



# The Basics of Particle Detection

**Christian Joram / CERN**

- **Lecture 1 – Interaction of charged particles**
  - Introduction - Some historical examples
  - Units, concept of cross-section, some numbers
  - Particles and interactions
  - Scattering, multiple scattering of charged particles
  - Energy loss by ionization, Bethe-Bloch, Landau
  - Cherenkov and Transition Radiation
  
- **Lecture 2 – Gaseous and solid state tracking detectors**
  
- **Lecture 3 – Calorimetry, scintillation and photodetection**

## ■ Text books (a selection)

- C. Grupen, B. Shwartz, Particle Detectors, 2<sup>nd</sup> ed., Cambridge University Press, 2008
- G. Knoll, Radiation Detection and Measurement, 3rd ed. Wiley, 2000
- W. R. Leo, Techniques for Nuclear and Particle Physics Experiments, Springer, 1994
- R.S. Gilmore, Single particle detection and measurement, Taylor&Francis, 1992
- K. Kleinknecht, Detectors for particle radiation , 2nd edition, Cambridge Univ. Press, 1998
- W. Blum, W. Riegler, L. Rolandi, Particle Detection with Drift Chambers, Springer, 2008
- R. Wigmans, Calorimetry, Oxford Science Publications, 2000
- G. Lutz, Semiconductor Radiation Detectors, Springer, 1999

## ■ Review Articles

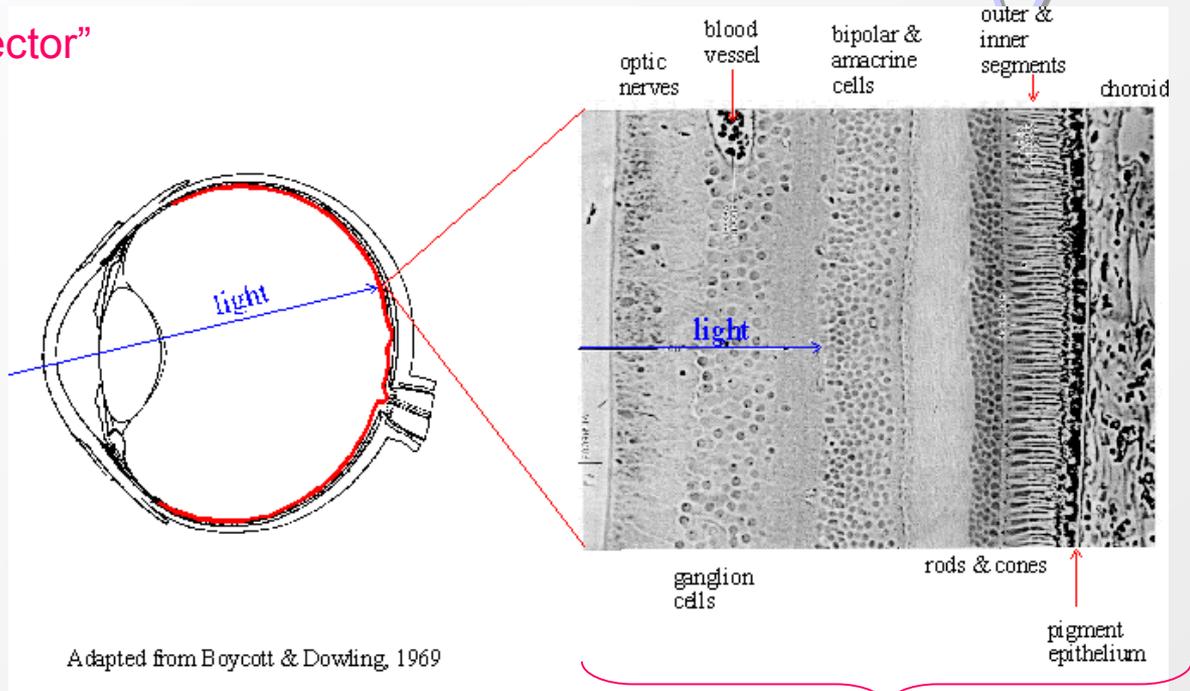
- Experimental techniques in high energy physics, T. Ferbel (editor), World Scientific, 1991.
- Instrumentation in High Energy Physics, F. Sauli (editor), World Scientific, 1992.
- Many excellent articles can be found in Ann. Rev. Nucl. Part. Sci.

## ■ Other sources

- Particle Data Book, Chin. Phys. C, **40**, 100001 (2016) <http://pdg.lbl.gov/pdg.html>
- R. Bock, A. Vasilescu, Particle Data Briefbook  
<http://www.cern.ch/Physics/ParticleDetector/BriefBook/> (not updated since 1999)
- Proceedings of detector conferences (Vienna VCI, TIPP, Elba, IEEE, Como, NDIP)
- Journals: Nucl. Instr. Meth. A, Journal of Instrumentation

“The oldest particle (photon) detector”

(built many billion times)



Adapted from Boycott & Dowling, 1969

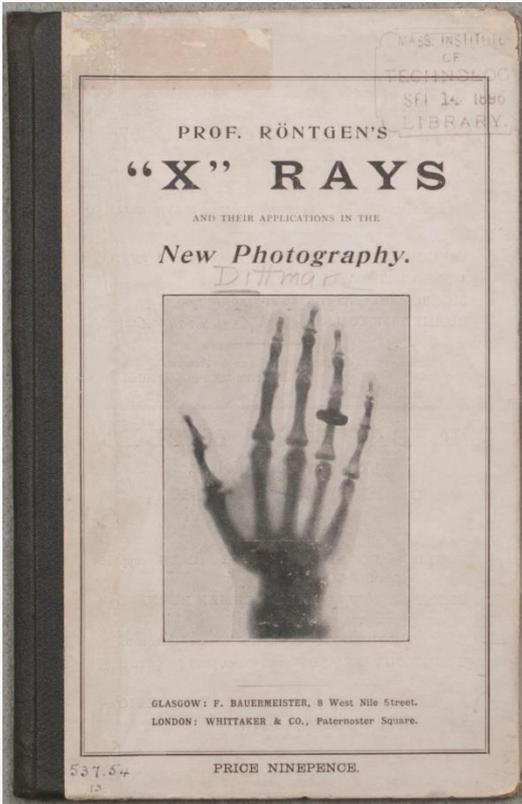
retina

- Good spatial resolution
- Very large dynamic range (1:10<sup>6</sup>)  
+ automatic threshold adaptation
- Energy (wavelength) discrimination
- Modest sensitivity: 500 to 900 photons must arrive at the eye every second for our brain to register a conscious signal
- Modest speed.  
Data taking rate ~ 10Hz (incl. processing)

Use of **photographic paper** as detector  
 → Detection of photons / x-rays



W. C. Röntgen,  
 1895: Discovery of  
 the 'X-Strahlen'



Photographic paper/film

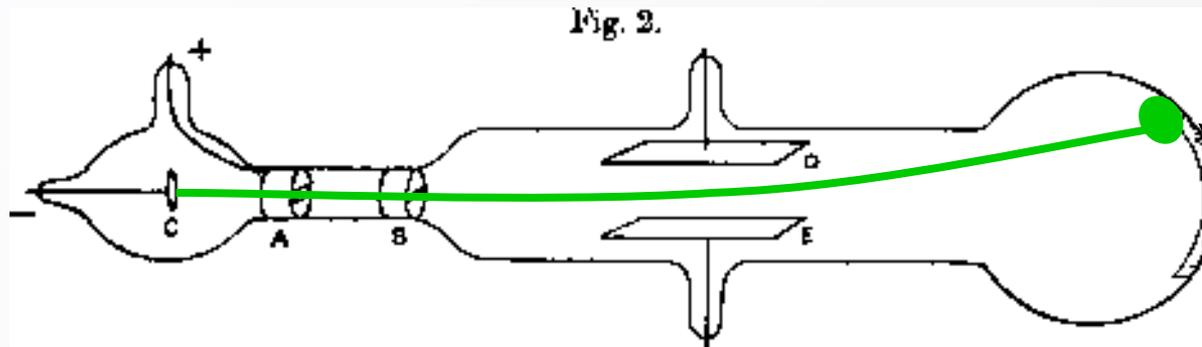
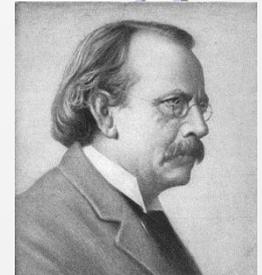
e.g. AgBr / AgCl

