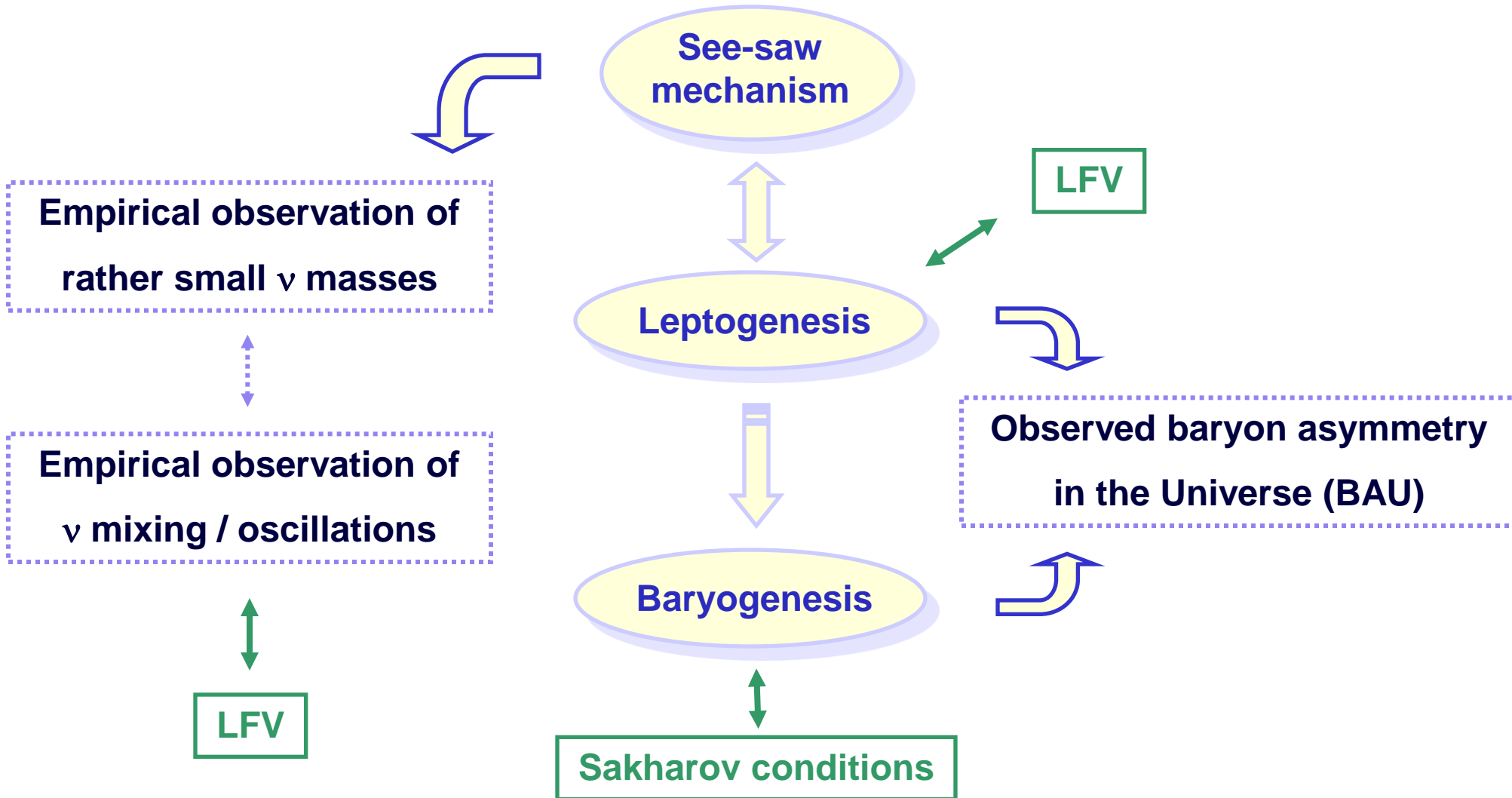
Experiment



Theory



Experiment





***At this stage you should be more than convinced about the justified interest in LFV***

# How to measure LFV or constrain/test predictions?

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- ❑ Most if not all BSM models predict LFV effects
- ❑ They should be visible in decays of muons and tau leptons
  - which decays are dominant? (i.e. enhanced)
- ❑ Important to probe “at the various fronts”
- ❑ Certain observables may help choosing or ruling out models
  
- ❑ LFV has been searched for in decays of:
  - muons, taus
  - $\pi^0$ 's, kaons,  $J/\Psi$ 's,  $\Upsilon$ 's, D's, B's,
  - Z bosons
  - etc.

# Disclaimer: LFV & LNV topics not covered

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## LFV & LNV with kaons :

- ❑ Searches performed by NA62 @ CERN
  - See e.g. [arXiv:1105.5957 \[hep-ex\]](https://arxiv.org/abs/1105.5957)

## LFV @ HERA:

- ❑ Searches for leptoquarks in ep collisions:  $e p \rightarrow \mu X, \tau X$ 
  - See e.g. [arXiv:1108.1134 \[hep-ex\]](https://arxiv.org/abs/1108.1134) for a review

## LFV searches with quarkonia :

- ❑ See back-up slides for example results from BES II & CLEO

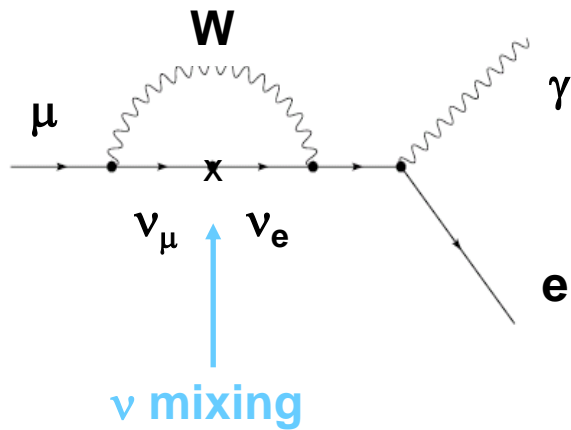
## LNV :

- ❑ Searches by Belle, e.g.  $B^+ \rightarrow D^- l^+ l'^+$  ([arXiv:1107.0642 \[hep-ex\]](https://arxiv.org/abs/1107.0642))
  - Sensitivities at the level of  $10^{-6}$

# ***LFV $\mu$ decays***

## ***A mini-review***

# LFV $\mu$ processes

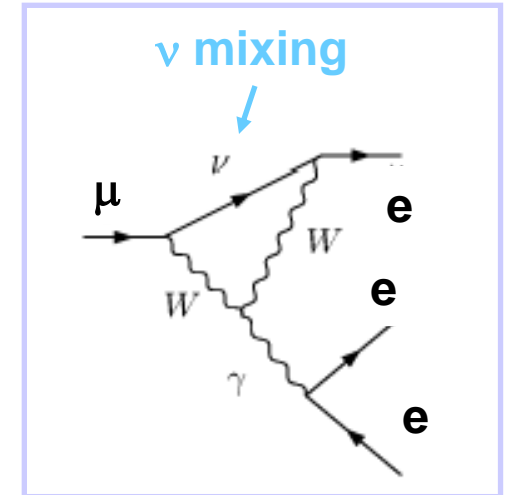


$$\mu \rightarrow e \gamma$$

$$\mu \rightarrow e \gamma \gamma$$

$$\mu \rightarrow e e e$$

$$\mu \rightarrow e \text{ conversion in nuclei}$$



- Other measurements looking for NP with muons involve: muonium  $\mu^+e^- \rightarrow$  anti-muonium  $\mu^-e^+$  conversion and the g-2 anomalous moment of the  $\mu$

# $\mu \rightarrow e \gamma$ : theoretical aspects (1/2)

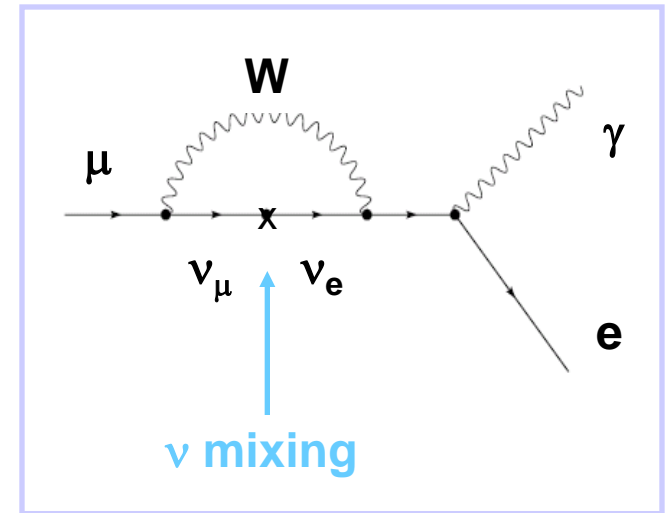
## Interlude: what is the “SM” prediction?

- Let's extend the SM simply allowing for non-zero neutrino masses, and neutrino mixing
- LFV is then allowed via loop diagrams

## Decay probability:

$$\Gamma(\mu \rightarrow e \gamma) \approx \frac{G_F^2 m_\mu^5}{192 \pi^3} \left( \frac{\alpha}{2\pi} \right) \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2}{M_W^2} \right)$$

$\underbrace{\hspace{1.5cm}}_{\Gamma(\mu \rightarrow e \nu \bar{\nu})}$   $\underbrace{\hspace{1.5cm}}_{\gamma\text{-vertex}}$   $\underbrace{\hspace{3.5cm}}_{\nu \text{ oscillation term}}$



In practice, the probability of such a decay is infinitesimal,  $10^{-54} - 10^{-40}$  !

## $\mu \rightarrow e \gamma$ : theoretical aspects (2/2)

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- Expected to have high sensitivity to SUSY GUTs compared to other LFV processes such as  $\mu \rightarrow 3e$ ,  $\mu \rightarrow e$  conversion in nuclei,  $\tau \rightarrow e \gamma$  decays
- Predicted to be the highest BF LFV  $\mu$  decay by many SUSY models
- Large BF e.g. in SUSY SU(5), SO(10) models or models with right-handed neutrinos

- The angular distribution of the electron w.r.t the muon spin direction is of the form

$$1 + A_{\mu \rightarrow e \gamma} P_{\mu} \cos \theta$$

⇒ value of  $A$  may distinguish among models

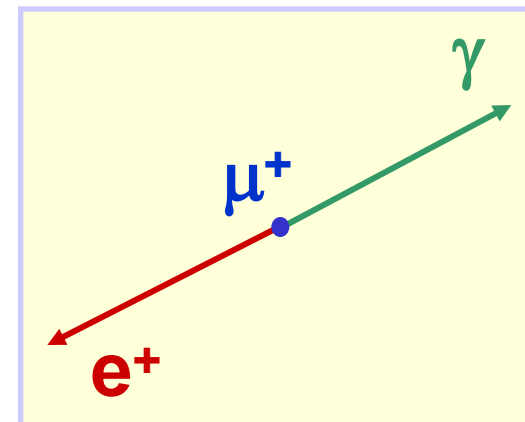
# $\mu \rightarrow e \gamma$ : experimental aspects

## Experimental signature :

- ✓  $\mu$  beam  $\Rightarrow \mu$ 's stopped  
 $\Rightarrow$  a 52.8 MeV  $\gamma$  and a 52.8 MeV  $e$ , aligned back-to-back, in time coincidence, with common origin

## Main background :

- ✓ Random coincidences between  $e$  from  $\mu \rightarrow e \nu \nu$  and high-energy photon from  $\mu \rightarrow e \nu \nu \gamma$
- ✓ Radiative muon decay  $\mu \rightarrow e \nu \nu \gamma$  (bkg. source  $\sim 1/10$  the previous)



## Old experiments :

- SIN (“ex-PSI”), TRIUMF, Crystal Box @ Los Alamos National Lab.
- MEGA experiment @ Los Alamos Meson Physics Facility (data in 1985-95)

## Ongoing experiment :

- MEG experiment @ PSI (data taking: 12/09/2008 - )

## Future experiments :

- Maybe a future proposal @ J-PARC ?



# New Limit for the Lepton-Family-Number Nonconserving Decay $\mu^+ \rightarrow e^+ \gamma$

(MEGA Collaboration)

An experiment has been performed to search for the muon- and electron-number nonconserving decay  $\mu^+ \rightarrow e^+ \gamma$ . The upper limit for the branching ratio is found to be  $\Gamma(\mu^+ \rightarrow e^+ \gamma)/\Gamma(\mu^+ \rightarrow e^+ \nu \bar{\nu}) < 1.2 \times 10^{-11}$  with 90% confidence.

$$\frac{\Gamma(\mu \rightarrow e \gamma)}{\Gamma(\mu \rightarrow e \nu \bar{\nu})} \leq \frac{5.1}{N_\mu} = 1.2 \times 10^{-11} \text{ (90\% C.L.)}$$

The data for this experiment have been taken in three calendar years, 1993–1995. The full data set is based on  $1.2 \times 10^{14}$  muon stops collected over  $8 \times 10^6$  s of live time and results in  $4.5 \times 10^8$  events on magnetic tape.

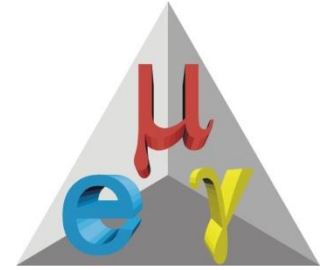
The acceptance of the apparatus—which includes geometrical, trigger, and pattern recognition constraints—is obtained by simulating  $1.2 \times 10^7$  unpolarized  $\mu^+ \rightarrow e^+ \gamma$  decays and finding that  $5.2 \times 10^4$  events survive processing by the same codes used for the data analysis. Thus the probability that a  $\mu^+ \rightarrow e^+ \gamma$  decay would be detected is  $4.3 \times 10^{-3}$ . This value is reduced by 9% for the inefficiency of manual scanning. The acceptance is further reduced by 20% to account for inadequacies in the MC simulation that overestimate the acceptance.

**MEGA Collaboration,**  
**M. L. Brooks *et al.*, Phys. Rev. Lett. 83, 1521 (1999)**  
**arXiv:hep-ex/9905013v1**

# $\mu^+ \rightarrow e^+ \gamma$ : the MEG experiment @ PSI

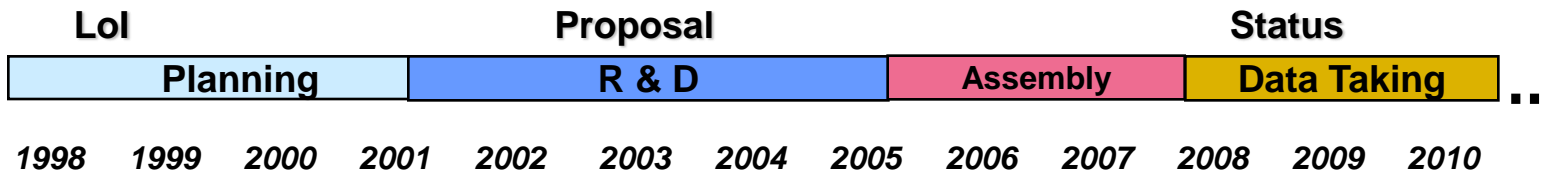
## Goal :

- Achieve a single-event sensitivity 2 orders of magnitude lower than that obtained by the MEGA experiment  
⇒ **BF sensitivity down to  $10^{-13}$  ! (in 3 years of data)**



<http://meg.web.psi.ch>

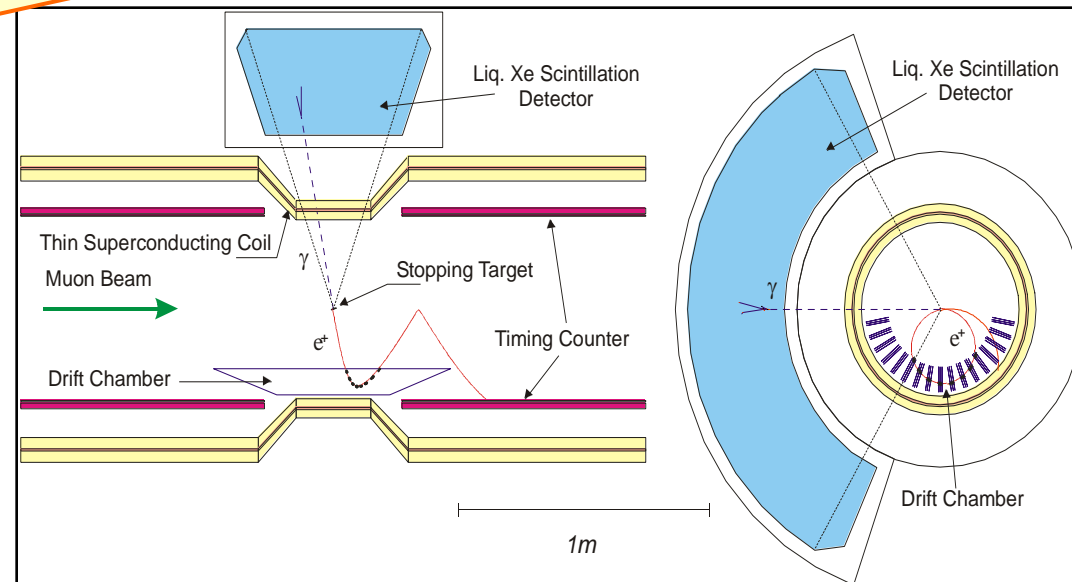
## Status :



Taking data since  
12 Sep. 2008 !

## Source of $\mu$ 's :

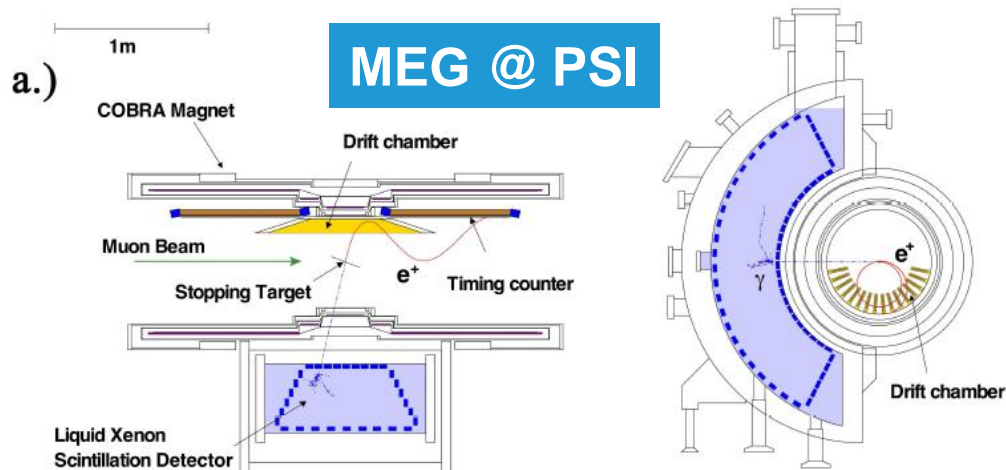
- Stopped beam of  $3 \times 10^7$   $\mu$ 's/sec in a thin polyethylene target



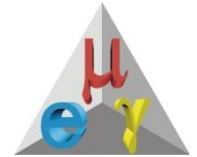
# A limit for the $\mu \rightarrow e\gamma$ decay from the MEG experiment

(MEG Collaboration)

A search for the decay  $\mu^+ \rightarrow e^+\gamma$ , performed at PSI and based on data from the initial three months of operation of the MEG experiment, yields an upper limit on the branching ratio of  $\text{BR}(\mu^+ \rightarrow e^+\gamma) \leq 2.8 \times 10^{-11}$  (90% C.L.). This corresponds to the measurement of positrons and photons from  $\sim 10^{14}$  stopped  $\mu^+$ -decays by means of a superconducting positron spectrometer and a 900 litre liquid xenon photon detector.



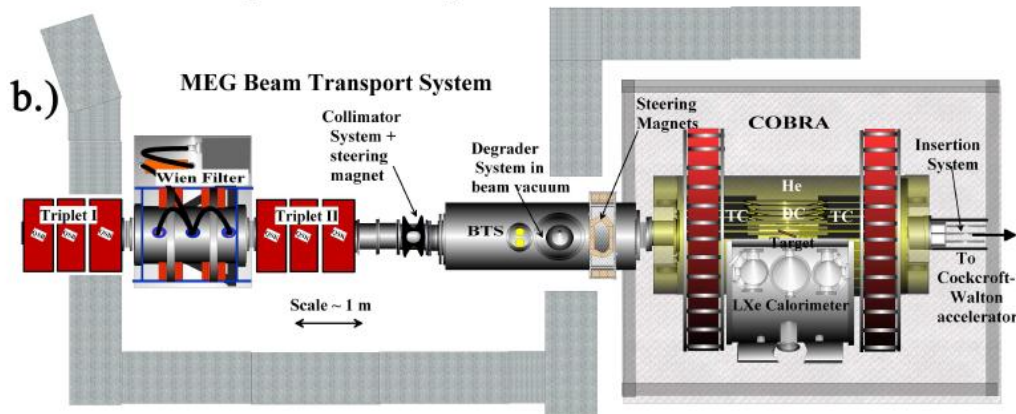
Taking data since  
12 Sep. 2008 !