AgBr + 'energy'  
 → metallic Ag (blackening)

- + Very good spatial resolution
- + Good dynamic range
- No online recording
- No time resolution

## cathode ray tube

J. Plücker 1858 → J.J. Thomson 1897



Scintillation of glass

accelerator

manipulation

detector

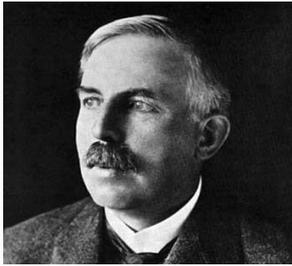
By E or B field

*From: J.J. Thomson: Cathode Rays.*

*Philosophical Magazine, 44, 293 (1897).*

“... The rays from the cathode C pass through a slit in the anode A, which is a metal plug fitting tightly into the tube and connected with the earth; after passing through a second slit in another earth-connected metal plug B, they travel between two parallel aluminium plates about 5 cm long by 2 broad and at a distance of 1.5 cm apart; **they then fall on the end of the tube and produce a narrow well-defined phosphorescent patch.** A scale pasted on the outside of the tube serves to measure the deflexion of this patch....”

# Historical examples

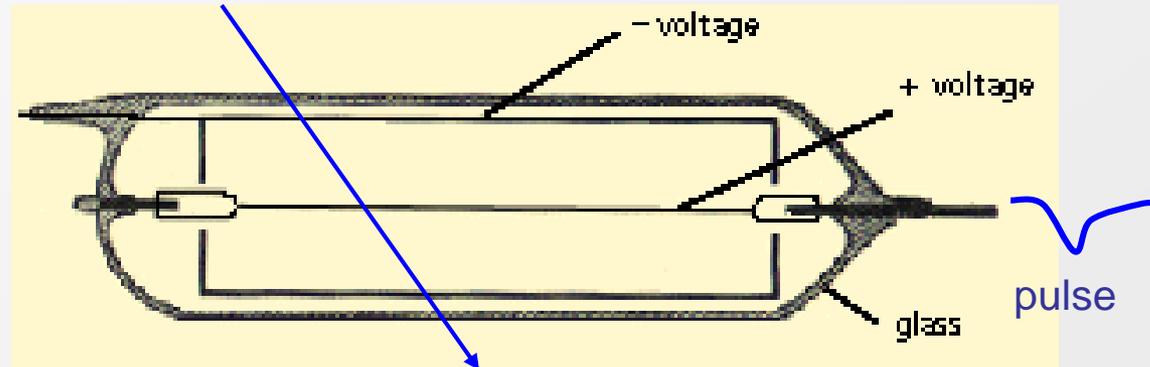


E. Rutherford

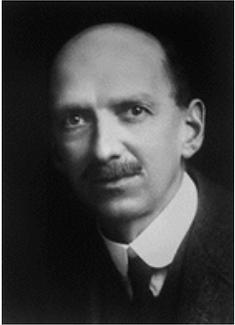


H. Geiger

1909 The Geiger counter, later (1928) further developed and then called **Geiger-Müller** counter

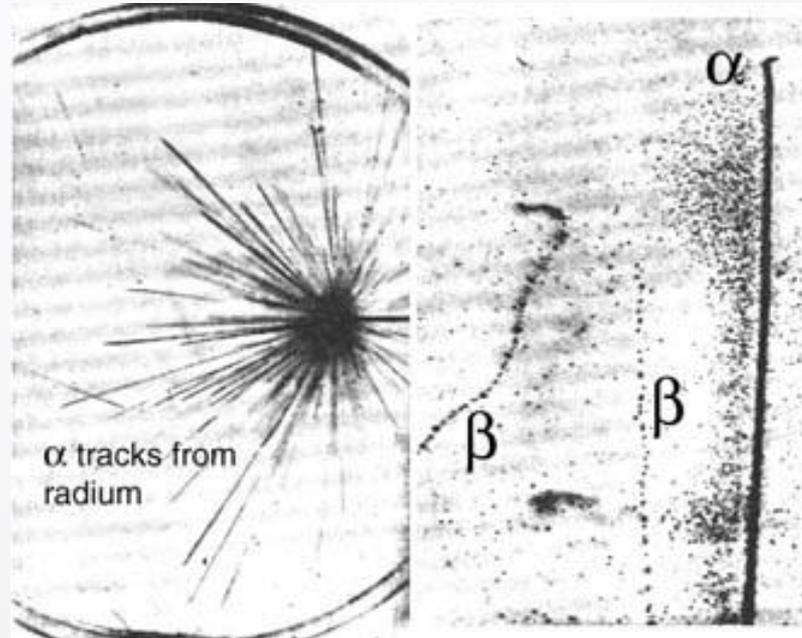


First electrical signal from a particle



C. T. R. Wilson

## 1912, Cloud chamber



First tracking detector

The general procedure was to allow water to evaporate in an enclosed container to the point of saturation and then lower the pressure, producing a **super-saturated volume of air**. Then the passage of **a charged particle would condense the vapor into tiny droplets**, producing a visible trail marking the particle's path.

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Detection of particles (and photons) requires an interaction with matter.

The interaction leads to ionisation or excitation of matter.

Most detection interactions are of electromagnetic nature.

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In the beginning there were **slow** “**photographic**” detectors or **fast** **counting** detectors.

New principles, electronics and computers allow us to build **fast** “**photographic**” detectors.

# Some important definitions and units

$$E^2 = \vec{p}^2 c^2 + m_0^2 c^4$$

$$\beta = \frac{v}{c} \quad (0 \leq \beta < 1) \quad \gamma = \frac{1}{\sqrt{1 - \beta^2}} \quad (1 \leq \gamma < \infty)$$

- energy  $E$ : measure in eV
- momentum  $p$ : measure in eV/c
- mass  $m_0$ : measure in eV/c<sup>2</sup>

$$E = m_0 \gamma c^2 \quad p = m_0 \gamma \beta c \quad \beta = \frac{pc}{E}$$

1 eV is a tiny portion of energy. 1 eV = 1.6 · 10<sup>-19</sup> J



$$m_{bee} = 1\text{g} = 5.8 \cdot 10^{32} \text{ eV}/c^2$$

$$v_{bee} = 1\text{m/s} \rightarrow E_{bee} = 10^{-3} \text{ J} = 6.25 \cdot 10^{15} \text{ eV}$$

$$E_{LHC} = 14 \cdot 10^{12} \text{ eV}$$

To rehabilitate LHC...

Total stored beam energy:  $E_{total} = 10^{14} \text{ protons} \cdot 7 \cdot 10^{12} \text{ eV} \approx 7 \cdot 10^{26} \text{ eV} \approx 1 \cdot 10^8 \text{ J}$

this corresponds to a



$$m_{truck} = 100 \text{ T}$$

$$v_{truck} = 120 \text{ km/h}$$

Stored energy in LHC magnets ~ 1 GJ



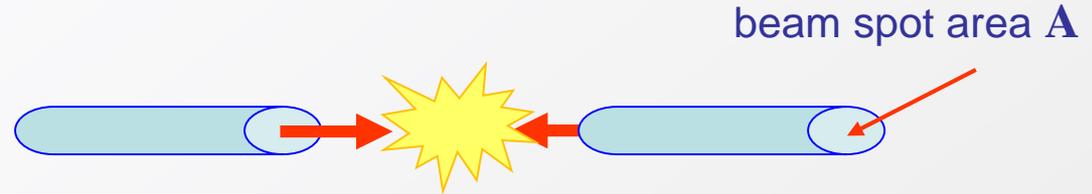
$$m_{747} = 400 \text{ T}$$

$$v_{747} = 255 \text{ km/h}$$

# The concept of cross sections

Cross sections  $\sigma$  or differential cross sections  $d\sigma/d\Omega$  are used to express the probability of interactions between elementary particles.