<http://meg.web.psi.ch>

Result from the 1<sup>st</sup> 3 months of  
data taking in 2008

$$\text{BR}(\mu^+ \rightarrow e^+\gamma) \leq 2.8 \times 10^{-11} \quad (90\% \text{ C.L.})$$



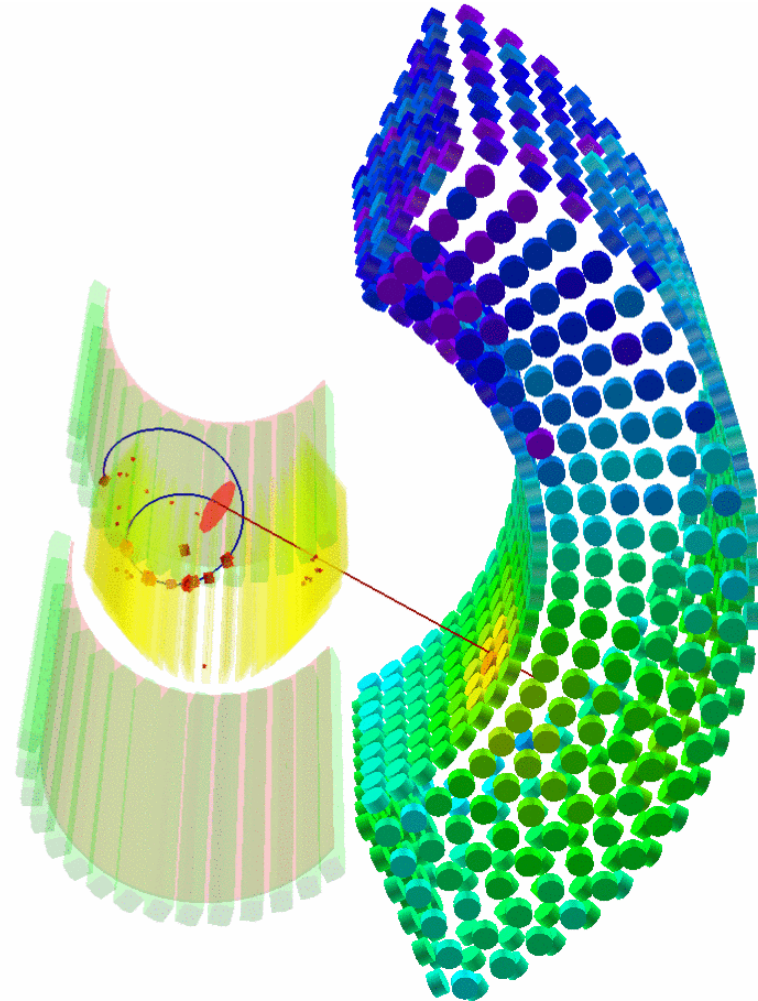
Goal:  
BF sensitivity down to  $10^{-13}$  ! (in 3 years of data)

MEG Collaboration,  
Nucl. Phys. B834, 1-12 (2010)

# New Limit on the Lepton-Flavor-Violating Decay $\mu^+ \rightarrow e^+ \gamma$

(MEG Collaboration)

- Reconstructed candidate event  
- run 77431, event 1715
- The 900 litre C-shaped liquid Xenon detector with its 846 photo-multipliers (PMTs), used to detect the light from the interacting photon, is shown on the right. The colour of each PMT reflects the amount of light it has detected (blue=low, orange=high), while the total amount of summed light reflects the energy of the incident photon.
- The curved track on the left comes from a positron curling-up in the magnetic spectrometer which measures its energy and time using a set of central tracking chambers (yellow) and scintillation counter bars (green) respectively.



# New Limit on the Lepton-Flavor-Violating Decay $\mu^+ \rightarrow e^+ \gamma$

(MEG Collaboration)

□ Sample =  $1.8 \times 10^{14}$   $\mu$  decays !

## Likelihood (blinded) analysis

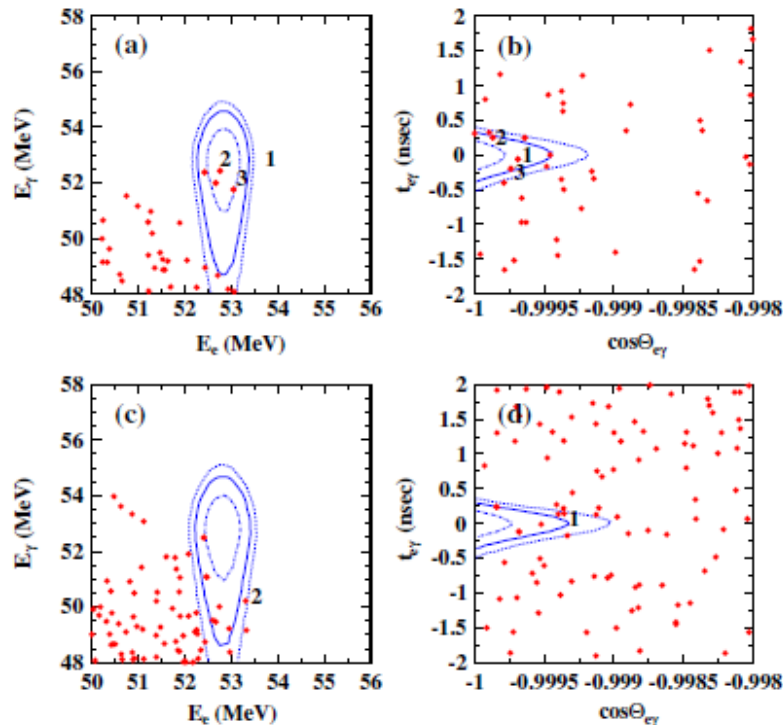


FIG. 1 (color online). Event distributions in the analysis region of (a)  $E_\gamma$  vs  $E_e$  and (b)  $t_{e\gamma}$  vs  $\cos\Theta_{e\gamma}$  for 2009 data and of (c)  $E_\gamma$  vs  $E_e$  and (d)  $t_{e\gamma}$  vs  $\cos\Theta_{e\gamma}$  for 2010 data. The contours of the PDFs ( $1\sigma$ ,  $1.64\sigma$ , and  $2\sigma$ ) are shown, and a few events with the highest signal likelihood are numbered for each year. [The two highest signal likelihood events in 2010 data appear only in (c) or (d).]

TABLE I. Best fit ( $\mathcal{B}_{\text{fit}}$ ), lower limits (LL), and upper limits (UL) at the 90% C.L. of the branching ratio for the 2009, 2010, and combined 2009 and 2010 data sets.

Data set	$\mathcal{B}_{\text{fit}}$	LL	UL
2009	$3.2 \times 10^{-12}$	$1.7 \times 10^{-13}$	$9.6 \times 10^{-12}$
2010	$-9.9 \times 10^{-13}$	...	$1.7 \times 10^{-12}$
2009 and 2010	$-1.5 \times 10^{-13}$	...	$2.4 \times 10^{-12}$

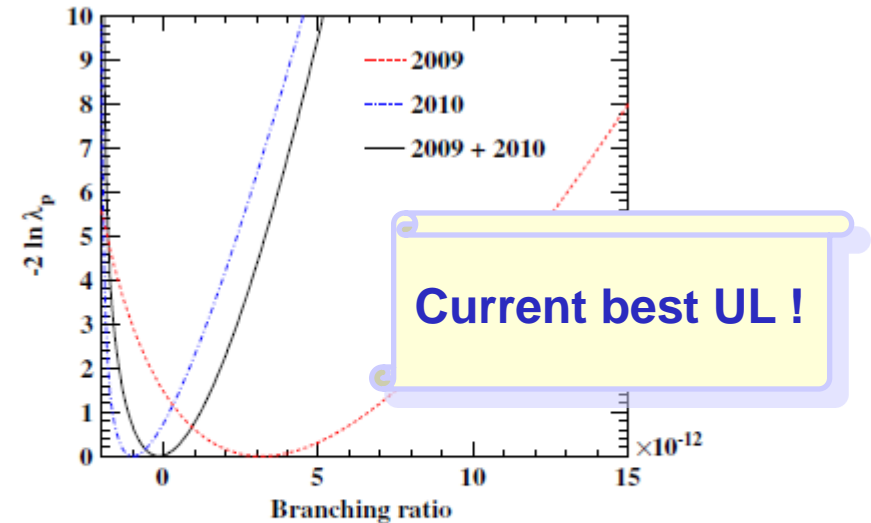


FIG. 2 (color online). Profile likelihood ratios as a function of the  $\mu^+ \rightarrow e^+ \gamma$  branching ratio for 2009, 2010, and the combined 2009 and 2010 data sample.

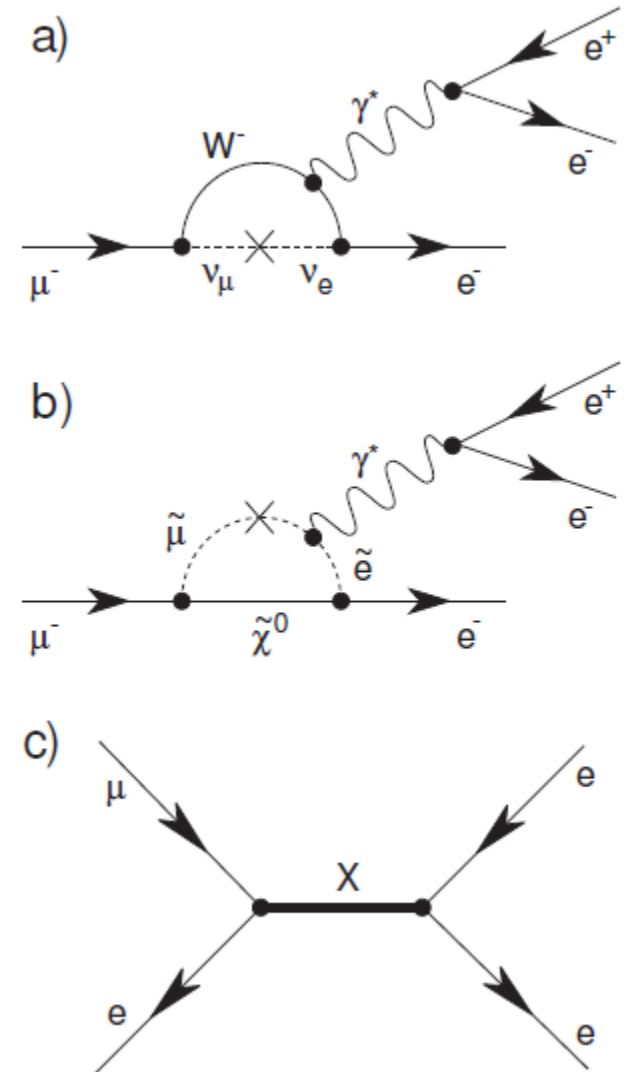
# $\mu \rightarrow 3e$ : theoretical aspects

## Types of contributing diagrams :

- Via neutrino oscillation
- In processes involving a SUSY particle
- Tree-level exchange of a (new) heavy particle

$\mu \rightarrow 3e$  vs  $\mu \rightarrow e \gamma$  :

- Under reasonable (NP) assumptions,  
 $\text{BF}(\mu \rightarrow 3e) / \text{BF}(\mu \rightarrow e \gamma) \approx 10^{-2}$   
 $\Rightarrow$  competitive LFV limits from  $\text{BF}(\mu \rightarrow 3e)$   
need be 2 orders of magnitude smaller  
than best limit from  $\mu \rightarrow e \gamma$  !



(taken from: N. Berger, arXiv:1110.1504 [hep-ex])

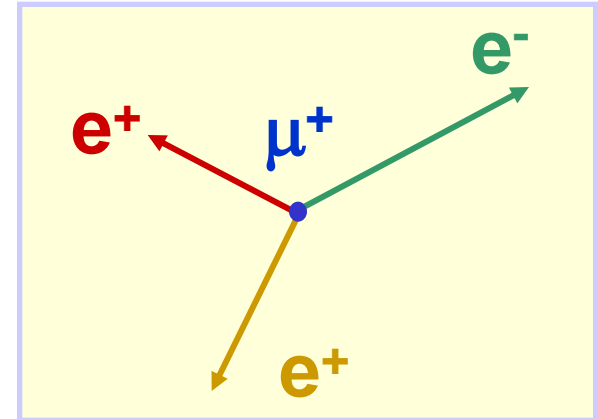
# $\mu \rightarrow 3e$ : experimental aspects

## Main background :

- ✓ Accidental backgrounds
- ✓ Decay  $\mu \rightarrow e e e \nu \nu$  (internal conversion)

- ❑ Best current upper limit:

$$\text{BF} (\mu \rightarrow 3e) < 1.0 \times 10^{-12} \text{ @ 90\% C.L.}$$

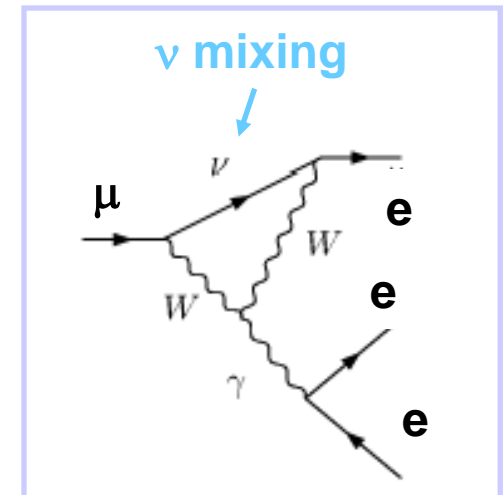


## Old experiments :

- ❑ Crystal Box @ Los Alamos Meson Physics Facility
- ❑ SINDRUM @ SIN (became PSI afterwards)  
- data taking: 1983 - 86

## Future experiments :

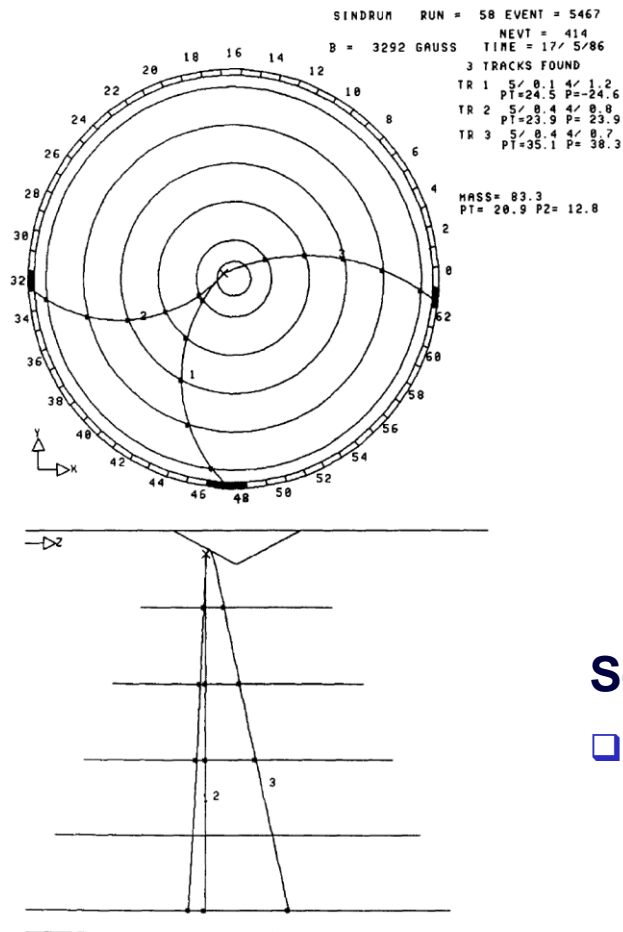
- ❑ New proposal @ PSI (see in a few slides)
- ❑ Maybe a future proposal @ J-PARC ?



# SEARCH FOR THE DECAY $\mu^+ \rightarrow e^+ e^+ e^-$

## SINDRUM Collaboration

The search for the decay  $\mu^+ \rightarrow e^+ e^+ e^-$  with the SINDRUM spectrometer has been continued. The result is a new upper limit for the branching ratio  $B_{\mu \rightarrow 3e} = \Gamma(\mu \rightarrow 3e)/\Gamma(\mu \rightarrow e2\nu) < 1.0 \times 10^{-12}$  (90% CL).



Result from full data sample: 1983 - 86

$$B_{\mu \rightarrow 3e} < 1.0 \times 10^{-12} \text{ (90\% CL)}$$

No prompt events are seen in a region containing 95% of the simulated  $\mu \rightarrow 3e$  events. The upper limit for the branching ratio of the decay was calculated using

$$B_{\mu \rightarrow 3e} < \frac{2.3}{N_{\mu \rightarrow 3e2\nu}} \cdot \frac{\epsilon_{\mu \rightarrow 3e2\nu}}{\epsilon_{\mu \rightarrow 3e}} \cdot B_{\mu \rightarrow 3e2\nu} \text{ (90\% CL)}.$$

Source of  $\mu^+$ 's :

- $\mu^+$  beam of 28 MeV stopped at rate of  $\sim 5 \times 10^6 \mu^+/\text{sec}$  (1986 run)

SINDRUM Collaboration,  
 U. Bellgardt *et al.*, Nucl. Phys. B299, 1-6 (1988)

Fig. 1. A reconstructed event shown in the  $r-\phi$  and  $r-z$  projections.



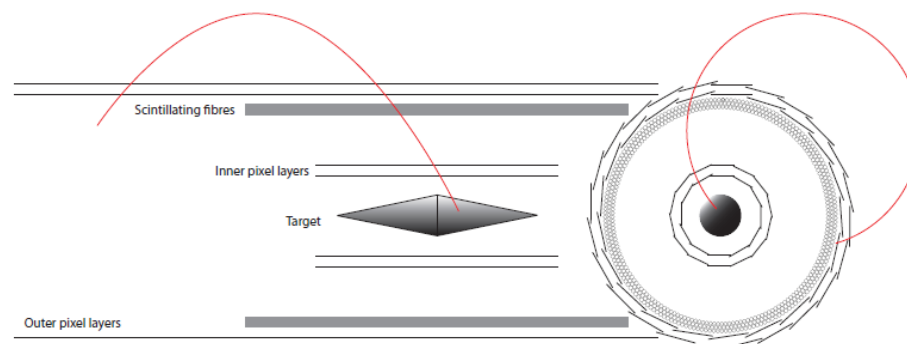
# $\mu \rightarrow 3e$ : proposal for a new experiment @ PSI

## Goal :

- Reach a sensitivity 4 orders of magnitude lower than previous experiments !  
⇒ **B.F. sensitivity down to  $10^{-16}$  !**

## Source of $\mu$ 's :

- Stopped beam of  $\sim 10^9$   $\mu$ 's/sec on target  
⇒ need upgraded beam-line



## Backgrounds and technologies:

- Accidental backgrounds : excellent vertex and timing resolutions  
 $\mu \rightarrow e e e \nu \nu$  : missing momentum  $\Rightarrow$  precise track reconstruction
- Precise tracking at high rates  $\leftrightarrow$  thin HV monolithic active pixel sensors  
High-resolution time measurements  $\leftrightarrow$  scintillating fibres
- More details at A. Schöning's talk at the 2011 CHIPP Annual Plenary Meeting:  
<https://indico.cern.ch/contributionDisplay.py?contribId=26&confId=136534>

# $\mu \rightarrow e$ conversion in nuclei

## Process :

$\mu$ -e conversion in the Coulomb field of the nucleus



## Signature :

Single isolated electron

## Results:

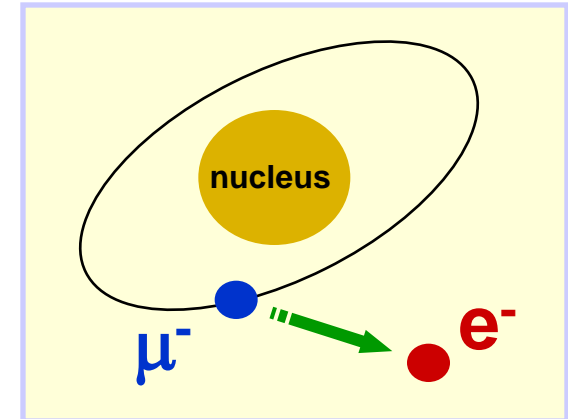
- ❑ Best upper limit on conversion probability uses Ti:  
 $P(\mu \rightarrow e, \text{Ti}) < 4.2 \times 10^{-12}$
- ❑ Several target nuclei used: mainly Au, Ti, Pb

## Old experiments :

- ❑ SINDRUM II experiment @ PSI (data in 1987-2000)

## Future experiments :

- ❑ Mu2e experiment @ Fermilab
- ❑ COMET & PRIME experiments @ J-PARC  
 $\Rightarrow$  to probe conversion rate down to  $10^{-18}$  !