## Example: 2 colliding particle beams



What is the interaction rate  $R_{int.}$  ?

$\sigma$  has dimension area !  
 Practical unit:  
 1 barn (b) =  $10^{-24} \text{ cm}^2 = 10^{-28} \text{ m}^2$

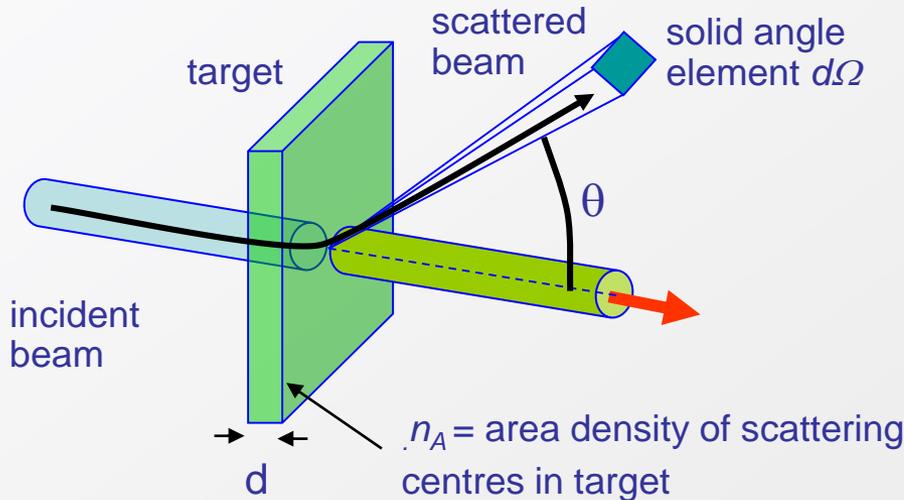
$$\Phi_1 = N_1/t$$

$$\Phi_2 = N_2/t$$

$$R_{int} \propto \underbrace{N_1 N_2 / (A \cdot t)}_{L} = \sigma \cdot L$$

Luminosity  $L$  [ $\text{cm}^{-2} \text{ s}^{-1}$ ]

## Example: Scattering from target



$$N_{scat}(\theta) \propto N_{inc} \cdot n_A \cdot d\Omega$$

$$= d\sigma/d\Omega(\theta) \cdot N_{inc} \cdot n_A \cdot d\Omega$$

$$\left[ n_A = \frac{N_A}{A} d \cdot \rho \quad \begin{array}{l} N_A = \text{Avogadro's number} \\ A = \text{mass number} \end{array} \right]$$

## A real event in ATLAS

$pp \rightarrow x+Z \rightarrow 2\mu + \text{lots of 'background'}$

pp collision at  $\sqrt{s} = 14 \text{ TeV}$ ,

$$\sigma_{\text{total}} \approx 100 \text{ mb} \sim 10^{-25} \text{ cm}^2 = 10^{-29} \text{ m}^2$$

Reminder:  $r_{\text{p charge}} \sim 0.85 \text{ fm}$

Geometric cross-section:

$$A = \pi r^2 = 7 \cdot 10^{-29} \text{ m}^2$$

We are however interested in processes with  $\sigma \approx 10\text{--}100 \text{ fb}$  (Higgs production, new physics).

We need an accelerator with very high luminosity

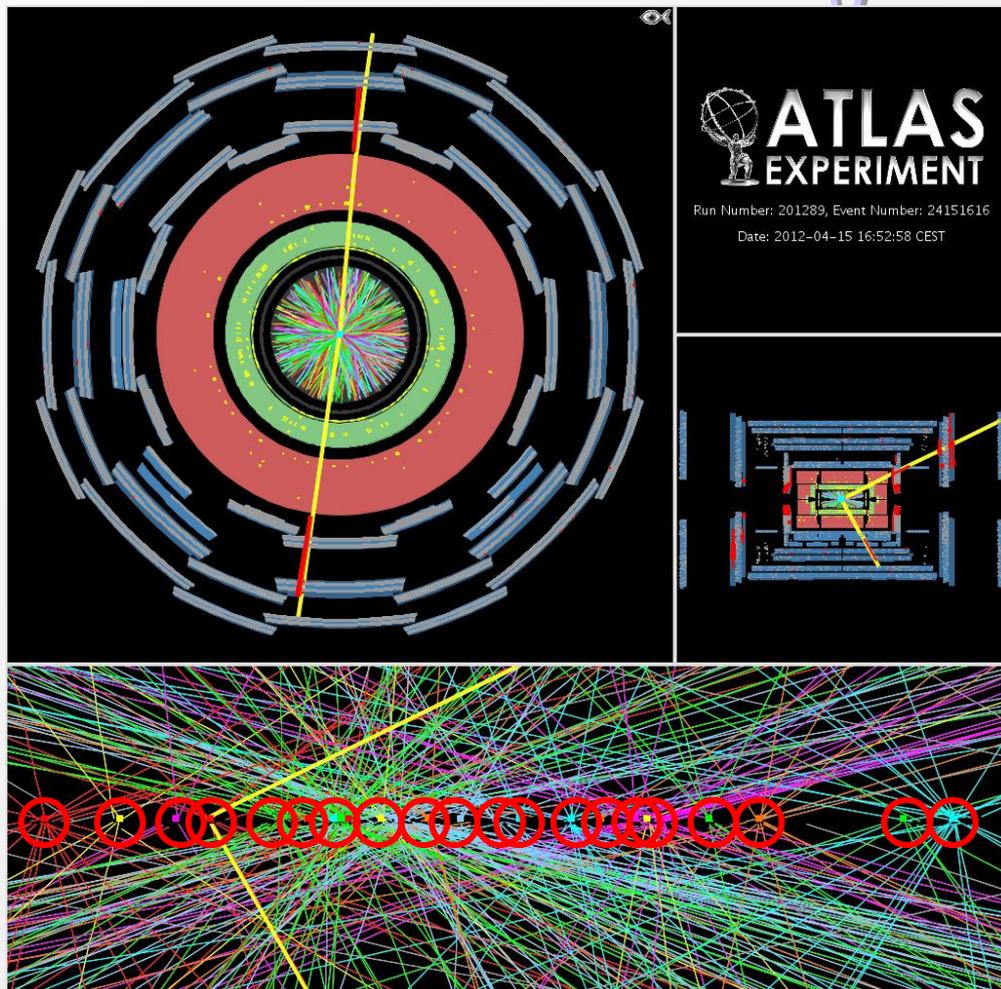
$$L_{\text{LHC}} \sim 5 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1},$$

$$R = L \cdot \sigma_{\text{total}} = 5 \cdot 10^{33} \cdot 10^{-25} \text{ s}^{-1} = 5 \cdot 10^8 \text{ s}^{-1}$$