- ❑ The Z-dependence of the  $\mu$ -e conversion processes may allow different LFV scenarios to be distinguished

# Search for $\mu^- \rightarrow e^-$ Conversion with SINDRUM II

## The SINDRUM II collaboration

**ABSTRACT:** A status report is given on an experiment started in 1987 at PSI to search for the lepton flavour violating muon to electron conversion process. The motivation for this experiment, the design and performance of the detector (SINDRUM II), and results of a first run are presented.

No candidate of the process  $\mu^- \text{Ti} \rightarrow e^- \text{Ti}$  was found, and, using two independent determinations of the muon stop rate, an upper limit for the branching ratio relative to muon capture  $B_{\mu e} < 4.4 \cdot 10^{-12}$  (90% C.L.) was obtained. This result confirms the current best value for the upper limit found at TRIUMF ( $4.6 \cdot 10^{-12}$ ).

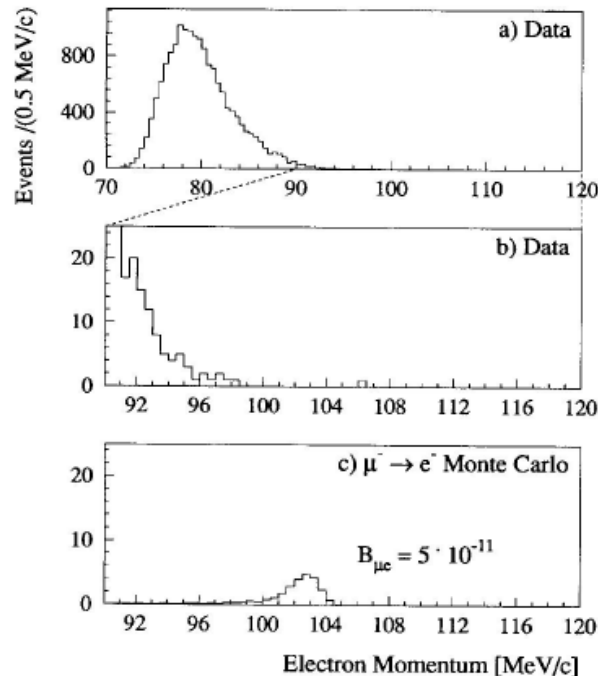


Figure 4: a) Electron momentum spectrum of muon induced events, b) Expanded view of the momentum spectrum in the range 90 MeV/c to 120 MeV/c, c) Spectrum of a Monte Carlo simulation for  $\mu^- \rightarrow e^-$  conversion, assuming a branching ratio of  $B_{\mu e} = 5 \times 10^{-11}$ .

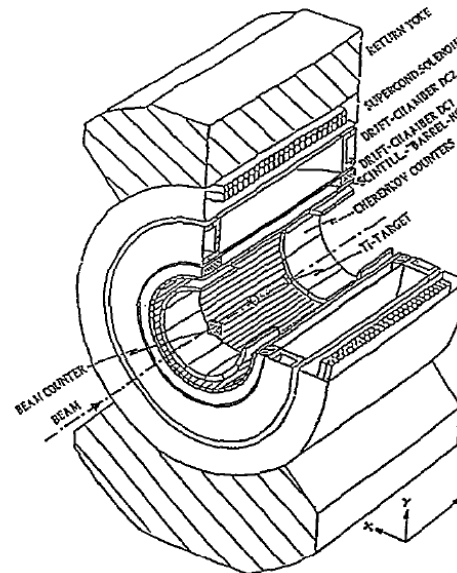


Figure 1: Cut-away view of the superconducting magnet containing the detector (not to scale). The forward and backward mirror plates are not shown.

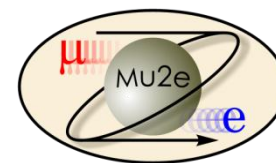
<http://sindrum2.web.psi.ch/>

**SINDRUM II Collaboration,**  
A. Badertscher *et al.*, Nucl. Part. Phys. 17, S47 (1991)

# $\mu \rightarrow e$ : the Mu2e experiment @ Fermilab

## Goal :

- ❑ Achieve a sensitivity down to a few  $10^{-17}$  !



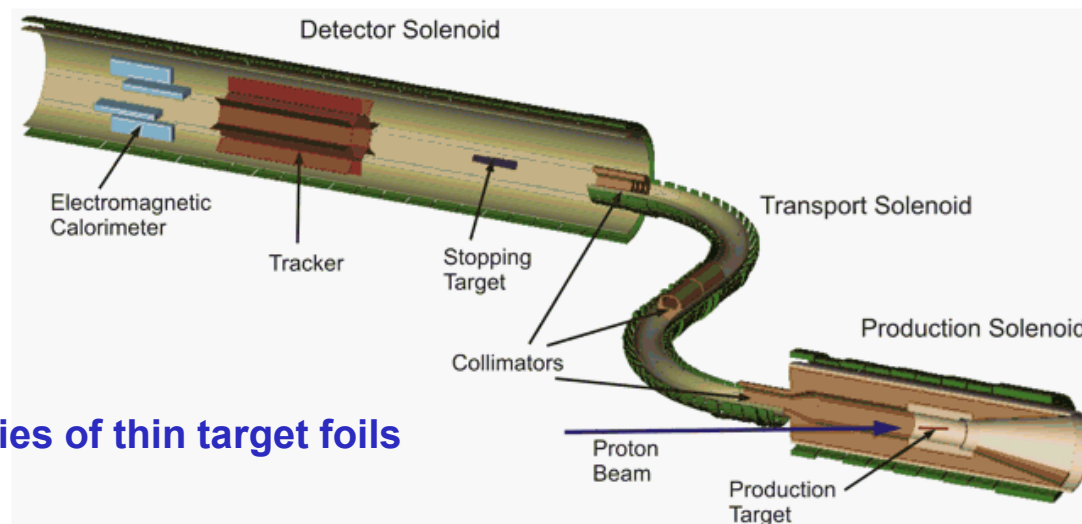
## Planning :

- ❑ Construction to start ~ 2013
- ❑ Data taking to start ~ 2017

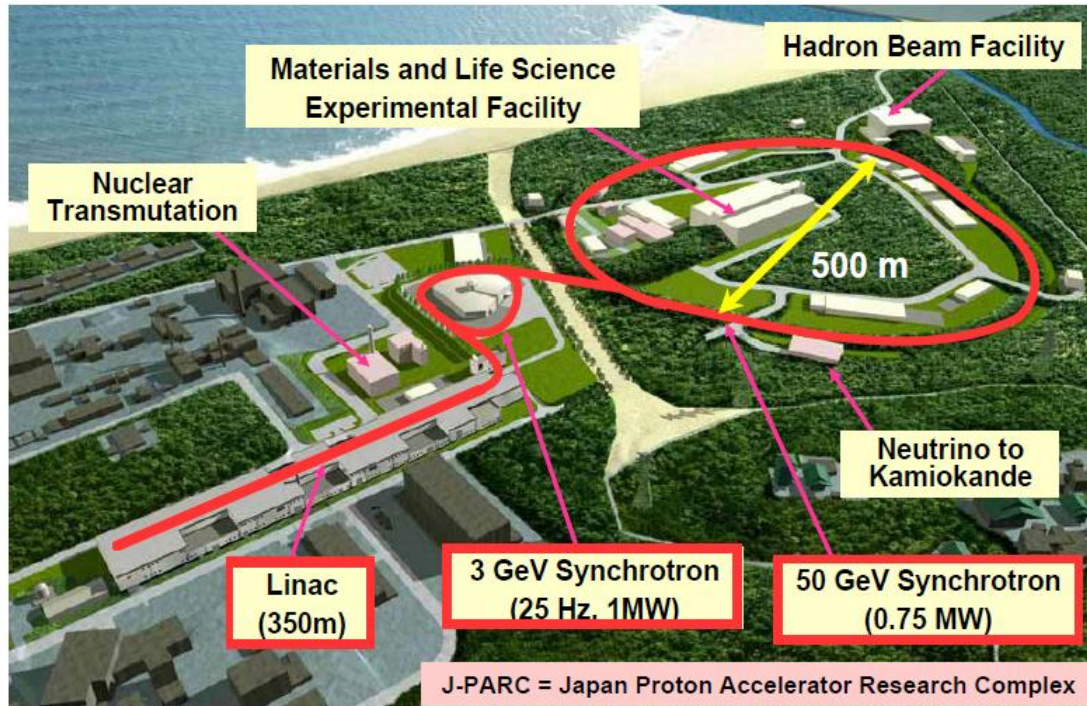
<http://mu2e.fnal.gov/>

## Exp. set up :

- ❑ Beam of slow  $\mu$ 's stopped in a series of thin target foils
- ❑  $\mu$ 's captured into atomic orbits
- ❑ Standard  $\mu$  decays in orbit  $\Rightarrow$  electron continuous energy spectrum
- ❑  $\mu$  conversion to electron  $\Rightarrow$  mono-energetic electron with energy=end-point energy of continuous spectrum



# Intermezzo – J-PARC



## Facilities :

- Both nuclear physics and HEP, etc.
- MW proton source foreseen
- Intense  $\mu$  source
- In construction ...

28 Jan. 2008

## HEP program - examples :

- $\mu - e$  conversion experiment
- Long baseline  $\nu$  oscillations experiment
- Extremely rare K decays
- Etc.



# $\mu \rightarrow e$ : the COMET/PRIME experiment @ J-PARC

## Goal :

- ❑ Achieve a sensitivity down to  $10^{-16}$  (COMET) and then  $10^{-18}$  with an upgrade (PRISM/PRIME) !

## Planning :

- ❑ Engineering run in 2016 (for 1 year)
- ❑ Data taking in 2017

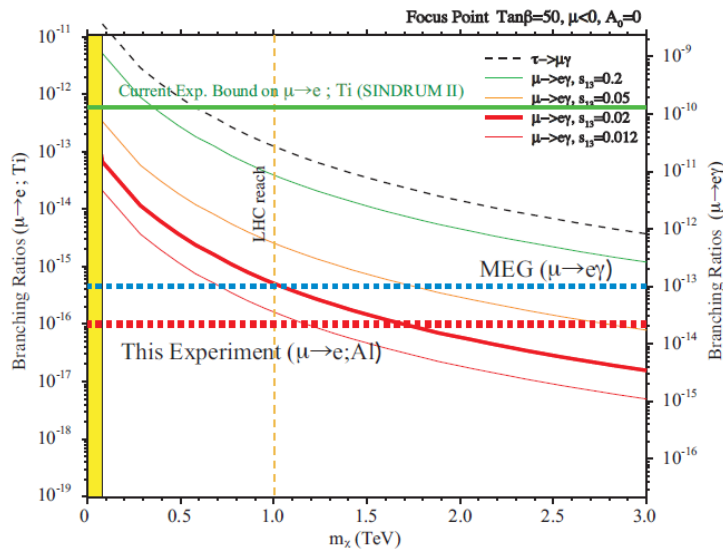


Figure 1.7: Prediction of the branching ratio of  $\mu^- - e^-$  conversion in Ti in the SUSY-Seesaw models as a function of SUSY mass scale (neutralino). The sensitivity of the proposed experiment is also shown.

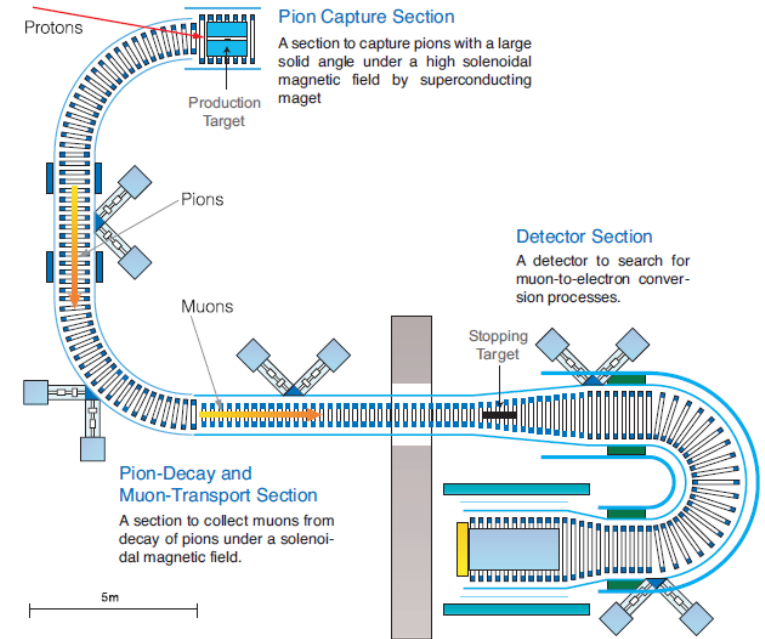
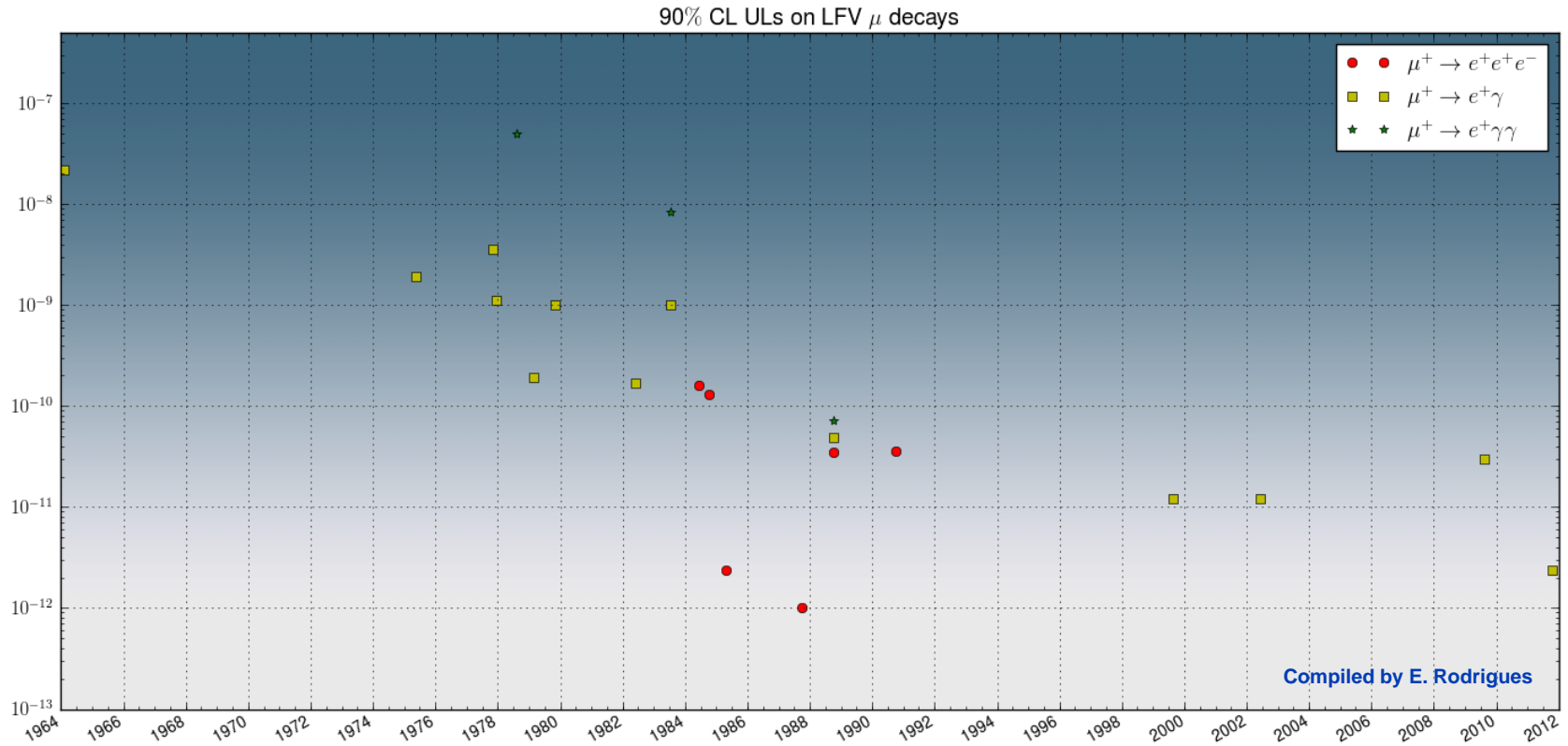


Figure 3.1: Schematic layout of the muon beamline and detector for the proposed search for  $\mu^- - e^-$  conversion, the COMET experiment.

**Note:** COMET/PRISM results will effectively probe  $BF(\mu \rightarrow e \gamma)$  in SUSY models given that  $CR(\mu \rightarrow e \text{ in N}) \sim \alpha_{em} \times BF(\mu \rightarrow e \gamma)$

# LFV $\mu$ decays: history



# ***LFV $\tau$ decays***

## ***A mini-review***



# Classification of decays - examples

$\tau^- \rightarrow e^- \gamma$
$\tau^- \rightarrow \mu^- \gamma$

$\tau^- \rightarrow e^- e^+ e^-$
$\tau^- \rightarrow e^- \mu^+ \mu^-$
$\tau^- \rightarrow \mu^- e^+ e^-$
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$
$\tau^- \rightarrow e^+ \mu^- \mu^-$
$\tau^- \rightarrow \mu^+ e^- e^-$

$\tau^- \rightarrow e^- \pi^0 (\rightarrow \gamma\gamma)$
$\tau^- \rightarrow e^- K_S^0 (\rightarrow \pi^+ \pi^-)$
$\tau^- \rightarrow e^- \eta (\rightarrow \gamma\gamma)$
$\tau^- \rightarrow e^- \eta' (\rightarrow \eta \pi^+ \pi^-)$

## DECAY TYPES

- $\tau \rightarrow l \gamma$
- $\tau \rightarrow l l l$
- $\tau \rightarrow l P^0$
- $\tau \rightarrow l h h$
- $\tau \rightarrow l V^0$
- $\tau \rightarrow l S^0$
- $\tau \rightarrow \Lambda h$

$\tau^- \rightarrow e^- \pi^+ \pi^-$
$\tau^- \rightarrow e^- \pi^+ K^-$
$\tau^- \rightarrow e^- K^+ \pi^-$
$\tau^- \rightarrow e^- K^+ K^-$
$\tau^- \rightarrow \mu^- \pi^+ \pi^-$
$\tau^- \rightarrow e^+ \pi^- \pi^-$
$\tau^- \rightarrow e^+ \pi^- K^-$
$\tau^- \rightarrow e^+ K^- K^-$
$\tau^- \rightarrow \mu^+ \pi^- \pi^-$

$\tau^- \rightarrow e^- \phi (\rightarrow K^+ K^-)$
$\tau^- \rightarrow \mu^- \phi (\rightarrow K^+ K^-)$
$\tau^- \rightarrow e^- K^*(892)^0 (\rightarrow K^+ \pi^-)$
$\tau^- \rightarrow e^- \bar{K}^*(892)^0 (\rightarrow \pi^+ K^-)$
$\tau^- \rightarrow e^- \rho^0 (\rightarrow \pi^+ \pi^-)$
$\tau^- \rightarrow e^- \omega (\rightarrow \pi^+ \pi^- \pi^0)$

# « Main players »: accelerators and experiments

---

## CESR @ Cornell :

- CLEO experiment probed LFV in  $\tau$  decays down to BF  $\sim 10^{-6}$  with  $\sim 10\text{M}$   $\tau$  pairs

## B factories PEP-II and KEKB :

- $\sigma_{\tau\tau} \sim 0.9 \text{ nb}$  to compare with  $\sigma_{bb} \sim 1.1 \text{ nb}$   
⇒ the B factories are also  $\tau$  factories ! (and charm factories)  
⇒ large  $\tau$ -pair samples:  
a few 100M  $\tau$  pairs available to search for LFV decays

- BaBar @ SLAC

- Belle @ KEK

probed at the  $\sim 10^{-8}$  level



- Probing BF  $< 10^{-8}$  will require a super-B factory ...

# SEARCH FOR LEPTON-NUMBER AND LEPTON-FLAVOUR VIOLATION IN TAU DECAYS

ARGUS Collaboration

We have searched for neutrinoless tau decays into three charged particles as evidence for lepton-flavour or lepton-number violation. The data were collected using the ARGUS detector at the DORIS II storage ring. Tau pairs were produced by  $e^+e^-$  annihilation at centre-of-mass energies near 10 GeV. No evidence for lepton-number or lepton-flavour violation was observed, but the upper limits obtained are an order of magnitude lower than those previously published.

Upper limits on three-prong neutrinoless tau decays at the 90% CL. (The  $\ell^-$  can be  $e^-$  or  $\mu^-$ .)

Decay mode	ARGUS	MARK II [4]
$\tau^- \rightarrow e^- e^+ e^-$	$3.8 \times 10^{-5}$	$4.0 \times 10^{-4}$
$e^- \mu^+ \mu^-$	$3.3 \times 10^{-5}$	$3.3 \times 10^{-4}$
$\mu^- e^+ e^-$	$3.3 \times 10^{-5}$	$4.4 \times 10^{-4}$
$\mu^- \mu^+ \mu^-$	$2.9 \times 10^{-5}$	$4.9 \times 10^{-4}$
$\ell^{\mp} \ell^{\pm} \ell^-$	$3.8 \times 10^{-5}$	
$e^- \pi^+ \pi^-$	$4.2 \times 10^{-5}$	
$\mu^- \pi^+ \pi^-$	$4.0 \times 10^{-5}$	
$e^- \rho^0$	$3.9 \times 10^{-5}$	$3.7 \times 10^{-4}$
$\mu^- \rho^0$	$3.8 \times 10^{-5}$	$4.4 \times 10^{-4}$
$\ell^{\mp} \pi^{\pm} \pi^-$	$6.3 \times 10^{-5}$	
$e^- \pi^+ K^-$	$4.2 \times 10^{-5}$	
$\mu^- \pi^+ K^-$	$1.2 \times 10^{-4}$	
$e^- K^{*0}$	$5.4 \times 10^{-5}$	$(e^- K^0) 1.3 \times 10^{-3}$
$\mu^- K^{*0}$	$5.9 \times 10^{-5}$	$(\mu^- K^0) 1.0 \times 10^{-3}$
$\ell^{\mp} \pi^{\pm} K^-$	$1.2 \times 10^{-4}$	

Our analysis was based on an integrated luminosity of  $177 \text{ pb}^{-1}$  corresponding to about 180 000 tau pairs. The data were collected using the ARGUS detector at the electron-positron storage ring DORIS II at DESY.

	LF violating	LN violating
$\tau^- \rightarrow e^- e^+ e^-$		
$e^- \mu^+ \mu^-$		$e^+ \mu^- \mu^-$
$\mu^- e^+ e^-$		$\mu^+ e^- e^-$
$\mu^- \mu^+ \mu^-$		
$e^- \pi^+ \pi^-$ and $e^- \rho^0$		$e^+ \pi^- \pi^-$
$\mu^- \pi^+ \pi^-$ and $\mu^- \rho^0$		$\mu^+ \pi^- \pi^-$
$e^- \pi^+ K^-$ and $e^- K^{*0}$		$e^+ \pi^- K^-$
$\mu^- \pi^+ K^-$ and $\mu^- K^{*0}$		$\mu^+ \pi^- K^-$

ARGUS Collaboration,  
H. Albrecht *et al.*, PL B185, 228 (1987)

# New limits for neutrinoless tau decays (CLEO Collaboration)

TABLE I. Detection efficiencies, event statistics, expected backgrounds, and upper limits for branching fractions at 90% confidence level.

Decay channel	Detection efficiency, %	Events observed	Expected bg events	Upper limits, $10^{-6}$
$\tau^- \rightarrow e^- e^+ e^-$	17.0	1	0.21	2.9
$\tau^- \rightarrow \mu^- e^+ e^-$	16.8	0	0.18	1.7
$\tau^- \rightarrow \mu^+ e^- e^-$	19.5	0	0.12	1.5
$\tau^- \rightarrow e^- \mu^+ \mu^-$	16.5	0	0.32	1.8
$\tau^- \rightarrow e^+ \mu^- \mu^-$	19.9	0	0.12	1.5
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	15.0	0	0.11	1.9
$\tau^- \rightarrow e^- \pi^+ \pi^-$	13.2	0	0.43	2.2
$\tau^- \rightarrow e^- \pi^- K^+$	13.0	1	0.29	3.8
$\tau^- \rightarrow e^- \pi^+ K^-$	13.1	3	0.42	6.4
$\tau^- \rightarrow e^- K^+ K^-$	11.2	2	0.29	6.0
$\tau^- \rightarrow e^+ \pi^- \pi^-$	15.3	0	0.22	1.9
$\tau^- \rightarrow e^+ \pi^- K^-$	14.0	0	0.18	2.1
$\tau^- \rightarrow e^+ K^- K^-$	13.0	1	0.11	3.8
$\tau^- \rightarrow \mu^- \pi^+ \pi^-$	8.2	2	0.57	8.2
$\tau^- \rightarrow \mu^- \pi^- K^+$	6.7	1	0.48	7.4
$\tau^- \rightarrow \mu^- \pi^+ K^-$	6.5	1	0.49	7.5
$\tau^- \rightarrow \mu^- K^+ K^-$	4.5	2	0.50	15
$\tau^- \rightarrow \mu^+ \pi^- \pi^-$	8.6	0	0.36	3.4
$\tau^- \rightarrow \mu^+ \pi^- K^-$	7.0	1	0.33	7.0
$\tau^- \rightarrow \mu^+ K^- K^-$	4.8	0	0.35	6.0
$\tau^- \rightarrow e^- \rho^0$	14.4	0	0.45	2.0
$\tau^- \rightarrow e^- K^{*0}$	9.5	1	0.32	5.1
$\tau^- \rightarrow e^- \bar{K}^{*0}$	9.0	2	0.32	7.4
$\tau^- \rightarrow e^- \phi$	7.2	1	0.15	6.9
$\tau^- \rightarrow \mu^- \rho^0$	10.6	2	0.43	6.3
$\tau^- \rightarrow \mu^- K^{*0}$	6.5	1	0.46	7.5
$\tau^- \rightarrow \mu^- \bar{K}^{*0}$	6.5	1	0.37	7.5
$\tau^- \rightarrow \mu^- \phi$	4.1	0	0.11	7.0

Neutrinoless 3-prong tau lepton decays into a charged lepton and either two charged particles or one neutral meson have been searched for using  $4.79 \text{ fb}^{-1}$  of data collected with the CLEO II detector at Cornell Electron Storage Ring. This analysis represents an update of a previous study and the addition of six decay channels. In all channels the numbers of events found are compatible with background estimates and branching fraction upper limits are set for 28 different decay modes. These limits are either more stringent than those set previously or represent the first attempt to find these decays. [S0556-2821(98)04009-0]

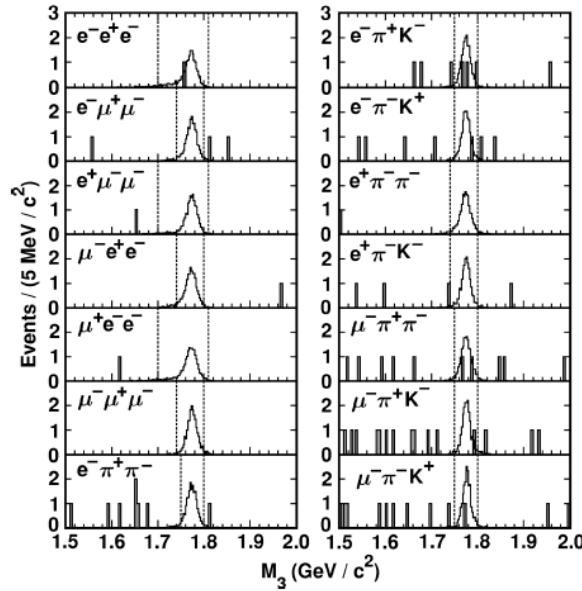


FIG. 1. Distributions of the invariant mass of the 3-prong side particles,  $M_3$ , for the data (shaded histogram) and signal Monte Carlo events (solid line). The expected signal shapes are shown with arbitrary normalization. The dotted lines indicate the boundaries of the signal regions used. See also Fig. 2.

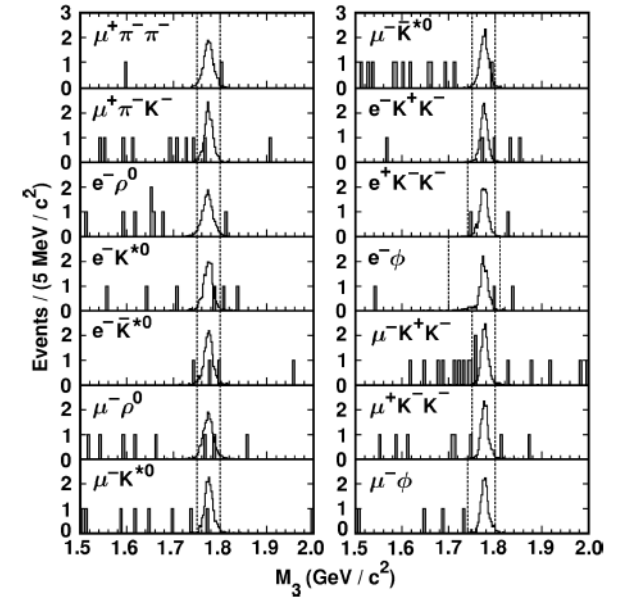
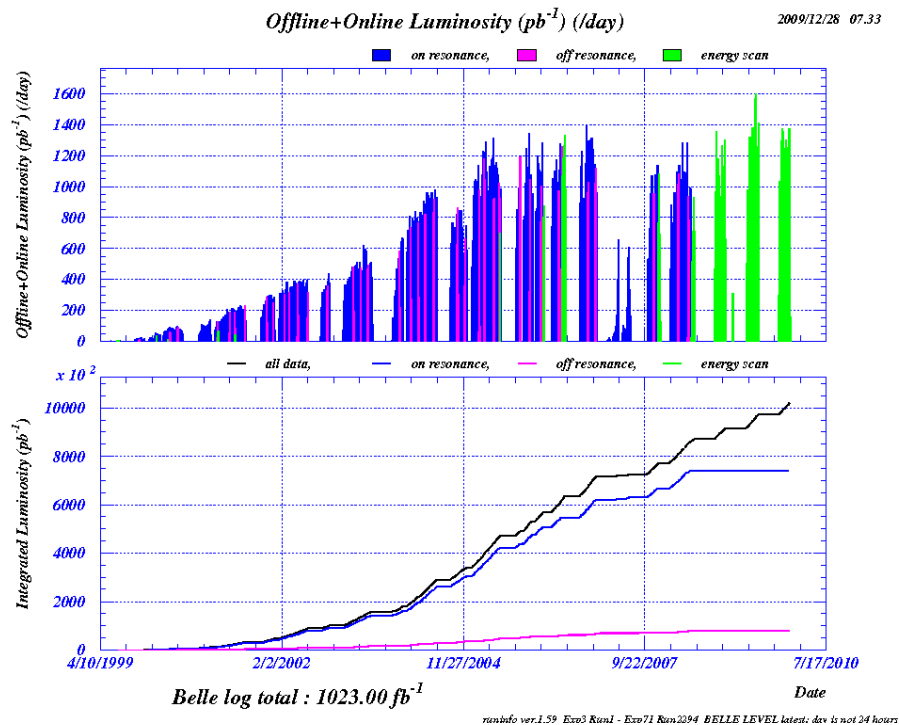
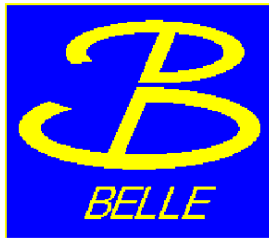


FIG. 2. Distributions of the invariant mass of the 3-prong side particles,  $M_3$ , for the data (shaded histogram) and signal Monte Carlo events (solid line). The expected signal shapes are shown with arbitrary normalization. The dotted lines indicate the boundaries of the signal regions used. See also Fig. 1.

**CLEO Collaboration,**  
**D. W. Bliss *et al.*, PR D57, 5903 (1998)**  
**arXiv:hep-ex/9712010v1**

# B factories PEP-II & KEKB – integrated luminosity



## PEP-II Records

Last update:  
April 8, 2008

### Peak Luminosity

**$12.069 \times 10^{33} \text{ cm}^{-2} \text{ sec}^{-1}$**   
1722 bunches 2900 mA LER 1875 mA HER

**August 16, 2006**

### Integration records of delivered luminosity

<b>Best shift</b> (8 hrs, 0:00, 08:00, 16:00)	<b>339.0 <math>\text{pb}^{-1}</math></b>	<b>Aug 16, 2006</b>
<b>Best 3 shifts in a row</b>	<b>910.7 <math>\text{pb}^{-1}</math></b>	<b>Jul 2-3, 2006</b>
<b>Best day</b>	<b>858.4 <math>\text{pb}^{-1}</math></b>	<b>Aug 19, 2007</b>
<b>Best 7 days</b> (0:00 to 24:00)	<b>5.411 <math>\text{fb}^{-1}</math></b>	<b>Aug 14-Aug 20, 2007</b>
<b>Best week</b> (Sun 0:00 to Sat 24:00)	<b>5.137 <math>\text{fb}^{-1}</math></b>	<b>Aug 12-Aug 18, 2007</b>
<b>Peak HER current</b>	<b>2069 mA</b>	<b>Feb 29, 2008</b>
<b>Peak LER current</b>	<b>3213 mA</b>	<b>Apr 7, 2008</b>
<b>Best 30 days</b>	<b>19.776 <math>\text{fb}^{-1}</math></b>	<b>Aug 5 – Sep 3, 2007</b>
<b>Best month</b>	<b>19.732 <math>\text{fb}^{-1}</math></b>	<b>August 2007</b>

### Total delivered

**557  $\text{fb}^{-1}$**

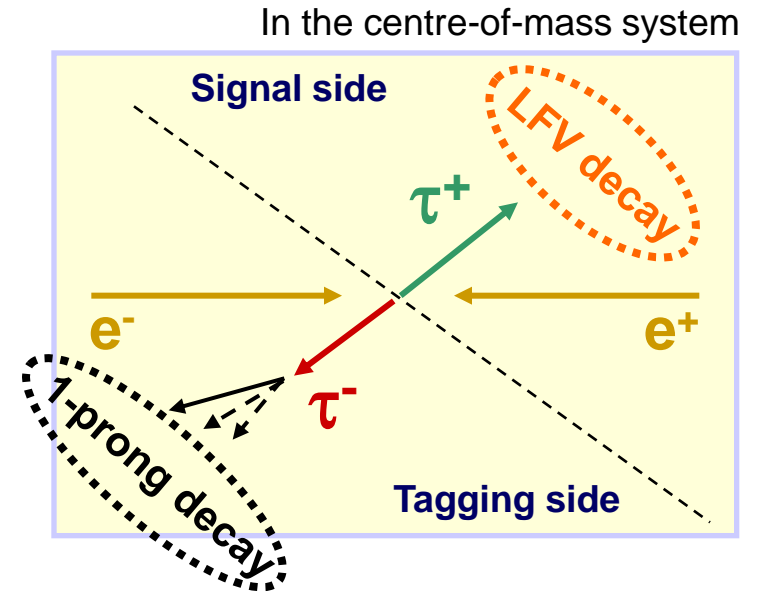
**PEP-II turned off April 7, 2008**

**Belle logged :  $\approx 1.023 \text{ ab}^{-1}$**

**PEP – II delivered :  $0.557 \text{ ab}^{-1}$**

# Analysis strategy @ B factories

1. look for decays in *exclusive mode* (*low multiplicity*)
2. Separation into 2 hemispheres
  - signal-side: 1- or 3- prong decay
  - tag-side: 1-prong (BF  $\sim 80\%$ )
3. Background  
(higher-order radiative Bhabha and  $\mu\mu$ ,  $qq$ ,  $\tau\tau$  with wrong PID)
4. Sample selection
5. Signal should have  $M_{inv}=M_\tau$  and  $\Delta E=0$  ( $E_\tau^{CM}-E_{beam}^{CM}$ )
6. Blind analysis over signal region
7. Expected background evaluation in signal region
8. Blinded signal region opened and candidates observed
9. Calculation of an upper limit by Bayesian/ Feldman-Cousins or maximum likelihood method

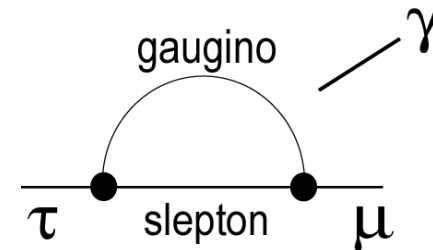


# $\tau \rightarrow \mu \gamma$ and $\tau \rightarrow e \gamma$

- ✓ **BSM models often predict an enhanced BF up to  $\sim 10^{-8}$**   
 **$\Rightarrow$  experimental goal: reach sensitivity down to  $10^{-9} - 10^{-8}$**

**E.g. see-saw MSSM :**

$$\text{BF}(\tau \rightarrow \mu \gamma) \cong (6 \times 10^{-7}) \left( \frac{\tan \beta}{60} \right)^2 \left( \frac{1 \text{ TeV}}{M_{\text{SUSY}}} \right)^4$$



Photonic diagram

# Search for Lepton Flavor Violation in the Decay $\tau^\pm \rightarrow e^\pm \gamma$

(The *BABAR* Collaboration)

A search for the non-conservation of lepton flavor in the decay  $\tau^\pm \rightarrow e^\pm \gamma$  has been performed with  $2.07 \times 10^8$   $e^+e^- \rightarrow \tau^+\tau^-$  events collected by the *BABAR* detector at the PEP-II storage ring at a center-of-mass energy near 10.58 GeV. We find no evidence for a signal and set an upper limit on the branching ratio of  $\mathcal{B}(\tau^\pm \rightarrow e^\pm \gamma) < 1.1 \times 10^{-7}$  at 90% confidence level.

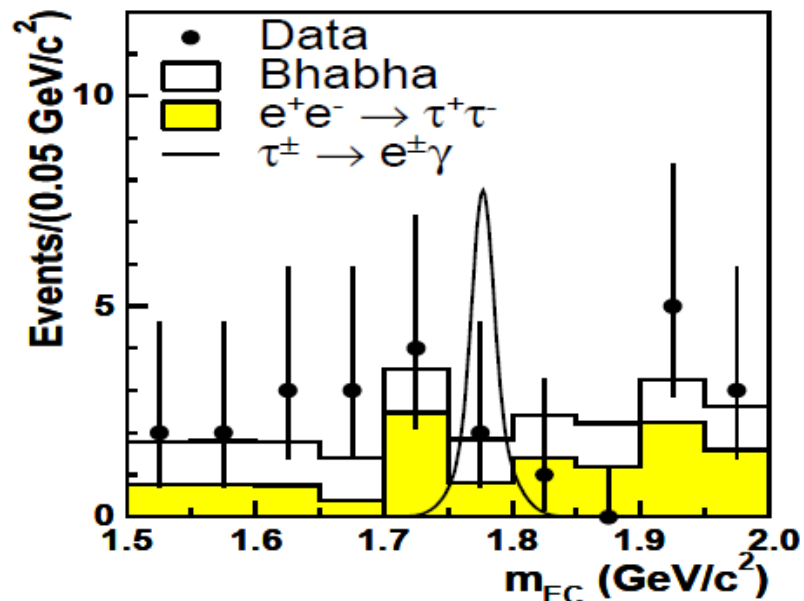


FIG. 2:  $m_{EC}$  distribution of data (dots), the expected backgrounds (histograms) and MC signal (curve with arbitrary normalization) for  $|\Delta E - \langle \Delta E \rangle| < 2\sigma(\Delta E)$ .

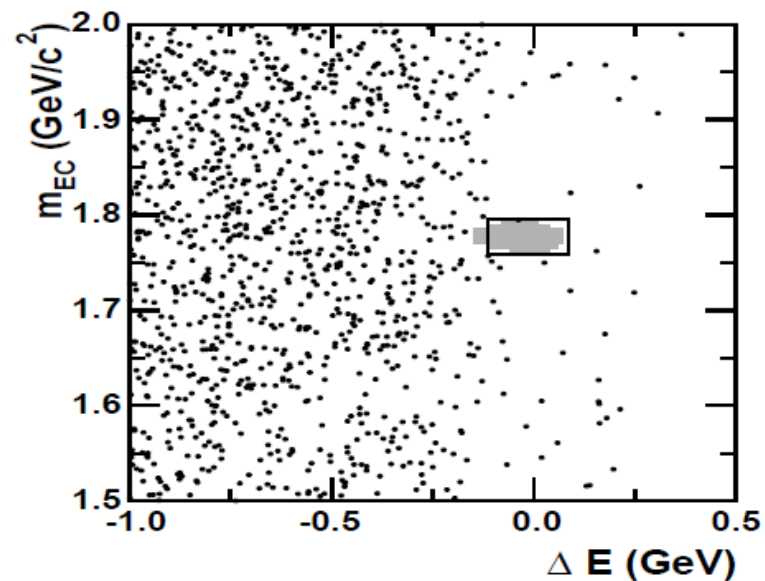


FIG. 1:  $m_{EC}$  vs.  $\Delta E$  distribution of data (dots) and shaded region containing 50% of the selected signal MC events inside the Grand Signal Box, as defined in the text. The boundary of the  $\pm 2\sigma$  signal box is also shown.

**BaBar Collaboration,**  
**B. Aubert *et al.*, PRL 96, 041801 (2006)**  
 arXiv:hep-ex/0508012v2



# $\tau \rightarrow l \gamma$ : present status

Upper limits from  
BaBar / Belle  
at 90% C.L.