LHC bunch spacing = 50(25) ns

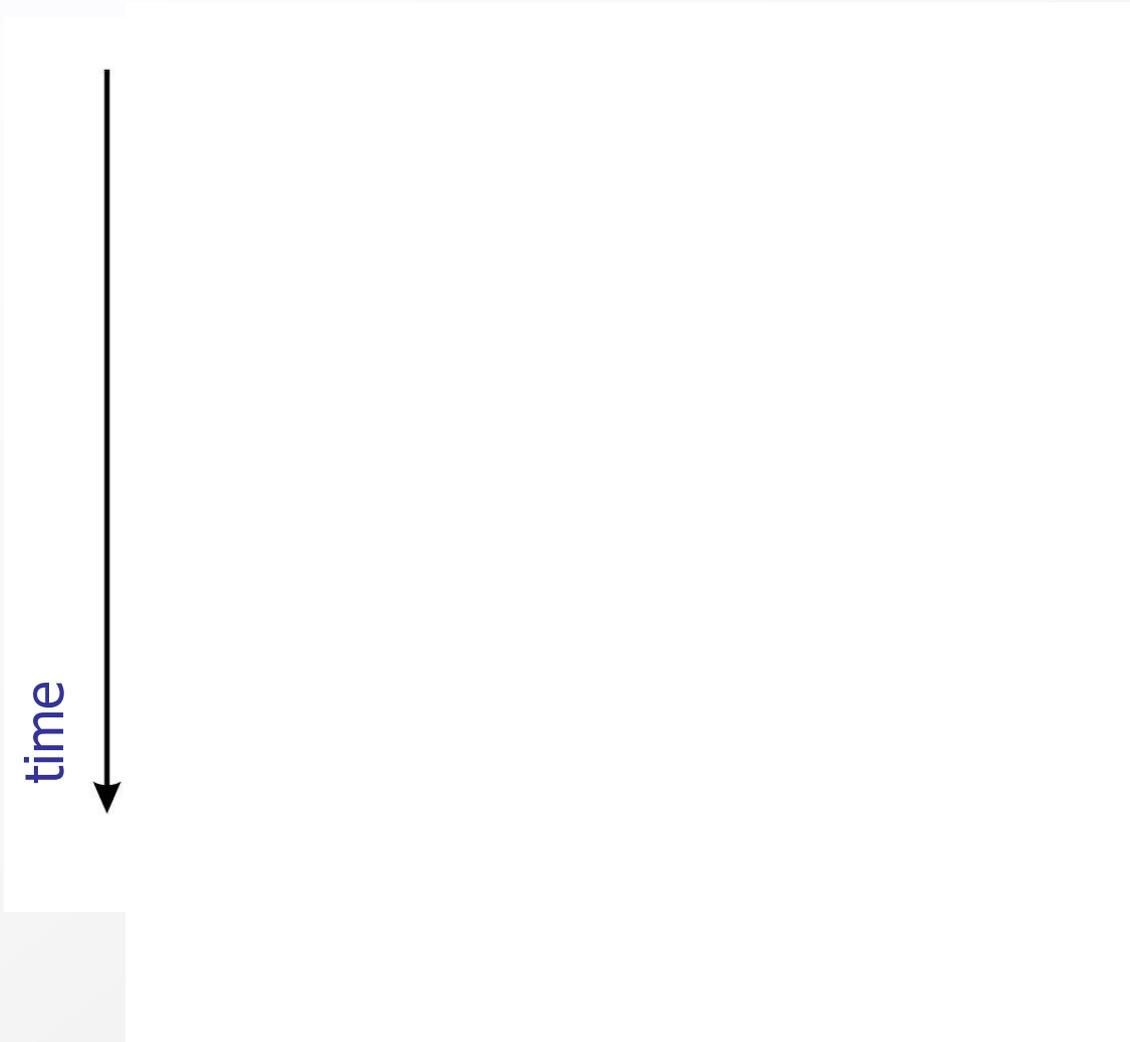
→ 1 s =  $20 \cdot 10^6$  bunch crossings (BC)

→ In every BC we have on average  $5 \cdot 10^8 / 20 \cdot 10^6 = 25$  overlapping pp events !



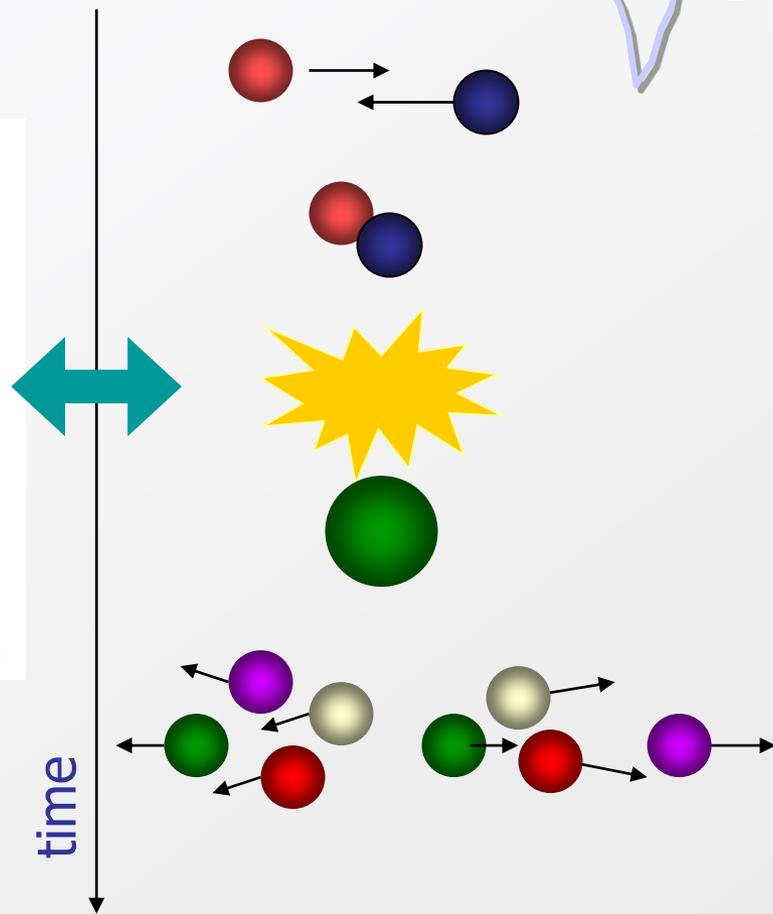
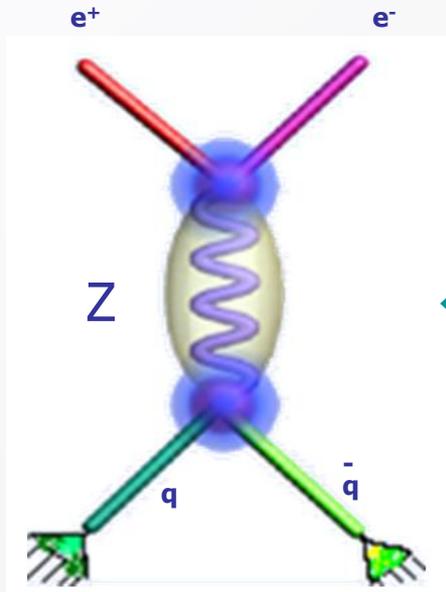
## Higgs production (in the macroscopic world)

time



Idealistic views of an elementary particle reaction

$$e^+ + e^- \rightarrow Z^0 \rightarrow q\bar{q} \quad (+ \text{hadronization})$$

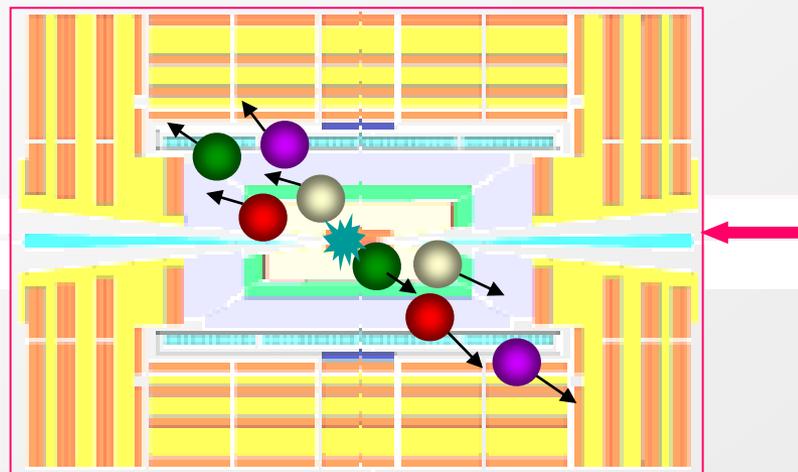


- Usually we can not ‘see’ the **reaction** itself, but only the **end products** of the reaction.
- In order to reconstruct the reaction mechanism and the properties of the involved particles, we want the **maximum information** about the end products !