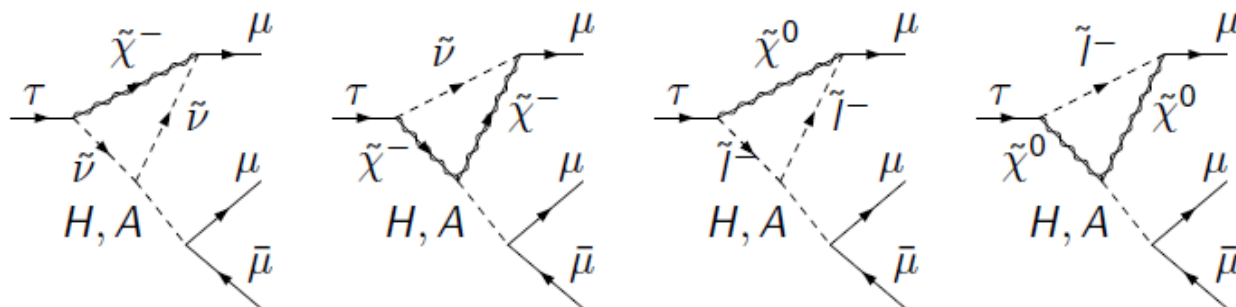
Decay Mode	Experiment	Reference	Result (90% UL)	Luminosity
			(10 <sup>-8</sup> )	(fb <sup>-1</sup> )
$\tau^- \rightarrow \mu^- \gamma$	Belle	<a href="#">Phys.Lett.B666:16,2008</a>	4.5	535
	BaBar	<a href="#">arXiv:0908.2381 [hep-ex]</a>	4.4	470 @ $\Upsilon(4S)$ , 31 @ $\Upsilon(3S)$ , 15 @ $\Upsilon(2S)$
$\tau^- \rightarrow e^- \gamma$	Belle	<a href="#">Phys.Lett.B666:16,2008</a>	12	535
	BaBar	<a href="#">arXiv:0908.2381 [hep-ex]</a>	3.3	470 @ $\Upsilon(4S)$ , 31 @ $\Upsilon(3S)$ , 15 @ $\Upsilon(2S)$

Summaries from HFAG  
<http://www.slac.stanford.edu/xorg/hfag/>

# $\tau \rightarrow 3\mu$ : theoretically a hot topic

- ✓ BSM models often predict an enhanced B.R. up to  $\sim 10^{-8}$   
 $\Rightarrow$  experimental goal: reach sensitivity down to  $10^{-9} - 10^{-8}$

See-saw MSSM:



## Promising approach: model-independent analysis

$$\begin{aligned}
 \mathcal{L} = & G \left( g_{LL}^S (\bar{\mu} P_R \mu) (\bar{\mu} P_L \tau) + g_{LR}^S (\bar{\mu} P_R \mu) (\bar{\mu} P_R \tau) + g_{RL}^S (\bar{\mu} P_L \mu) (\bar{\mu} P_L \tau) + g_{RR}^S (\bar{\mu} P_L \mu) (\bar{\mu} P_R \tau) \right. \\
 & + g_{LL}^V (\bar{\mu} \gamma_\nu P_R \mu) (\bar{\mu} \gamma^\nu P_L \tau) + g_{LR}^V (\bar{\mu} \gamma_\nu P_R \mu) (\bar{\mu} \gamma^\nu P_R \tau) + g_{RL}^V (\bar{\mu} \gamma_\nu P_L \mu) (\bar{\mu} \gamma^\nu P_L \tau) + g_{RR}^V (\bar{\mu} \gamma_\nu P_L \mu) (\bar{\mu} \gamma^\nu P_R \tau) \\
 & \left. + g_{LR}^T \left( \bar{\mu} \frac{\sigma_{\rho\nu}}{\sqrt{2}} P_R \mu \right) \left( \bar{\mu} \frac{\sigma^{\rho\nu}}{\sqrt{2}} P_R \tau \right) + g_{RL}^T \left( \bar{\mu} \frac{\sigma_{\rho\nu}}{\sqrt{2}} P_L \mu \right) \left( \bar{\mu} \frac{\sigma^{\rho\nu}}{\sqrt{2}} P_L \tau \right) \right) \\
 \equiv & G \sum_{a,b,c} g_{ab}^c (\bar{\mu} \Gamma^c \gamma^0 P_a \mu) (\bar{\mu} \Gamma^c P_b \tau),
 \end{aligned} \tag{1}$$

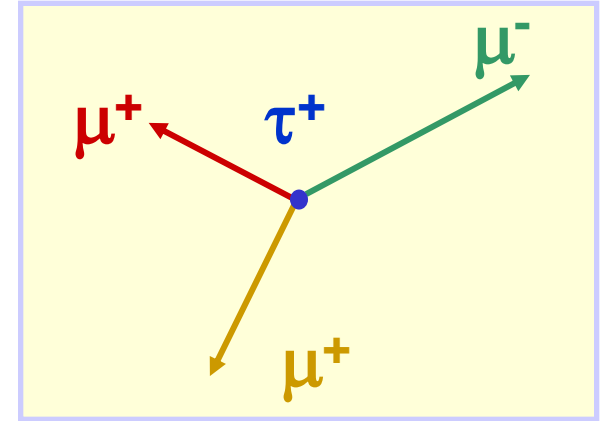
See e.g.: M. Giffels et al., PR D77, 073010 (2008)

# $\tau \rightarrow 3\mu$ : experimental status

- Best current upper limit:

$$\text{BF}(\tau \rightarrow 3\mu) < 2.1 \times 10^{-8} \text{ @ 90\% C.L.}$$

... from Belle, with ~80% of the available data sample



## Old experiments :

- MARK and ARGUS experiments (in the 80's)
- CLEO @ CESR (Cornell Uni.)
- BaBar @ SLAC, Belle @ KEKB

## Present experiments :

- CMS, ATLAS (?)
- **Is the ball in LHCb's hands ?**

## Future experiments :

- At super-B factories

# Leptonic $\tau$ decays: present status

Summaries from HFAG  
<http://www.slac.stanford.edu/xorg/hfag/>

Decay Mode	Experiment	Reference	Upper Limit @ 90% C.L.	Luminosity
			( $10^{-8}$ )	( $\text{fb}^{-1}$ @ $\Upsilon(4S)$ )
$\tau^- \rightarrow e^- e^+ e^-$	Belle	<a href="#">EPS2009</a>	2.7	782
	BaBar	<a href="#">CIPANP09</a>	2.9	468
$\tau^- \rightarrow \mu^- e^+ e^-$	Belle	<a href="#">EPS2009</a>	1.8	782
	BaBar	<a href="#">CIPANP09</a>	2.2	468
$\tau^- \rightarrow e^- \mu^+ \mu^-$	Belle	<a href="#">EPS2009</a>	2.7	782
	BaBar	<a href="#">CIPANP09</a>	3.2	468
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	Belle	<a href="#">EPS2009</a>	2.1	782
	BaBar	<a href="#">CIPANP09</a>	3.3	468
$\tau^- \rightarrow e^- \mu^+ e^-$	Belle	<a href="#">EPS2009</a>	1.5	782
	BaBar	<a href="#">CIPANP09</a>	1.8	468
$\tau^- \rightarrow \mu^- e^+ \mu^-$	Belle	<a href="#">EPS2009</a>	1.7	782
	BaBar	<a href="#">CIPANP09</a>	2.6	468

Possible  
@ LHCb !

# On LFV semileptonic $\tau$ decays

---

- ✓  $\tau \rightarrow l \gamma$  and  $\tau \rightarrow 3\mu$  raise most interest ...  
... but other decay modes such as semileptonic may well be as relevant:

- arXiv:0810.0163v1[hep-ph], “LFV in semileptonic  $\tau$  decays and  $\mu$ -e conversion in nuclei in SUSY-seesaw”

- looks at  $\tau \rightarrow \mu P, \mu PP, \mu V^0$  decays

In conclusion, we have shown that semileptonic tau decays nicely complement the searches for LFV in the  $\tau - \mu$  sector, in addition to  $\tau \rightarrow \mu \gamma$ . The future prospects for  $\mu - e$  conversion in Ti are the most promising for LFV searches. Both processes, semileptonic tau decays and  $\mu - e$  conversion in nuclei are indeed more sensitive to the Higgs sector than  $\tau \rightarrow 3\mu$ .

- arXiv:0812.0727v1[hep-ph], “Lepton Flavor Violating  $\tau^- \rightarrow \mu^- V^0$  Decays in the Two Higgs Doublet Model III”

# $\tau \rightarrow l V^0$ decays: present status

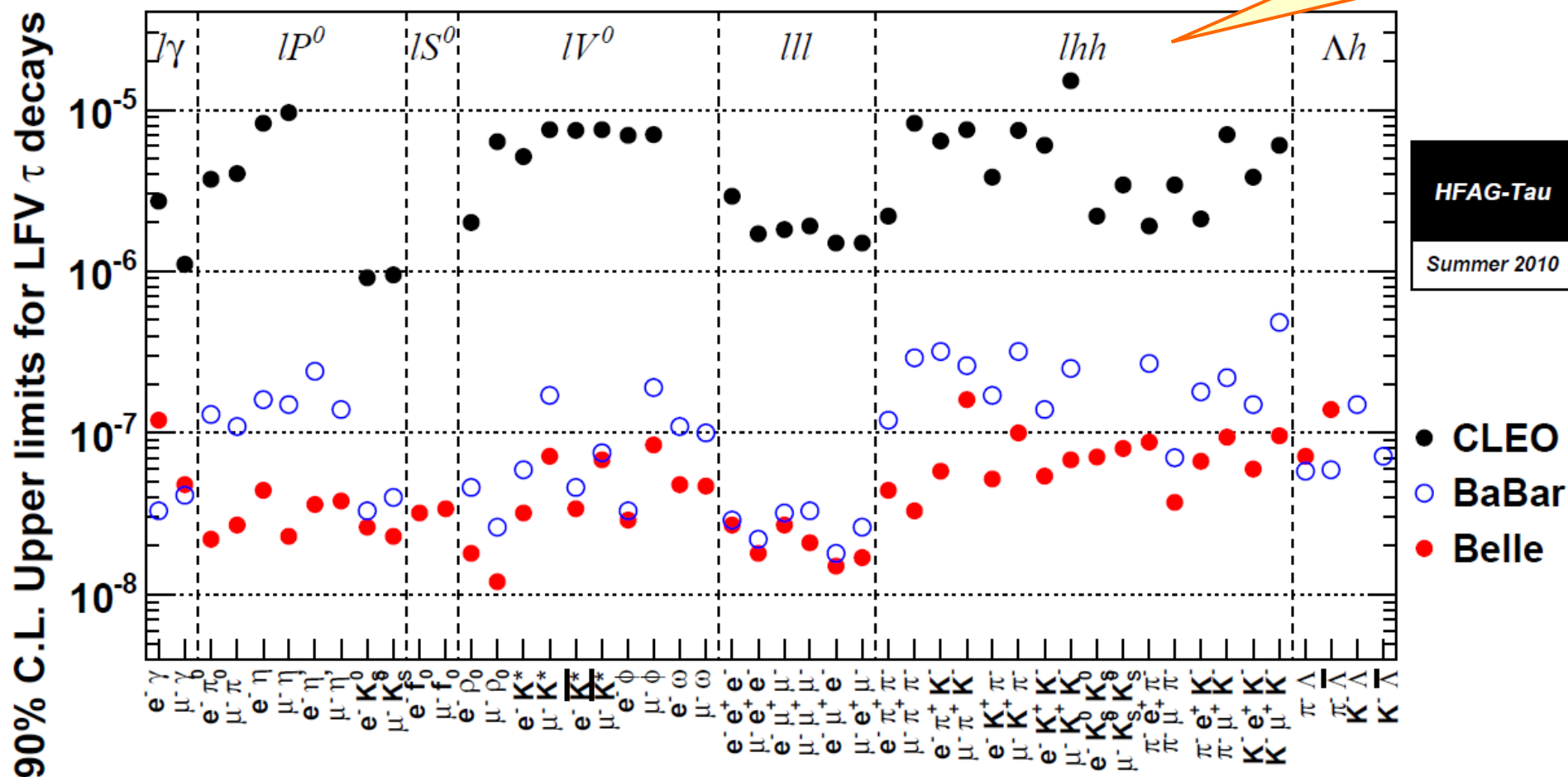
Decay Mode	Experiment	Reference	Upper Limit @ 90% C.L.	Luminosity
			( $10^{-8}$ )	( $\text{fb}^{-1}$ @ $\Upsilon(4S)$ )
$\tau^- \rightarrow e^- \rho^0$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	6.3	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	4.6	451
$\tau^- \rightarrow \mu^- \rho^0$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	6.8	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	2.6	451
$\tau^- \rightarrow e^- K^*$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	7.8	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	5.9	451
$\tau^- \rightarrow \mu^- K^*$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	5.9	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	17	451
$\tau^- \rightarrow e^- \bar{K}^*$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	7.7	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	4.6	451
$\tau^- \rightarrow \mu^- \bar{K}^*$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	10	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	7.3	451
$\tau^- \rightarrow e^- \phi$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	7.3	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	3.1	451
$\tau^- \rightarrow \mu^- \phi$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	13	543
	BaBar	<a href="#">Phys.Rev.Lett.103:021801,2009</a>	19	451
$\tau^- \rightarrow e^- \omega$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	18	543
	BaBar	<a href="#">Phys.Rev.Lett.100:071802,2008</a>	11	384
$\tau^- \rightarrow \mu^- \omega$	Belle	<a href="#">Phys.Lett.B664:35,2008</a>	8.9	543
	BaBar	<a href="#">Phys.Rev.Lett.100:071802,2008</a>	10	384

Possible  
@ LHCb ?

Just over  $\sim 1/2$   
of full dataset  
used

# Summary: present status

Important activity at the B factories



⇒ most decay modes probed down to a few parts in  $10^{-8}$  !

# Super flavour factories – what, and what for?



## SuperKEKB :

- ❑ Upgrade of current KEKB to luminosity  $\sim 10^{35-36} \text{ cm}^{-2}\text{s}^{-1}$   
⇒ also an upgraded Belle-II detector ...

## SuperB :

- ❑ New  $e^+e^-$  asymmetric collider with luminosity  $> 10^{36} \text{ cm}^{-2}\text{s}^{-1}$
- ❑ On Tor Vergata campus of University of Rome
- ❑ SuperB expected to integrate  $75 \text{ ab}^{-1}$  in 5 years !





# SuperB – expected sensitivity on LFV $\tau$ decays

- Physics program at Super Flavour Factories (SFF) rather rich:
  - precision SM measurements
  - rare B decays
  - CP violation in charm
  - etc.
  
- Examples of expected reach for LFV  $\tau$  decays:

## Present best U.L. @ 90% C.L.

$4.4 \times 10^{-8}$   $\longrightarrow$   
 $3.3 \times 10^{-8}$   $\longrightarrow$   
 $3.2 \times 10^{-8}$   $\longrightarrow$

Process	Sensitivity
$\mathcal{B}(\tau \rightarrow \mu \gamma)$	$2 \times 10^{-9}$
$\mathcal{B}(\tau \rightarrow e \gamma)$	$2 \times 10^{-9}$
$\mathcal{B}(\tau \rightarrow \mu \mu \mu)$	$2 \times 10^{-10}$
$\mathcal{B}(\tau \rightarrow eee)$	$2 \times 10^{-10}$
$\mathcal{B}(\tau \rightarrow \mu \eta)$	$4 \times 10^{-10}$
$\mathcal{B}(\tau \rightarrow e \eta)$	$6 \times 10^{-10}$
$\mathcal{B}(\tau \rightarrow \ell K_s^0)$	$2 \times 10^{-10}$



***Intro to  
LFV phenomenology***

# Why are the decays $l_i \rightarrow l_j \gamma$ so popular?

$l_i \rightarrow l_j \gamma$  :

- Decay induced by 1-loop diagrams with exchange of superpartners (in SUSY)
- Decay described by the dipole operator

$$\mathcal{L}_{\text{eff}} = e \frac{m_i}{2} \bar{l}_i \sigma_{\mu\nu} F^{\mu\nu} (A_L^{l_i l_j} P_L + A_R^{l_i l_j} P_R) l_j + h.c.$$

$l_i \rightarrow l_j l_k l_k$  and  $\mu - e$  conversion in nuclei :

- SUSY models predict unambiguously

$$\begin{aligned} \text{BR}(l_i \rightarrow l_j l_k l_k) &\sim \alpha_{\text{em}} \times \text{BR}(l_i \rightarrow l_j \gamma) \\ \text{CR}(\mu \rightarrow e \text{ in N}) &\sim \alpha_{\text{em}} \times \text{BR}(\mu \rightarrow e \gamma) \end{aligned}$$

as these processes are dominated by dipole transitions  $l_i \rightarrow l_j \gamma^*$

(sizeable deviations from these predictions would indicate large Higgs-mediated LFV effects)

## Some general predictions :

- ❑ “Large” values for  $\text{BF}(\mu \rightarrow e \gamma)$  are possible
- ❑ But parameter phase space for large values of  $\text{BF}(\tau \rightarrow \mu \gamma)$  very constrained by present experimental bounds on  $\text{BF}(\mu \rightarrow e \gamma)$
- ❑  $\text{BF}(\tau \rightarrow e \gamma)$  is always very suppressed because of bound on  $\text{BF}(\mu \rightarrow e \gamma)$

## Note :

- ❑ Neutrino mixing parameters impose useful constraints on the possible SUSY phasespace and relative BF's of decays above  
(from solar + atmospheric neutrino data)

# How to identify the specific source(s) of LFV?

---

## A couple of examples ...

- ❑ Correlating different measurements such as  $\text{BF}(\mu \rightarrow e \gamma)$ ,  $\text{BF}(\tau \rightarrow \mu \gamma)$ , the anomalous muon  $(g-2)$ , leptonic electric dipole moments (EDMs)
- ❑ Studying e.g. the P-odd asymmetry in polarised  $\mu \rightarrow e \gamma$ :

$$A(\mu^+ \rightarrow e^+ \gamma) = \frac{|A_L|^2 - |A_R|^2}{|A_L|^2 + |A_R|^2}$$

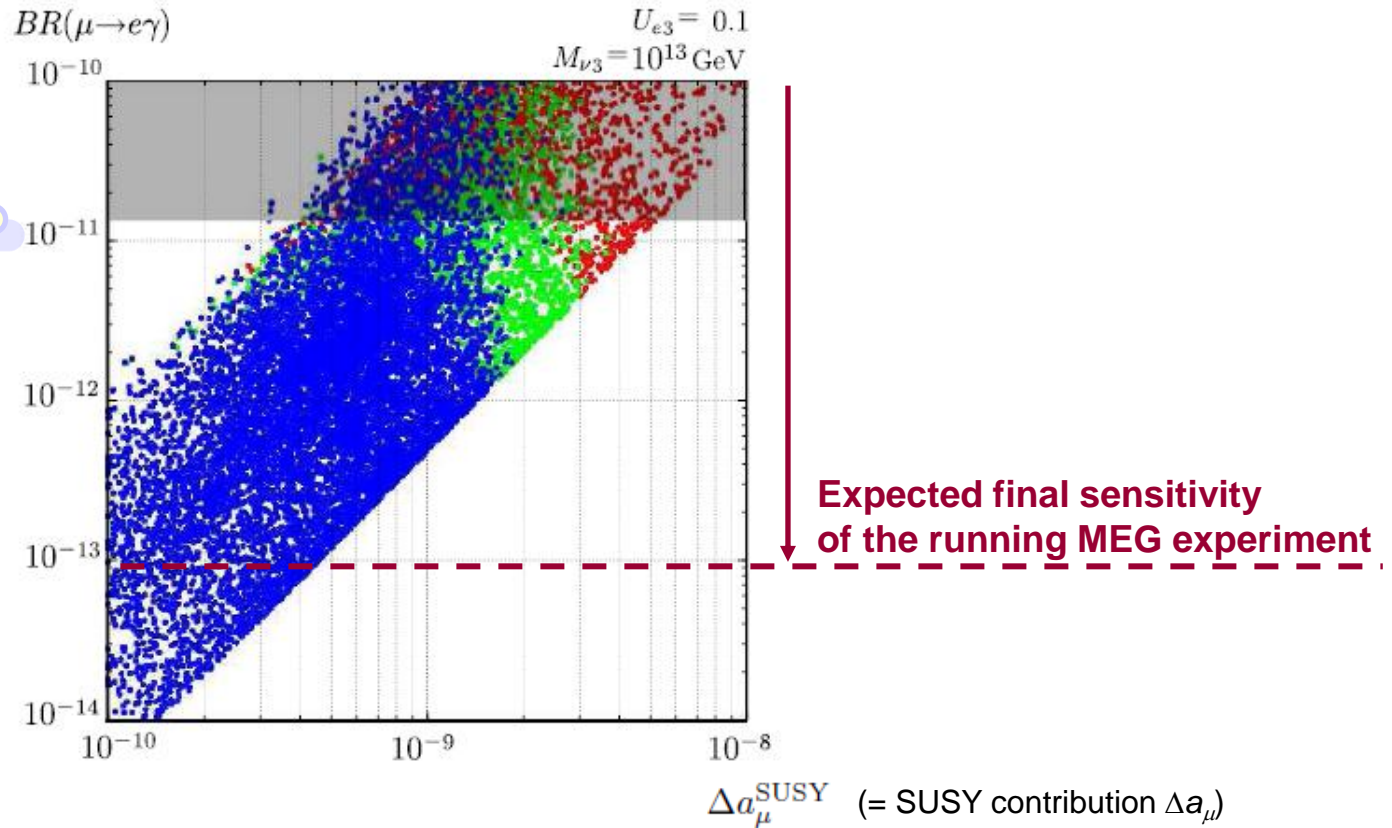
Ex.: Non-GUT SUSY + see-saw predicts

$$A(\mu^+ \rightarrow e^+ \gamma) = +1$$

to a very good accuracy

⇒ large departure from +1 would support the idea of SUSY see-saw embedded in a GUT scenario