## The 'ideal' particle detector should provide...

- coverage of full solid angle (no cracks, fine segmentation)
- detect, track and identify all particles (mass, charge)
- measurement of momentum and/or energy
- fast response, no dead time
- But .... there are practical limitations (technology, space, budget) !

$e^+e^-$ ,  $ep$ ,  
 $pp$ ,  $p\bar{p}$



end products

- charged particles
- neutral particles
- photons

- **Particles are detected via their interaction with matter.**
- **Many different physical principles are involved (mainly of electromagnetic nature). Finally we will always observe ionization and/or excitation of matter.**

### Baryon Summary Table

This short table gives the name, the quantum numbers (where known), and the status of baryons in the Review. Only the baryons with 3 or 4 star status are included in the Baryon Summary Table. Due to insufficient data or uncertain interpretation, the other entries in the table are not established baryons. The names with masses are for baryons that decay strongly. The spin parity  $J^P$  (when known) is given with each particle. For the strongly decaying particles, the  $J^P$  values are considered to be part of the names.

$p$	$1/2^+$ ****	$\Delta(1232)$	$3/2^+$ ****	$\Sigma^+$	$1/2^+$ ****	$\Xi^0$	$1/2^+$ ****	$\Lambda_c^+$	$1/2^+$ ****
$n$	$1/2^+$ ****	$\Delta(1600)$	$3/2^+$ ****	$\Sigma^0$	$1/2^+$ ****	$\Xi^-$	$1/2^+$ ****	$\Lambda_c(2595)^+$	$1/2^-$ ****
$N(1440)$	$1/2^+$ ****	$\Delta(1620)$	$1/2^-$ ****	$\Sigma^-$	$1/2^+$ ****	$\Xi(1530)$	$3/2^+$ ****	$\Lambda_c(2625)^+$	$3/2^-$ ****
$N(1520)$	$3/2^-$ ****	$\Delta(1700)$	$3/2^-$ ****	$\Sigma(1385)$	$3/2^+$ ****	$\Xi(1620)$	*	$\Lambda_c(2765)^+$	*
$N(1535)$	$1/2^-$ ****	$\Delta(1750)$	$1/2^+$ *	$\Sigma(1480)$	*	$\Xi(1690)$	*	$\Lambda_c(2880)^+$	$5/2^+$ ****
$N(1650)$	$1/2^-$ ****	$\Delta(1900)$	$1/2^-$ **	$\Sigma(1560)$	**	$\Xi(1820)$	$3/2^-$ **	$\Lambda_c(2940)^+$	****
$N(1675)$	$5/2^-$ ****	$\Delta(1905)$	$5/2^+$ ****	$\Sigma(1580)$	$3/2^-$ **	$\Xi(1950)$	*	$\Sigma_c(2455)$	$1/2^+$ ****
$N(1680)$	$1/2^+$ ****	$\Delta(1910)$	$1/2^+$ ****	$\Sigma(1620)$	$1/2^-$ *	$\Xi(2030)$	$\geq \frac{1}{2}^?$ ***	$\Sigma_c(2520)$	$3/2^+$ ****
$N(1685)$	*	$\Delta(1920)$	$3/2^+$ ****	$\Sigma(1660)$	$1/2^+$ **	$\Xi(2120)$	*	$\Sigma_c(2800)$	****
$N(1700)$	$3/2^-$ ****	$\Delta(1930)$	$5/2^-$ ****	$\Sigma(1670)$	$3/2^-$ ****	$\Xi(2250)$	*	$\Xi_c^+$	$1/2^+$ ****
$N(1710)$	$1/2^+$ ****	$\Delta(1940)$	$3/2^-$ ****	$\Sigma(1690)$	**	$\Xi(2370)$	*	$\Xi_c^0$	$1/2^+$ ****
$N(1720)$	$3/2^+$ ****	$\Delta(1980)$	$7/2^+$ ****	$\Sigma(1750)$	$1/2^-$ ****	$\Xi(2600)$	*	$\Xi_c^+$	$3/2^+$ ****
$N(1860)$	$5/2^+$ **	$\Delta(2000)$	$7/2^+$ ****	$\Sigma(1775)$	$5/2^+$ ****	$\Xi(2620)$	*	$\Xi_c^0$	$3/2^+$ ****
$N(1875)$	$3/2^-$ ****	$\Delta(2150)$	$1/2^-$ ****	$\Sigma(1840)$	$3/2^+$ *	$\Xi(2645)$	$3/2^-$ ****	$\Xi_c^+$	$1/2^-$ ****
$N(1880)$	$1/2^+$ ****	$\Delta(2200)$	$7/2^-$ *	$\Sigma(1840)$	$3/2^+$ *	$\Xi(2790)$	$1/2^-$ ****	$\Xi_c^0$	$1/2^-$ ****
$N(1895)$	$1/2^-$ **	$\Delta(2300)$	$7/2^+$ *	$\Sigma(1880)$	$3/2^-$ ****	$\Xi(2830)$	*	$\Xi_c^+$	$3/2^+$ ****
$N(1900)$	$3/2^+$ ****	$\Delta(2350)$	$1/2^-$ ****	$\Sigma(1910)$	$1/2^-$ ****	$\Xi(2850)$	*	$\Xi_c^0$	$3/2^+$ ****
$N(1990)$	$7/2^+$ **	$\Delta(2390)$	$7/2^+$ *	$\Sigma(1940)$	$3/2^-$ ****	$\Xi(2930)$	*	$\Xi_c^+$	$1/2^-$ ****
$N(2000)$	$5/2^+$ **	$\Delta(2400)$	$1/2^-$ ****	$\Sigma(2000)$	$3/2^+$ **	$\Xi(3000)$	*	$\Xi_c^0$	$1/2^-$ ****
$N(2040)$	$3/2^+$ *	$\Delta(2450)$	$1/2^-$ ****	$\Sigma(2070)$	$5/2^+$ **	$\Xi(3123)$	*	$\Xi_c^+$	$1/2^+$ **
$N(2060)$	$5/2^-$ **	$\Delta(2500)$	$13/2^+$ **	$\Sigma(2080)$	$3/2^+$ **	$\Xi(3123)$	*	$\Xi_c^0$	$1/2^+$ **
$N(2100)$	$1/2^+$ *	$\Delta(2550)$	$15/2^+$ **	$\Sigma(2100)$	$7/2^-$ **	$\Xi(3270)^?$	$3/2^+$ ****	$\Xi_c^+$	$3/2^+$ ****
$N(2120)$	$3/2^-$ ****	$\Lambda$	$1/2^+$ ****	$\Sigma(2250)$	****	$\Xi(3400)$	*	$\Xi_c^0$	$3/2^+$ ****
$N(2190)$	$7/2^-$ ****	$\Lambda(2000)$	$1/2^-$ ****	$\Sigma(2455)$	****	$\Xi(3500)$	*	$\Xi_c^+$	$3/2^+$ ****
$N(2220)$	$9/2^+$ ****	$\Lambda(2100)$	$7/2^-$ ****	$\Sigma(2550)$	****	$\Xi(3570)$	*	$\Xi_c^0$	$3/2^+$ ****
$N(2250)$	$5/2^+$ ****	$\Lambda(2170)$	$1/2^-$ ****	$\Sigma(2600)$	****	$\Xi(3620)$	*	$\Xi_c^+$	$3/2^+$ ****
$N(2300)$	$1/2^+$ **	$\Lambda(2250)$	$1/2^-$ ****	$\Sigma(2650)$	****	$\Xi(3710)$	*	$\Xi_c^0$	$3/2^+$ ****
$N(2570)$	$5/2^-$ **	$\Lambda(2350)$	$9/2^+$ ****	$\Sigma(2700)$	****	$\Xi(3810)$	*	$\Xi_c^+$	$3/2^+$ ****
$N(2600)$	$11/2^-$ ****	$\Lambda(2450)$	$1/2^-$ ****	$\Sigma(2750)$	****	$\Xi(3910)$	*	$\Xi_c^0$	$3/2^+$ ****
$N(2700)$	$13/2^+$ **	$\Lambda(2585)$	**	$\Sigma(2800)$	****	$\Xi(4010)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(2600)$	$1/2^-$ ****	$\Sigma(2850)$	****	$\Xi(4110)$	*	$\Xi_c^0$	$3/2^+$ ****
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		$\Lambda(2800)$	$1/2^-$ ****	$\Sigma(3050)$	****	$\Xi(4510)$	*	$\Xi_c^0$	$3/2^+$ ****
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		$\Lambda(3000)$	$1/2^-$ ****	$\Sigma(3250)$	****	$\Xi(4910)$	*	$\Xi_c^0$	$3/2^+$ ****
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		$\Lambda(3650)$	$1/2^-$ ****	$\Sigma(3900)$	****	$\Xi(6210)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(3700)$	$1/2^-$ ****	$\Sigma(3950)$	****	$\Xi(6310)$	*	$\Xi_c^0$	$3/2^+$ ****
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		$\Lambda(3850)$	$1/2^-$ ****	$\Sigma(4100)$	****	$\Xi(6610)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(3900)$	$1/2^-$ ****	$\Sigma(4150)$	****	$\Xi(6710)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(3950)$	$1/2^-$ ****	$\Sigma(4200)$	****	$\Xi(6810)$	*	$\Xi_c^+$	$3/2^+$ ****
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		$\Lambda(4200)$	$1/2^-$ ****	$\Sigma(4450)$	****	$\Xi(7310)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(4250)$	$1/2^-$ ****	$\Sigma(4500)$	****	$\Xi(7410)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(4300)$	$1/2^-$ ****	$\Sigma(4550)$	****	$\Xi(7510)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(4350)$	$1/2^-$ ****	$\Sigma(4600)$	****	$\Xi(7610)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(4400)$	$1/2^-$ ****	$\Sigma(4650)$	****	$\Xi(7710)$	*	$\Xi_c^0$	$3/2^+$ ****
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		$\Lambda(4550)$	$1/2^-$ ****	$\Sigma(4800)$	****	$\Xi(8010)$	*	$\Xi_c^+$	$3/2^+$ ****
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		$\Lambda(4700)$	$1/2^-$ ****	$\Sigma(4950)$	****	$\Xi(8310)$	*	$\Xi_c^0$	$3/2^+$ ****
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		$\Lambda(4800)$	$1/2^-$ ****	$\Sigma(5050)$	****	$\Xi(8510)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(4850)$	$1/2^-$ ****	$\Sigma(5100)$	****	$\Xi(8610)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(4900)$	$1/2^-$ ****	$\Sigma(5150)$	****	$\Xi(8710)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(4950)$	$1/2^-$ ****	$\Sigma(5200)$	****	$\Xi(8810)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5000)$	$1/2^-$ ****	$\Sigma(5250)$	****	$\Xi(8910)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5050)$	$1/2^-$ ****	$\Sigma(5300)$	****	$\Xi(9010)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5100)$	$1/2^-$ ****	$\Sigma(5350)$	****	$\Xi(9110)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5150)$	$1/2^-$ ****	$\Sigma(5400)$	****	$\Xi(9210)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5200)$	$1/2^-$ ****	$\Sigma(5450)$	****	$\Xi(9310)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5250)$	$1/2^-$ ****	$\Sigma(5500)$	****	$\Xi(9410)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5300)$	$1/2^-$ ****	$\Sigma(5550)$	****	$\Xi(9510)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5350)$	$1/2^-$ ****	$\Sigma(5600)$	****	$\Xi(9610)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5400)$	$1/2^-$ ****	$\Sigma(5650)$	****	$\Xi(9710)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5450)$	$1/2^-$ ****	$\Sigma(5700)$	****	$\Xi(9810)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5500)$	$1/2^-$ ****	$\Sigma(5750)$	****	$\Xi(9910)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5550)$	$1/2^-$ ****	$\Sigma(5800)$	****	$\Xi(10010)$	*	$\Xi_c^+$	$3/2^+$ ****
		$\Lambda(5600)$	$1/2^-$ ****	$\Sigma(5850)$	****	$\Xi(10110)$	*	$\Xi_c^0$	$3/2^+$ ****
		$\Lambda(5650)$							

# Interaction of charged particles

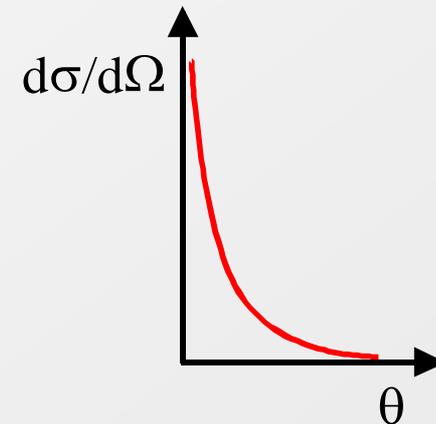
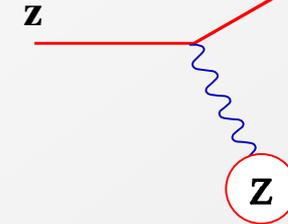
## ■ (Elastic) Scattering

An incoming particle with charge  $z$  interacts elastically with a target of nuclear charge  $Z$ .

The cross-section for this e.m. process is

$$\frac{d\sigma}{d\Omega}(\theta) = 4zZr_e^2 \left( \frac{m_e c}{\beta p} \right)^2 \frac{1}{\sin^4 \theta/2} \quad \text{Rutherford formula}$$

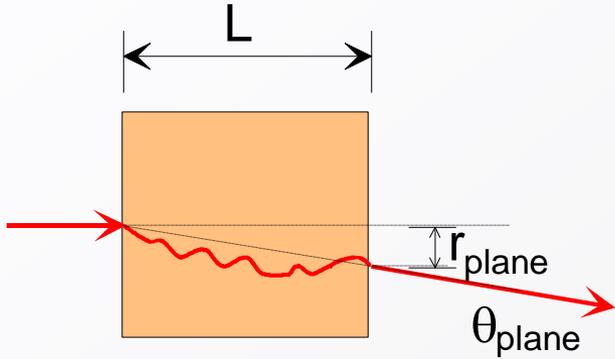
- Approximation
  - Non-relativistic
  - No spins
- Average scattering angle  $\langle \theta \rangle = 0$
- Cross-section for  $\theta \rightarrow 0$  infinite !
- Scattering does not lead to significant energy loss (nuclei are heavy!)