# "The power of correlations" – examples (1/3)



SUSY effects to the  $\mu$   $(g-2)$  are well correlated with  $BR(\mu \rightarrow e\gamma)$

For info, at present:

$$\Delta a_\mu = a_\mu^{\text{exp}} - a_\mu^{\text{SM}} \approx (3 \pm 1) \times 10^{-9}$$

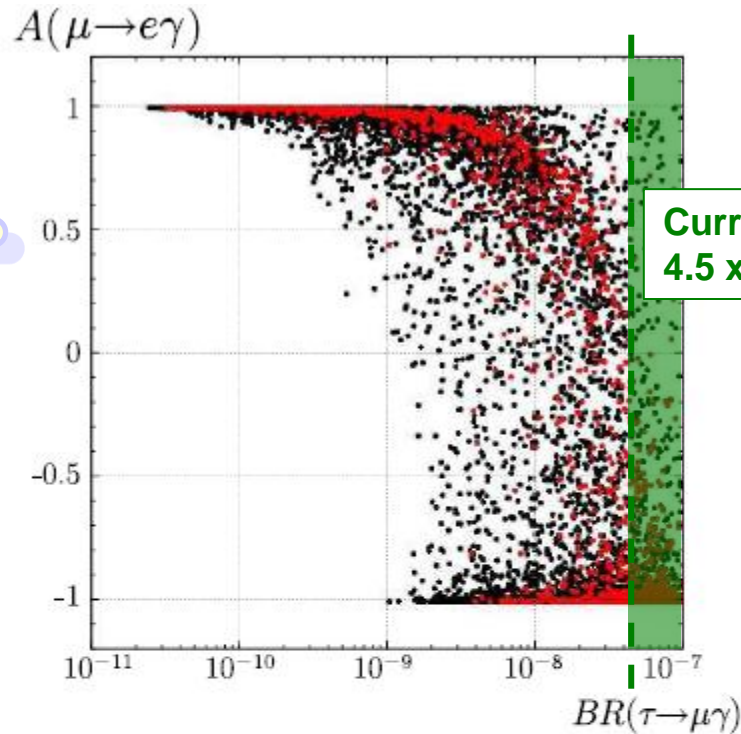
$$a_\mu = (g - 2)/2$$

FIG. 3:  $BR(\mu \rightarrow e\gamma)$  vs  $\Delta a_\mu^{\text{SUSY}}$  in the  $SU(5)_{RN}$  model assuming a hierarchical spectrum for both light and heavy neutrinos,  $m_{\nu_3} = 0.05\text{eV}$ ,  $M_3 = 10^{13}\text{ GeV}$  and  $U_{e3} = 0.1$ . The plot has been obtained varying the SUSY parameters in the following ranges:  $100\text{ GeV} < m_0, M_{1/2} < 1\text{ TeV}$ ,  $|A_0| < 3m_0$ ,  $3 < \tan\beta < 50$  and  $\mu > 0$ . Green (blue) points satisfy the constraints from  $BR(B \rightarrow X_s\gamma)$  at the 99% C.L. (90% C.L.) limit. The grey region is excluded by the current experimental upper bound on  $BR(\mu \rightarrow e\gamma)$ .

SUSY SU(5) model within a GUT scenario with 3 heavy  $\nu_R$

J. Hisano *et al.*,  
arXiv:0904.2080v1 [hep-ph]

# "The power of correlations" – examples (2/3)



For once, a lower limit could be set – for  $\tau \rightarrow \mu \gamma$  – if  $A(\mu \rightarrow e \gamma) < +1$  !

Current best 90% C. L. BF upper limit:  $4.5 \times 10^{-8}$

This is assuming evidence for  $\mu \rightarrow e \gamma$  at the level  $\text{BF}(\mu \rightarrow e \gamma) = 3 \times 10^{-12}$

FIG. 6: ~~Upper plot:~~ P-odd asymmetry in  $\mu^+ \rightarrow e^+ \gamma$ ,  $A(\mu^+ \rightarrow e^+ \gamma)$ , vs  $U_{e3}$  in the  $SU(5)_{RN}$  model for three different values of  $\text{BR}(\mu \rightarrow e \gamma) = (3, 1, 0.3) \times 10^{-12}$ . Lower plot:  $A(\mu^+ \rightarrow e^+ \gamma)$  vs  $\text{BR}(\tau \rightarrow \mu \gamma)$  assuming  $\text{BR}(\mu \rightarrow e \gamma) = 3 \times 10^{-12}$ . Both plots have been obtained by means of a scan of the input parameters  $m_0, M_{1/2} < 1 \text{ TeV}$ ,  $|A_0| < 3m_0$ ,  $3 < \tan \beta < 50$  and  $\mu > 0$ . For the neutrino sectors, we have assumed a hierarchical spectrum for both light and heavy neutrinos and we take  $m_{\nu_3} = 0.05 \text{ eV}$ ,  $10^{10} < M_3 < 10^{15} \text{ GeV}$  and  $10^{-5} \leq U_{e3} \leq 0.1$ . All the points of both plots satisfy the constraints from  $b \rightarrow s \gamma$  at the 99% C.L. limit and  $m_{h^0} > 111.4 \text{ GeV}$ . Red points in the lower plot also satisfy  $\Delta a_\mu^{\text{SUSY}} \geq 1 \times 10^{-9}$ .

# "The power of correlations" – examples (3/3)

- If  $U_{e3} = 0.1$  :  
BF( $\tau \rightarrow \mu\gamma$ )  $< \sim 10^{-9}$   
 $\Rightarrow$  out of reach  
even for super-B factories!
- If  $U_{e3}$  is very small :  
 $\tau \rightarrow \mu\gamma$  still accessible at  
super-B even if MEG  
finds nothing
- In the  $U_{e3}$  intermediate region  
both have a word to say

$U$  = PMNS neutrino mixing matrix  
 $U_{e3}=0.1$  : close to current exp. U. L.

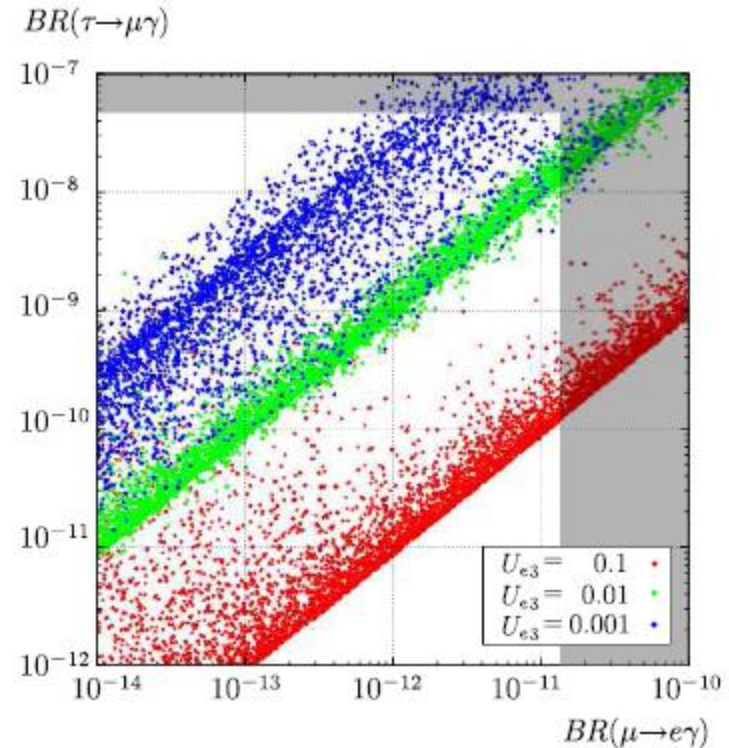


FIG. 7:  $BR(\mu \rightarrow e\gamma)$  vs  $BR(\tau \rightarrow \mu\gamma)$  in the  $SU(5)_{RN}$  model. The plot has been obtained by means of a scan over the same input parameters of Fig. 4. The grey regions are excluded by the current experimental upper bounds on  $BR(\mu \rightarrow e\gamma)$  and  $BR(\tau \rightarrow \mu\gamma)$ .

$\Rightarrow \mu \rightarrow e\gamma$  and  $\tau \rightarrow \mu\gamma$  are very complementary probes of LFV effects in SUSY !

J. Hisano *et al.*,  
arXiv:0904.2080v1 [hep-ph]



# ***LFV searches @ LHC***

# Does the LHC have a word to say?

---

## Sources of $\tau$ leptons at the LHC

**Main production mode :**

- $D_s$  decays

**Other production modes :**

- $B^0$  and  $B^\pm$  decays
- $B_s$  decays

**Both production modes  
exploitable by LHCb**

**Negligible production modes :**

- Z decays
- W decays

**Only viable solution for ATLAS/CMS  
(high- $p_T$  triggers, background)**

# Sensitivity @ LHCb: back-of-the-envelope calculation (1/2)

□ Upper limit on Branching Fraction:

$$BR_{UL} = \frac{\sqrt{B}}{\varepsilon_{sel}} \frac{1}{N_{\tau}}$$

based on  $\sqrt{B} / S = 1$

□ Number of  $\tau$ 's produced in 1 year:

$$N_{\tau}^{1\text{ year}} = 2 \times \sigma_{c\bar{c}} \times \int \mathcal{L} dt \times \varepsilon_{acc}^{c\bar{c}} \times f(c \rightarrow D_s) \times BR(D_s \rightarrow \tau \nu_{\tau})$$

With

$$\sigma_{c\bar{c}} = 3.5 \text{ mb}$$

$$\varepsilon_{acc}^{c\bar{c}} = 40\%$$

$$\int \mathcal{L} dt = 2 \text{ fb}^{-1}$$

$$f(c \rightarrow D_s) = 14\%$$

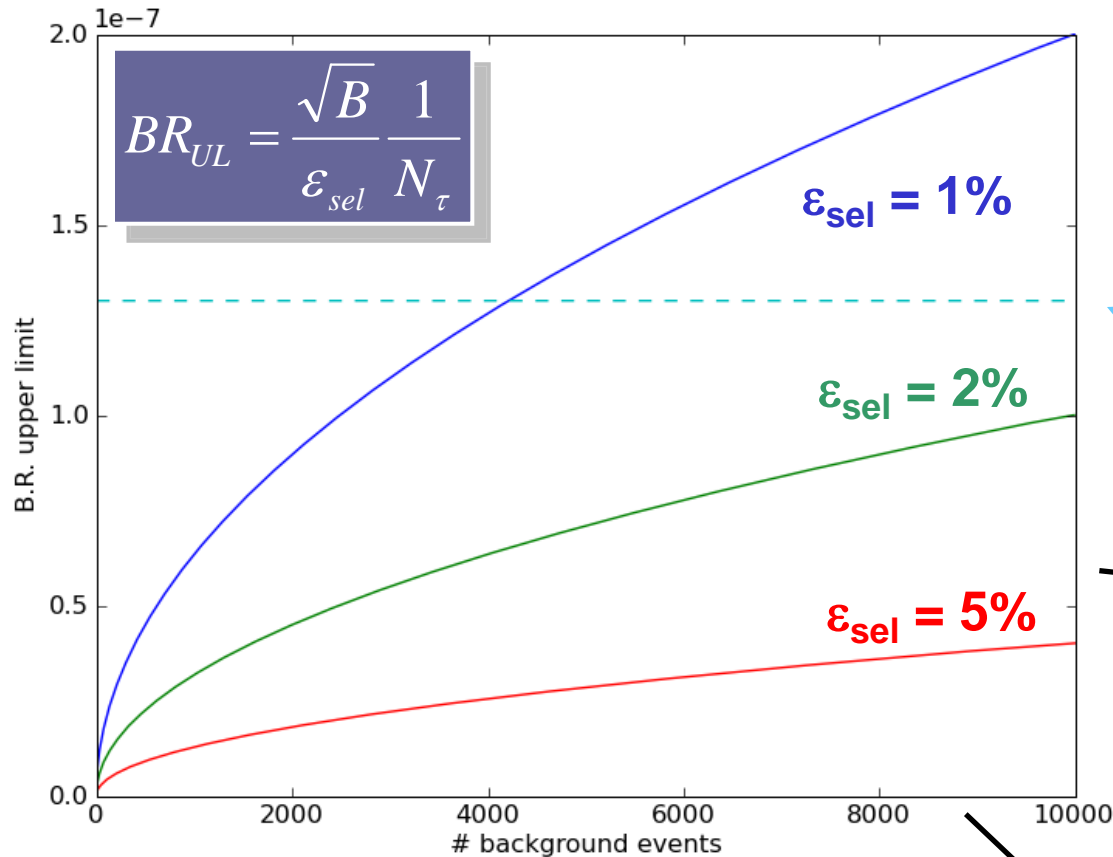
$$BR(D_s \rightarrow \tau \nu_{\tau}) = 6.6\%$$

one gets

$$N_{\tau}^{1\text{ year}} \approx 5 \times 10^{10}$$

**$\Rightarrow \sim 50 \tau$  decays per year even if BF  $\sim 10^{-9}$  !**

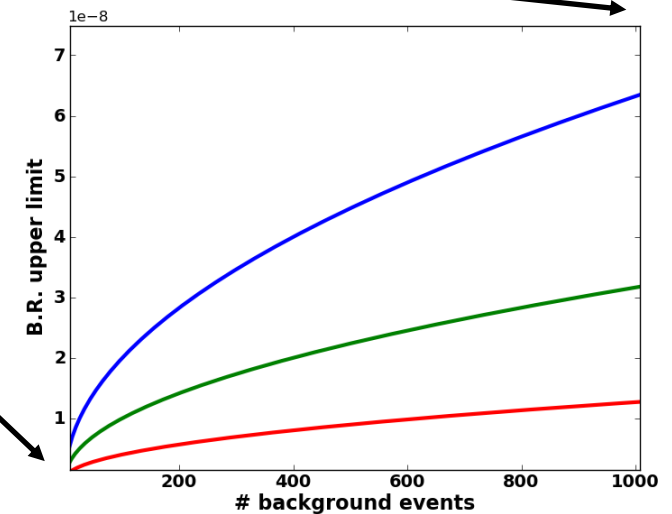
# Sensitivity @ LHCb: back-of-the-envelope calculation (2/2)



We may be competitive with world best limits within 1 → 2-ish years !

Example of the present  $\tau \rightarrow \mu \phi$  90% C.L. BF upper limit

**LHCb  $\tau \rightarrow \mu \mu \mu$  study:**  
 selection+trigger efficiency  $\sim 1\%$   
 # background events per year  $\sim 100$  @ 95% C.L.  
 $\Rightarrow$  BF  $< 3.3 \times 10^{-8}$  @ 90% C.L. obtained from analysis



# LFV topics studied @ the LHC

---

- $\tau \rightarrow \mu \mu \mu$  (ATLAS, CMS, LHCb)
- $\tau \rightarrow \mu \phi$  (LHCb)
- $B_{d,s} \rightarrow e \mu$  (LHCb)
- $B_s \rightarrow \tau \mu$  (ATLAS)
- ...?

- ❑ “Only” decay mode considered so far in LHCb
- ❑ Most recent study indicates a 90% C.L. upper limit  
 **$\text{BF}(\tau \rightarrow \mu \mu \mu) < 3.3 \times 10^{-8}$  in 1 nominal year of data ( $2 \text{ fb}^{-1}$ )**  
(assuming no signal found)  
... to compare with the world best U. L. of  $2.1 \times 10^{-8}$
- ❑ More detailed background studies are needed ...

$$\sigma(M_{3\mu}) \sim 8\text{-}10 \text{ MeV}$$

**Analysis ongoing ... !**

# $\tau \rightarrow 3\mu$ @ ATLAS and CMS

- Most likely only  $\tau$ -decay mode exploitable in ATLAS/CMS environment
- ATLAS has an ongoing study ... (admittedly no news on this)
- CMS has performed a detailed study (CMS Note 2002-037)



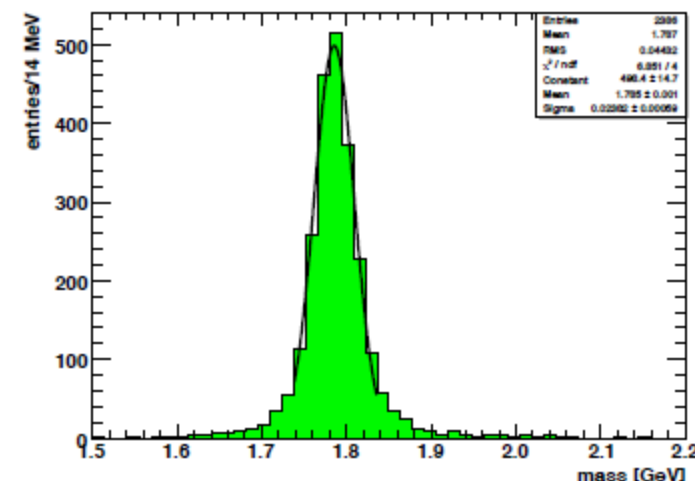
## CMS study :

- $\sim 10^{12}$   $\tau$  leptons expected within the CMS tracker acceptance for  $10 \text{ fb}^{-1}$

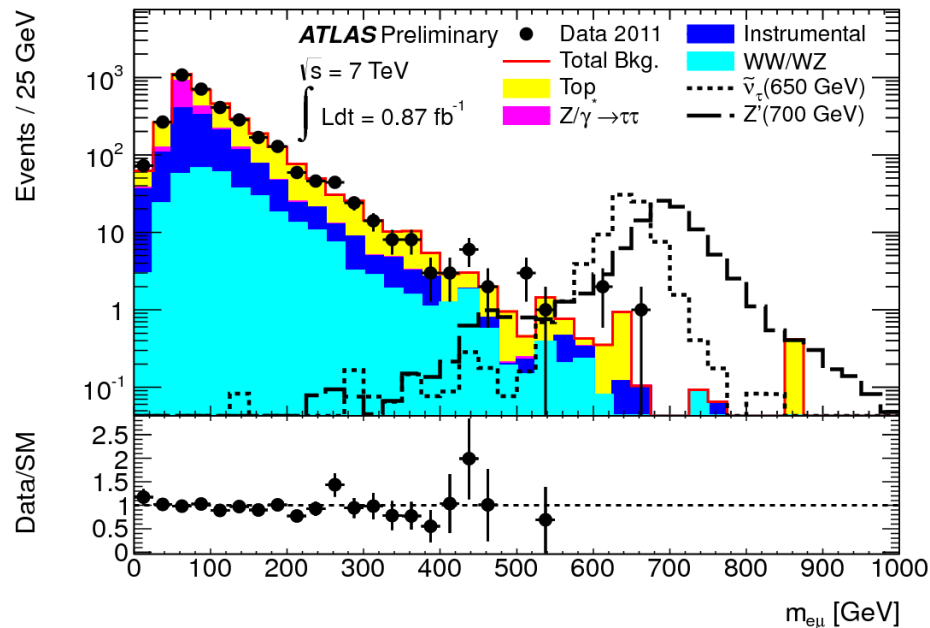
Sources of  $\tau$  leptons at LHC

production channel	$N_\tau/10 \text{ fb}^{-1}$
$W \rightarrow \tau\nu_\tau$	$1.5 \cdot 10^8$
$Z \rightarrow \tau\tau$	$2.9 \cdot 10^7$
$B^0 \rightarrow \tau X$	$3.1 \cdot 10^{11}$
$B^\pm \rightarrow \tau X$	$3.6 \cdot 10^{11}$
$B_s \rightarrow \tau X$	$9.7 \cdot 10^{10}$
$D_s \rightarrow \tau X$	$6.3 \cdot 10^{11}$