# Interaction of charged particles

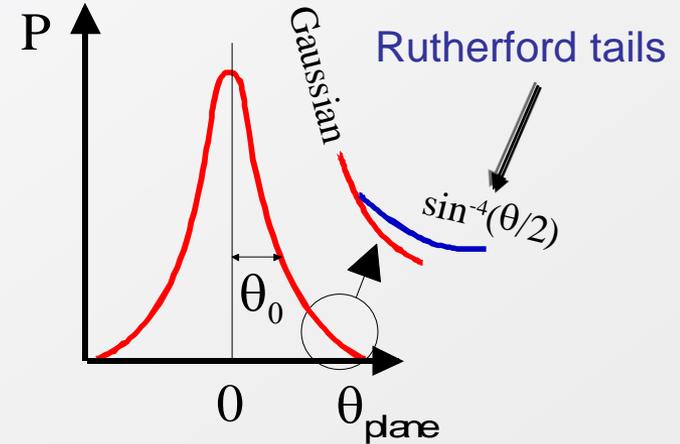
In a sufficiently thick material layer a particle will undergo ...

## Multiple Scattering



The final displacement and direction are the result of many independent random scatterings

- Central limit theorem
- Gaussian distribution

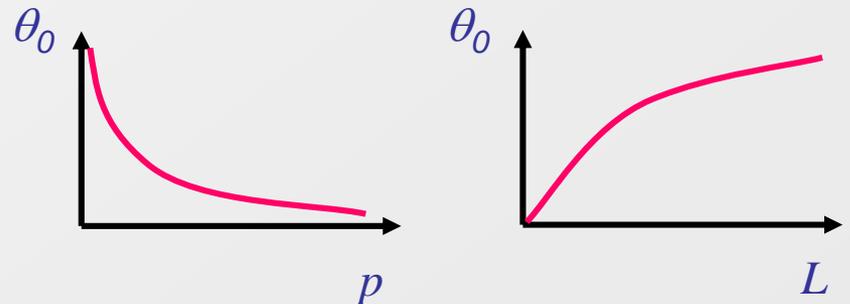


$$\theta_0 = \theta_{plane}^{RMS} = \sqrt{\langle \theta_{plane}^2 \rangle} = \frac{1}{\sqrt{2}} \theta_{space}^{RMS}$$

Approximation

$$\theta_0 \propto \frac{1}{p} \sqrt{\frac{L}{X_0}}$$

$X_0$  is radiation length of the medium  
(discuss later)

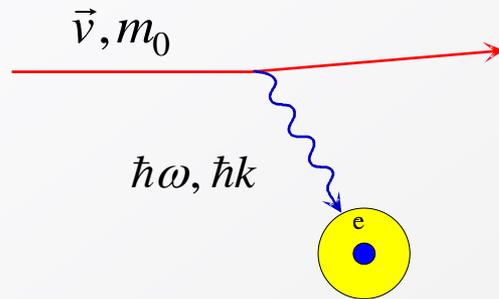


# Interaction of charged particles

## ■ Detection of charged particles

Particles can only be detected if they deposit energy in matter.  
How do they lose energy in matter ?

Discrete collisions with the atomic **electrons** of the absorber material.



$$\left\langle \frac{dE}{dx} \right\rangle = - \int_0^\infty N E \frac{d\sigma}{dE} \hbar d\omega \quad \left( \omega = 2\pi f = 2\pi \cdot \frac{c}{\lambda} \right)$$

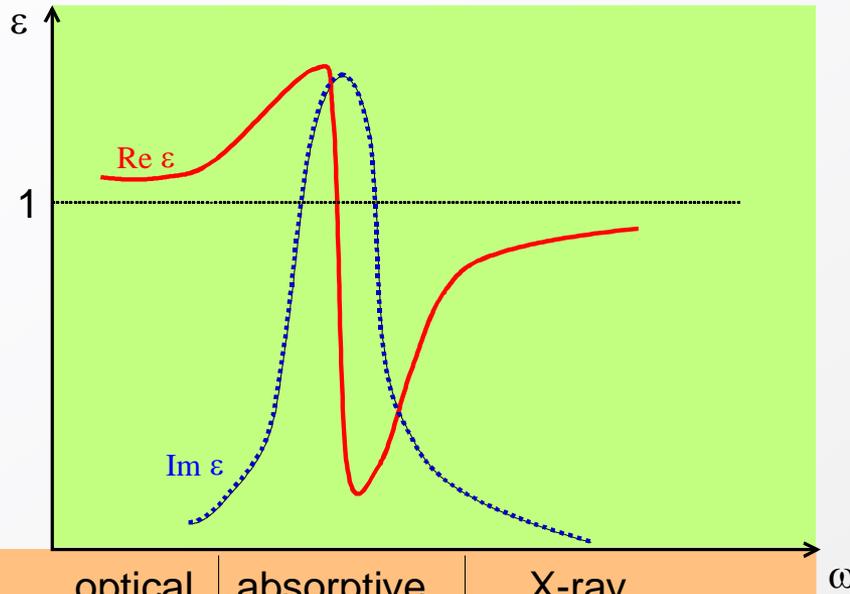
$N$ : electron density

W.W.M. Allison, J.H. Cobb,  
Ann. Rev. Nucl. Part. Sci. 1980. 30: 253-98

Collisions with nuclei not important for energy loss ( $m_N \gg m_e$ )

If  $\hbar\omega, \hbar k$  are in the right range  $\Leftrightarrow$  ionization.

# Interaction of charged particles



regime:	optical	absorptive	X-ray
effect:	Cherenkov radiation	ionisation	transition radiation

Optical behaviour of medium is characterized by the complex dielectric constant  $\epsilon$

$\text{Re} \sqrt{\epsilon} = n$     Refractive index  
 $\text{Im} \epsilon = k$     Absorption parameter

Instead of ionizing an atom or exciting the matter, under certain conditions the photon can also escape from the medium.

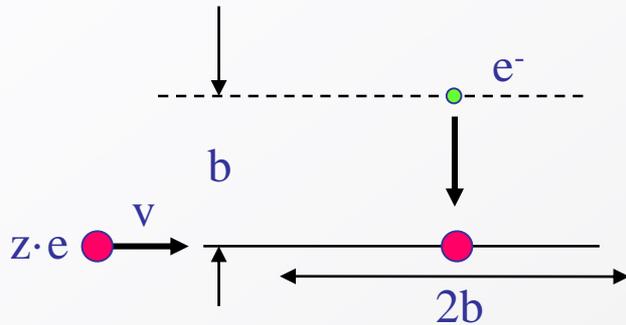
⇒ Emission of **Cherenkov** and **Transition** radiation. (See later). This emission of real photons contributes also to the energy loss.

# Interaction of charged particles

## ■ Average differential energy loss $\left\langle \frac{dE}{dx} \right\rangle$

... making Bethe-Bloch plausible.

Energy loss at a single encounter with an electron



$$F_c = \frac{ze^2}{b^2} \quad \Delta t = \frac{2b}{v} \quad \Delta p_e = F_c \Delta t$$

$$\Delta E_e = \frac{(\Delta p_e)^2}{2m_e} = \frac{2z^2 e^4}{b^2 v^2 m_e} = \frac{2r_e^2 m_e c^2 z^2}{b^2} \cdot \frac{1}{\beta^2}$$

Introduced classical electron radius

$$r_e = \frac{e^2}{m_e c^2}$$

How many encounters are there ?

Should be proportional to electron density in medium

$$N_e \propto \frac{Z}{A} N_A \cdot \rho$$

The real Bethe-Bloch formula.