- “W-mode” is most promising:
    - high  $p_T$  of  $\mu$ 's
    - predicted  $\tau$  mass resolution  $\sim 24 \text{ MeV}$
    - 95% C.L. upper limit
- $\text{BF}(\tau \rightarrow \mu \mu \mu) < 3.8(7.0) \times 10^{-8}$  with  $30 \text{ fb}^{-1}(10 \text{ fb}^{-1})$**

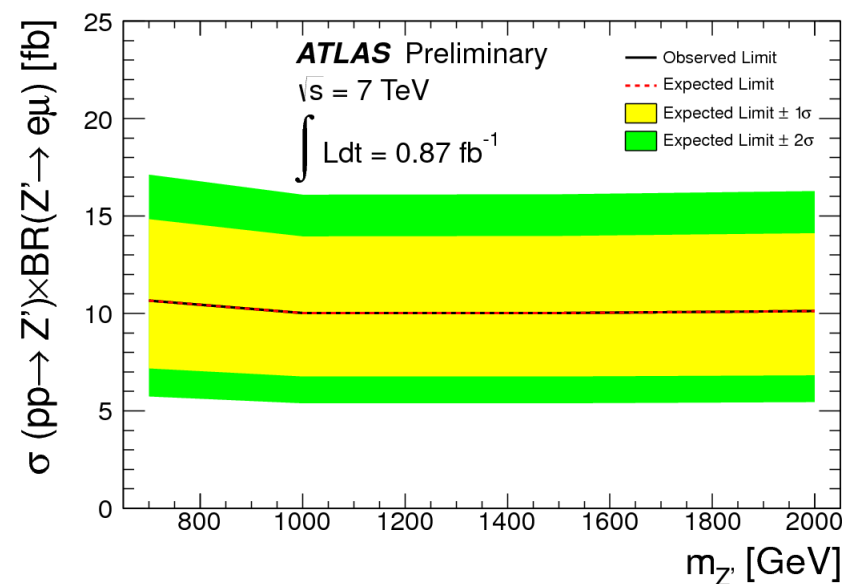
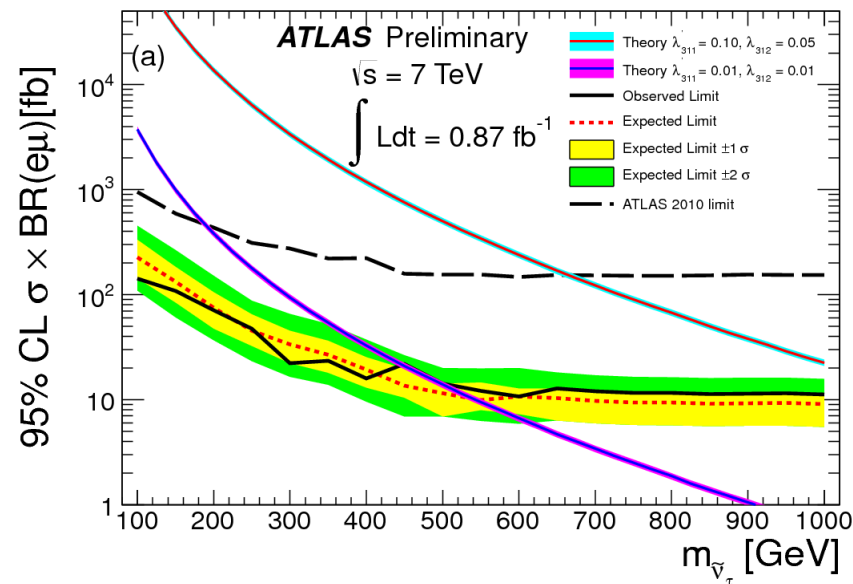


- Tau sneutrino in R-parity violating SUSY
- Z'-like boson in model with LFV



Process	Number of events
$Z/\gamma^* \rightarrow \tau\tau$	$614 \pm 53$
$t\bar{t}$	$1281 \pm 168$
WW	$318 \pm 24$
Single top	$125 \pm 17$
WZ	$18.2 \pm 1.9$
W/Z + $\gamma$	$67 \pm 11$
Jet instrumental background	$984 \pm 105$
Total background	$3408 \pm 230$
Data	3338

Sources identified and understood





# Search for $X^0 \rightarrow e \mu$ @ ATLAS – highest $m_{e\mu}$ candidate

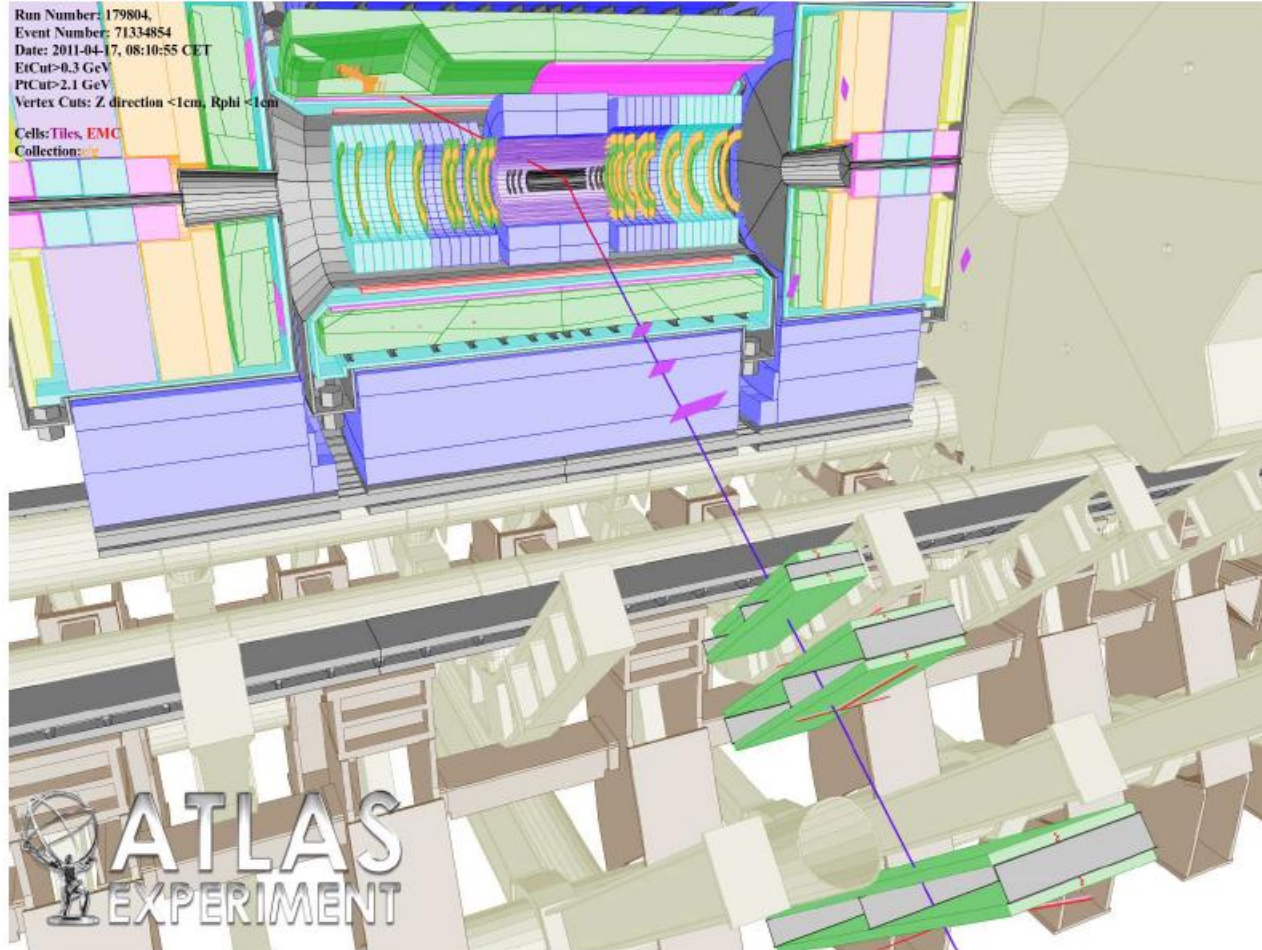
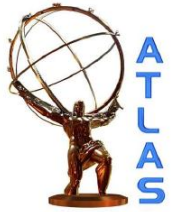


Figure 9: Event display for run 179804 event 71334854, the  $e\mu$  pair in this event gives the highest  $m_{e\mu}$ . In this event, electron  $p_T = 341$  GeV,  $\eta = -1.17$ ,  $\phi = 0.91$ ; muon  $p_T = 216$  GeV,  $\eta = 0.14$ ,  $\phi = -2.36$ ;  $m_{e\mu} = 662$  GeV,  $\Delta\phi_{e\mu} = 3.02$  and  $E_T^{\text{miss}} = 132$  GeV. There is no jet with  $p_T > 30$  GeV in this event.

# ***Outlook***

***An invitation to further investigation ...***

# Future prospects for LFV

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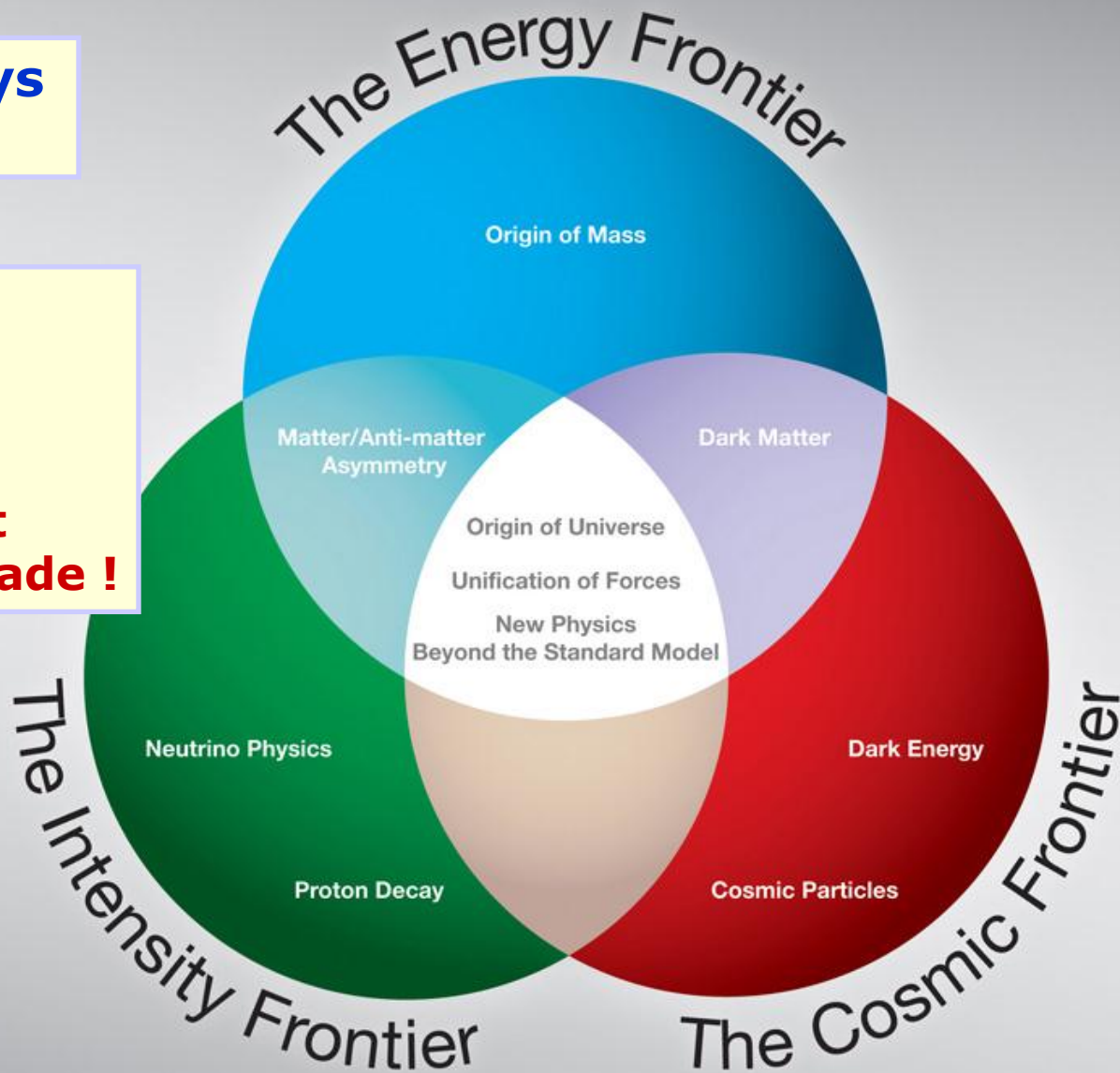
- ❑  $\mu \rightarrow e \gamma$  with MEG @ PSI
- ❑ New  $\mu \rightarrow 3e$  experiment @ PSI (if approved)
- ❑ Various LFV & LNV searches with ATLAS / CMS / LHCb @LHC
- ❑ Super B factories
- ❑  $\mu \rightarrow e$  conversion with Mu2e @ Fermilab
- ❑  $\mu \rightarrow e$  conversion with COMET @ J-PARC
- ❑  $\mu \rightarrow 3e$  conversion with Mu23 / Project X @ Fermilab
- ❑  $\mu \rightarrow e$  conversion with PRIME / PRISM @ J-PARC

# To reflect ... as a conclusion ...

**3 complementary ways  
of exploring HEP ...**

**... and LFV is often  
around the corner ...**

**You WILL be hearing a lot  
about LFV in the next decade !**



***Back-up slides***

Back-up slides

# Further reading

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- ❑ [arXiv:1112.4418](#) – LFV in MFV extensions of the seesaw
- ❑ [arXiv:0904.2080](#) – “Waiting for  $\mu \rightarrow e \gamma$  from the MEG experiment”
- ❑ [JHEP 10, 039 \(2007\)](#) – model-independent analysis of  $\tau \rightarrow \ell \ell$
- ❑  $\mu$  - e conversion – COMET Proposal and CDR
- ❑ [arXiv:0905.1613](#) – LFV and  $B_s$  Leptonic Final States at the LHC
- ❑ On  $\tau \rightarrow \mu \mu \mu$  :
  - [CMS CR-2009/013](#)
  - [Phys. Rev. D77, 073010 \(2008\)](#)
- ❑ [arXiv:1112.3631](#) –  $\mu$  g-2 and LFV in a 2HDM
- ❑ [arXiv:1112.4403](#) – SuSeFLAV, program for calculating SUSY spectra and LFV

# Searches for LFV with $J/\psi \rightarrow l l'$ decays

## BES II @ BEPC:

- Searches by the BES (Beijing Spectrometer Experiment) at BEPC (Beijing Electron Positron Collider)
  - Beam energy in range 1-2.5 GeV
  - Peak luminosity  $\sim 5 \times 10^{30} \text{ cm}^{-2} \text{ s}^{-1}$

$$BF(J/\psi \rightarrow e\mu) < 1.1 \times 10^{-6} \text{ @ 90\% C.L.}$$

BES Collaboration,  
Z. J. Bai *et al.*, Phys. Lett. B561, 49 (2003)

$$BF(J/\psi \rightarrow e\tau) < 8.3 \times 10^{-6} \text{ @ 90\% C.L.}$$

$$BF(J/\psi \rightarrow \mu\tau) < 2.0 \times 10^{-6} \text{ @ 90\% C.L.}$$

BES Collaboration,  
M. Ablikim *et al.*, Phys. Lett. B598, 172 (2004)

### Note:

- All results (both publications) using a sample of  $5.8 \times 10^7$   $J/\psi$  events from the 1999-2001 data sample
- $J/\psi \rightarrow e\tau$ ,  $\tau \rightarrow \mu\nu\nu$
- $J/\psi \rightarrow \mu\tau$ ,  $\tau \rightarrow e\nu\nu$

# Searches for LFV with $\Upsilon \rightarrow l l'$ decays

BSM effective field theory with Wilson OPE:

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{4\pi\alpha_N}{\Lambda^2} (\bar{\mu}\Gamma_\mu\tau)(\bar{b}\gamma^\mu b)$$

where  $\Gamma_\mu$  is a vector ( $\gamma_\mu$ ) or axial-vector ( $\gamma_\mu\gamma_5$ ) current or their combination,  $\Lambda$  is the scale of BSM physics, and  $\alpha_N$  is the effective LFV coupling of the new gauge symmetry associated with BSM.

TABLE I. Information necessary to interpret our results in terms of BSM physics scale  $\Lambda$  and coupling  $\alpha_N$ . We assume lepton universality and use our results for dielectron partial widths of  $\Upsilon$  mesons [17]. Full widths are according to the PDG summary [14].

	Y(1S)	Y(2S)	Y(3S)
Mass (GeV/ $c^2$ )	9.46	10.02	10.36
$N$ decays (millions)	20.8	9.3	5.9
$\Gamma(Y \rightarrow \mu\mu)$ (keV)	1.252	0.581	0.413
$\Gamma(Y)$ (keV)	53.0	43.0	26.3
$\mathcal{B}(\mu\mu)$ ( $\times 10^{-3}$ )	23.6	13.5	15.7
$\mathcal{B}(\mu\tau)$ (95% CL UL, $\times 10^{-6}$ )	6.0	14.4	20.3
$\mathcal{B}(\mu\tau)/\mathcal{B}(\mu\mu)$ (95% CL UL, $\times 10^{-3}$ )	0.25	1.1	1.3
$\Lambda$ (95% CL LL, TeV, $\alpha_N = 1.0$ )	1.30	0.98	0.98

(parameterisation of BSM physics avoiding explicit invocation of unknown dynamics)

Effective field theory allows one to relate the dilepton and LFV branching fractions of  $\Upsilon$  mesons to the scale  $\Lambda$  of LFV BSM physics [4,5] using

$$\frac{\Gamma(Y(nS) \rightarrow \mu\mu)}{\Gamma(Y(nS) \rightarrow \mu\mu)} = \frac{(\alpha_N)^2}{2e_b^2} \left(\frac{M[Y(nS)]}{\Lambda}\right)^4, \quad (3)$$

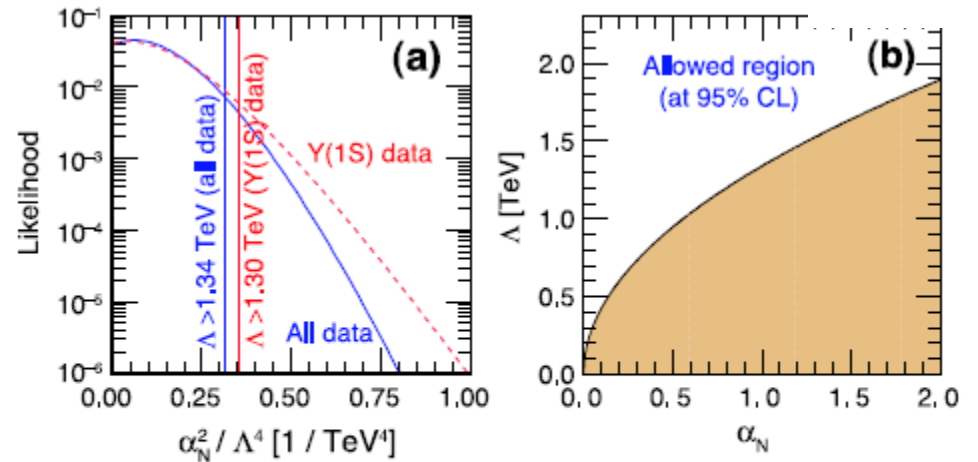
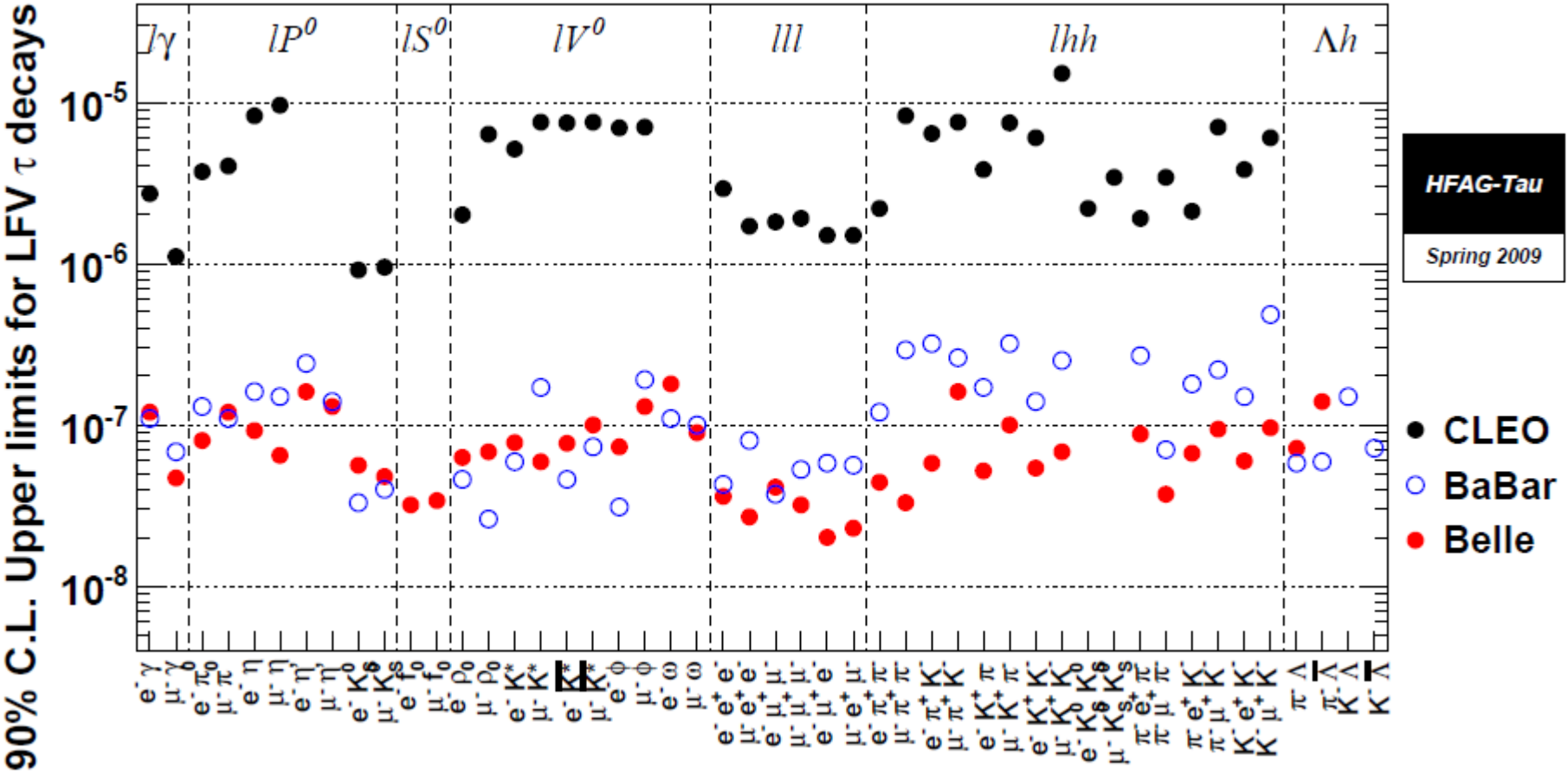


FIG. 3 (color online). (a) The distributions of the likelihood functions versus  $\alpha_N^2/\Lambda^4$  (95% CL ULs are shown assuming  $\alpha_N = 1$ ) and (b) the exclusion plot for  $\Lambda$  versus  $\alpha_N$ .

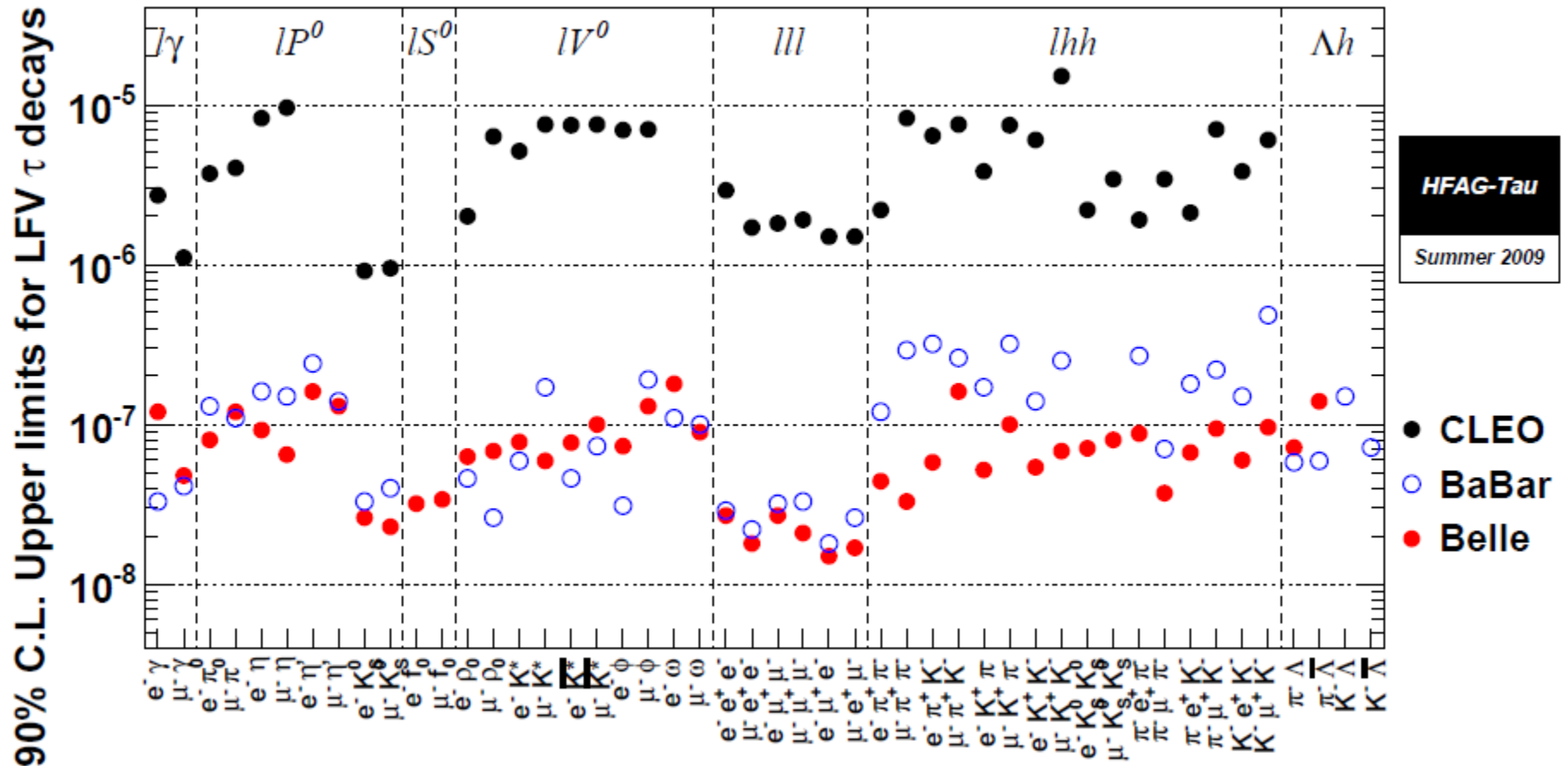
CLEO Collaboration,  
W. Love *et al.*, Phys. Rev. Lett. 101, 201601 (2008)  
arXiv:0807.2695v1 [hep-ex]



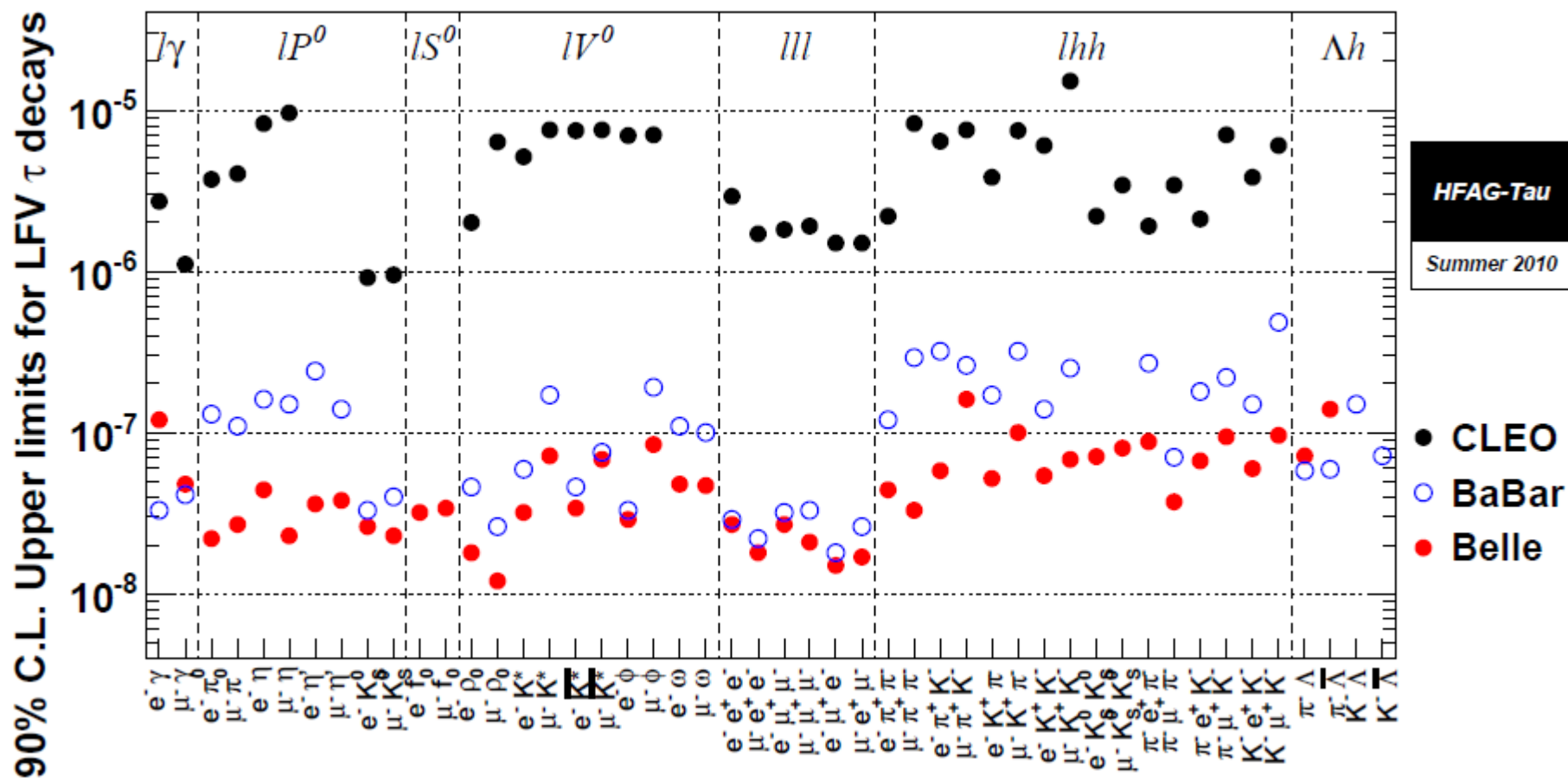
# LFV $\tau$ decays – HFAG summary



# LFV $\tau$ decays – HFAG summary



# LFV $\tau$ decays – HFAG summary

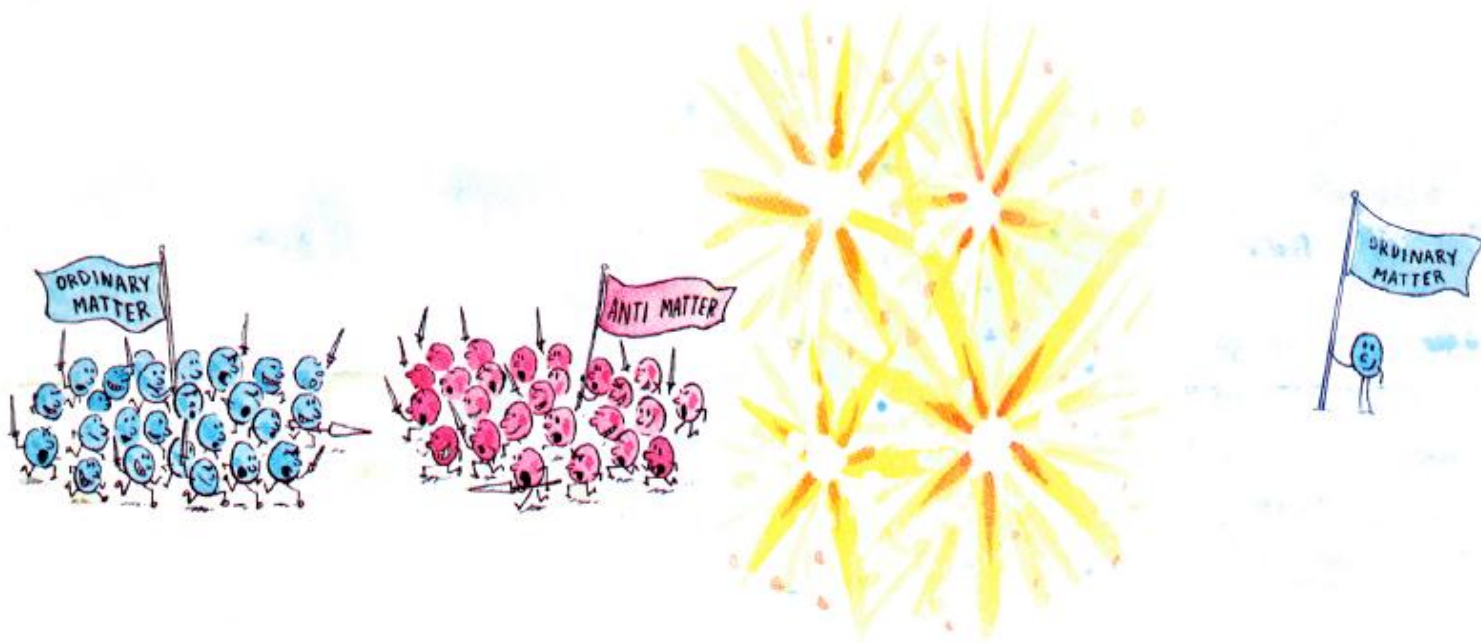


***What links LFV with baryogenesis and leptogenesis?***

***Bare with me for a bit ...***

# BAU – Baryon Asymmetry in the Universe

## □ Common belief:

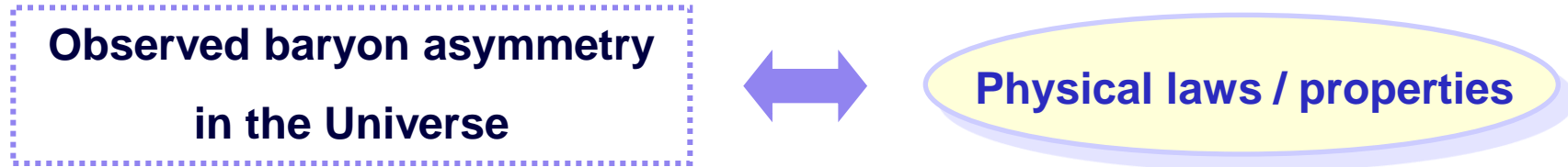


For every billion ordinary particles annihilating with antimatter  
in the early Universe, one extra was left “standing”

**Experimentally:**

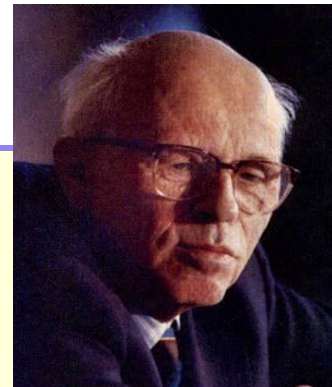
$$\frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.15 \pm 0.25) \times 10^{-10}$$

# Baryogenesis « on a postcard »



□ In 1967 A. Sakharov formulated a set of general conditions that any mechanism of B-asymmetry generation has to meet :

- 1) Need a process that violates the baryon number B:  
(Baryon number of matter=1, of antimatter = -1)
- 2) Both C and CP symmetries should be violated
- 3) Conditions 1) and 2) should occur during a phase in which there is *no* thermal equilibrium (i.e. out of equilibrium)



# Baryogenesis in the SM

---

**Does the SM fulfill Sakharov's conditions  
in a satisfactory way? NO!**

## **Baryon number violation :**

B is violated in the SM in a non-trivial way, via “sphaleron interactions”, i.e. quantum anomalies of the electroweak theory that violate B ... and L.

Sphaleron interactions = quantum tunnelling (barrier potential of electroweak height) between vacua of different B,L content. Strongly suppressed at  $T \ll 100$  GeV.

## **C and CP violation :**

The amount of CP violation provided by the CKM phase is far too small, as suppressed by small quark masses.

## **Out-of-equilibrium phase transition :**

The electroweak phase transition (EWPT), seen as a possible out-of-equilibrium stage, occurs at  $T \sim 100$  GeV and its (phase transition) order depends on the Higgs mass.

Out-of-equilibrium requires a small Higgs mass  $m_H \ll \sim 70$  GeV, ruled out by the present lower limit  $m_H > \sim 115$  GeV.

# Baryogenesis via Leptogenesis

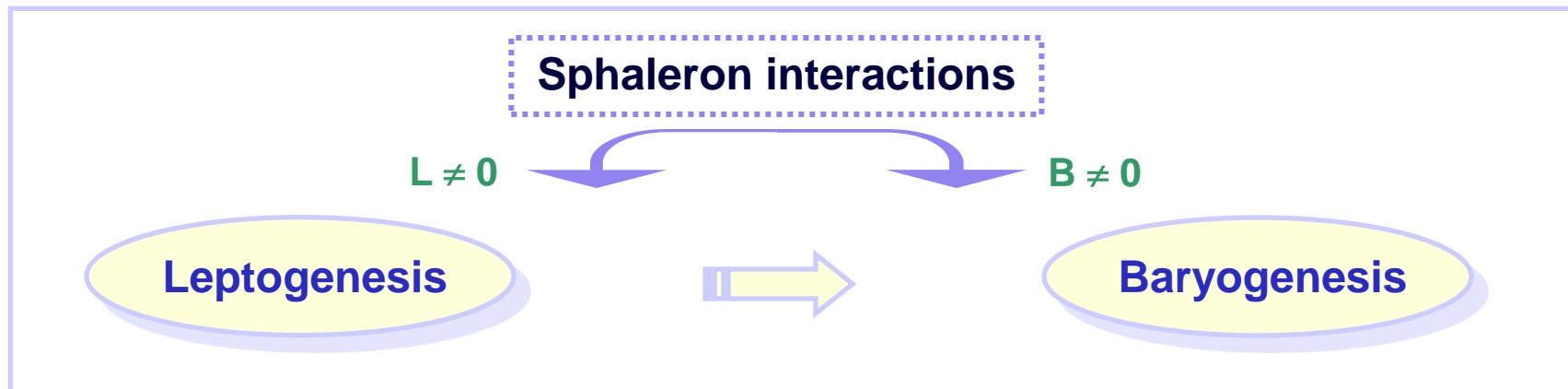
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## Baryogenesis :

Process by which an asymmetry between baryons and anti-baryons was produced in the very early universe, eventually resulting in the observed universe of today “dramatically” dominated by matter

## Leptogenesis :

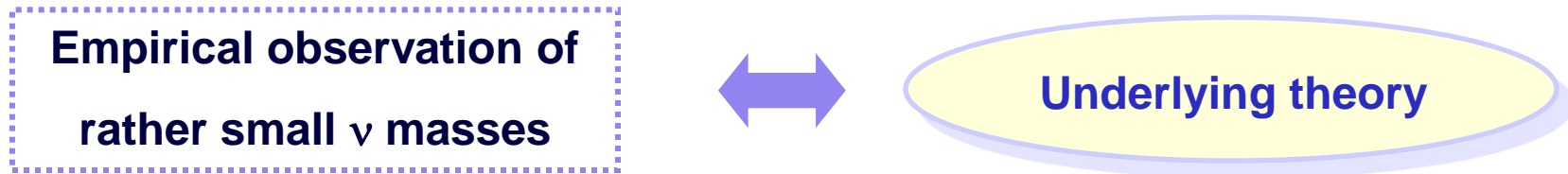
Process by which an asymmetry between leptons and anti-leptons was produced in the very early universe, resulting in the dominance of leptons over anti-leptons.





# The see-saw mechanism « on a postcard »

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- ❑ Minimal extensions of the SM incorporate heavy Majorana neutrinos  $\nu_M$  (masses typically  $\sim$ GUT scale)
- ❑ Presence of these heavy  $\nu_M$  may explain the smallness of the SM  $\nu$  masses by means of the see-saw mechanism:  
 $m_\nu \sim 1/m_{\nu N}$  after spontaneous symmetry breaking
- ❑ It turns out that Majorana masses are expected on general symmetry grounds BSM, which makes this see-saw mechanism rather likely

# Leptogenesis « on a postcard »

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## Basic leptogenesis :

- ❑ Existence of heavy right-handed neutrinos  $N$  with masses  $M$
- ❑ Phase transition at  $T \sim M$ , with CP violating decays of the  $N$   $\nu_M$ 's decay out of equilibrium  $\Rightarrow$  excess of lepton number  $L$
- ❑ BAU given sphaleron interactions:  $B \Rightarrow L$
- ❑ The 3 Sakharov conditions can then be satisfied !
  
- ❑ And a bonus: leptogenesis may relate the BAU to low-energy neutrino data and explain the small neutrino masses via the see-saw mechanism!
  
- ❑ There are many different leptogenesis scenarios (in the detail)

# The big picture beyond HEP – my schematic summary !

Experiment



Theory



Experiment