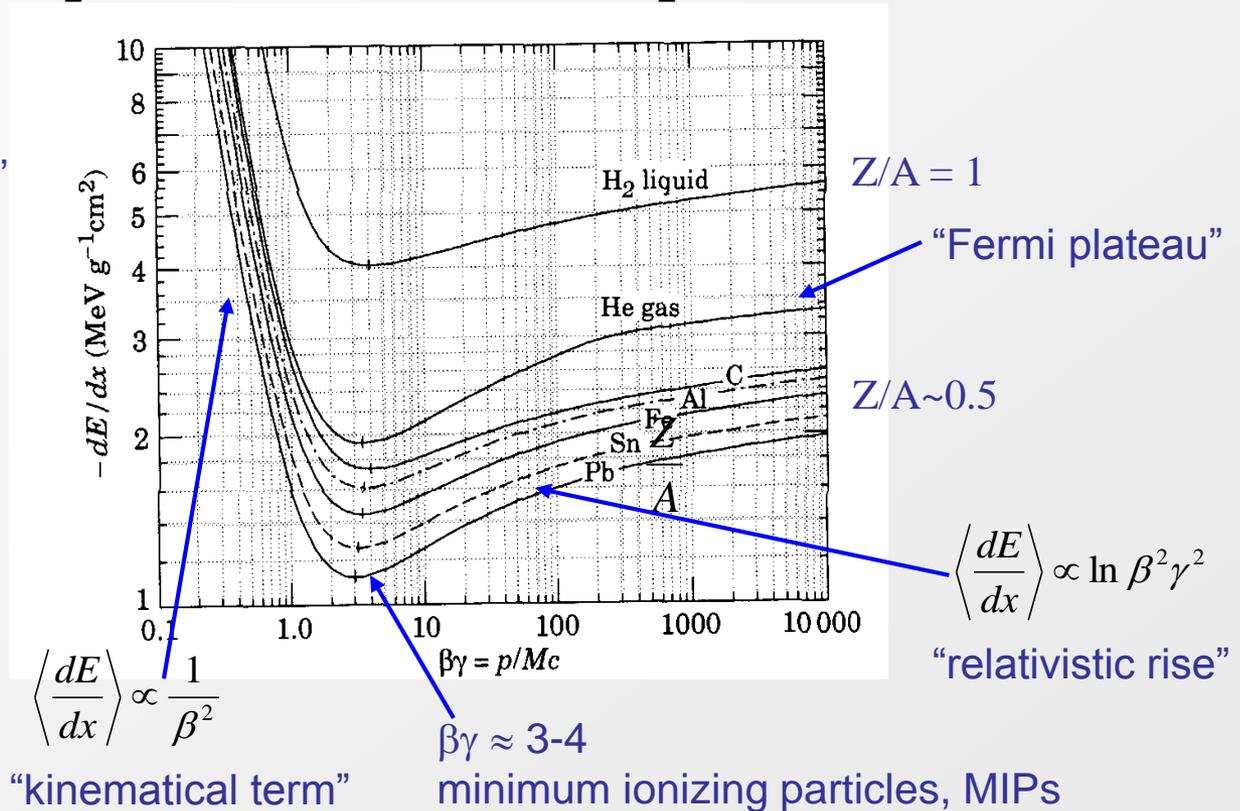
$$\left\langle \frac{dE}{dx} \right\rangle = -4\pi N_A r_e^2 m_e c^2 z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \gamma^2 \beta^2}{I^2} T^{\max} - \beta^2 - \frac{\delta}{2} \right]$$

# Interaction of charged particles

Energy loss by Ionisation only → Bethe - Bloch formula

$$\left\langle \frac{dE}{dx} \right\rangle = -4\pi N_A r_e^2 m_e c^2 z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \gamma^2 \beta^2}{I^2} T^{\max} - \beta^2 - \frac{\delta}{2} \right]$$

- $dE/dx$  in  $[\text{MeV g}^{-1} \text{cm}^2]$
- Strictly valid for “heavy” particles ( $m \geq m_\mu$ ) only. For electrons, use Berger Seltzer formula
- $dE/dx$  depends only on  $\beta$ , independent of  $m$  !
- First approximation: medium simply characterized by  $Z/A \sim$  electron density



Useful link: <http://www.nist.gov/pml/data/star/>

# Interaction of charged particles

Bethe - Bloch formula cont'd

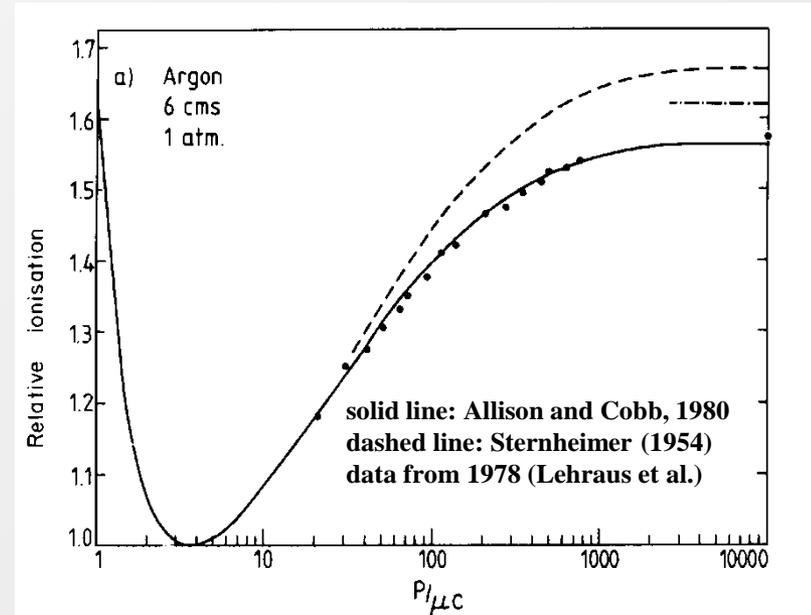
$$\left\langle \frac{dE}{dx} \right\rangle = -4\pi N_A r_e^2 m_e c^2 z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \gamma^2 \beta^2}{I^2} T^{\max} - \beta^2 - \frac{\delta}{2} \right]$$

- relativistic rise -  $\ln \gamma^2$  term - attributed to relativistic expansion of transverse E-field  $\rightarrow$  contributions from more distant collisions.
- relativistic rise cancelled at high  $\gamma$  by “density effect”, polarization of medium screens more distant atoms. Parameterized by  $\delta$  (material dependent)  $\rightarrow$  Fermi plateau
- Formula takes into account energy transfers

$$I \leq dE \leq T^{\max} \quad I \approx I_0 Z \quad \text{with } I_0 = 10 \text{ eV}$$

$I$ : mean ionization potential, measured (fitted) for each element.

Measured and calculated  $dE/dx$



# Interaction of charged particles

Real detector (limited granularity) can not measure  $\langle dE/dx \rangle$  !

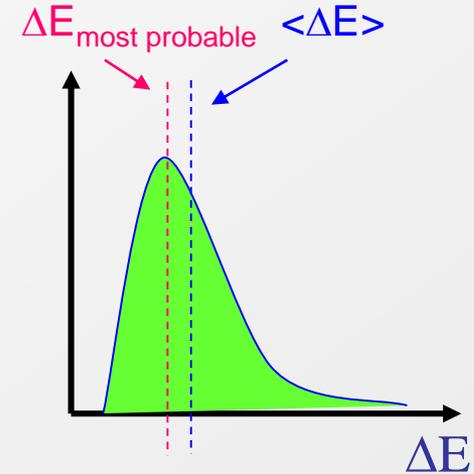
It measures the energy  $\Delta E$  deposited in a layer of finite thickness  $\delta x$ .

## For thin layers or low density materials:

→ Few collisions, some with high energy transfer.



→ Energy loss distributions show large fluctuations towards high losses: "Landau tails"

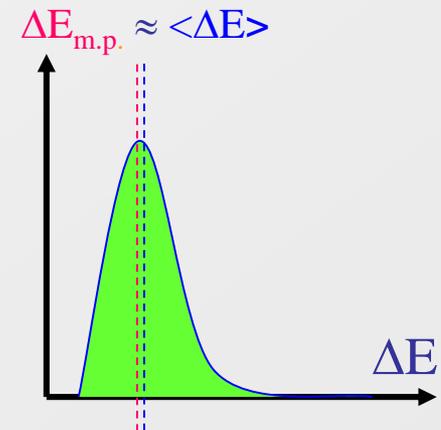
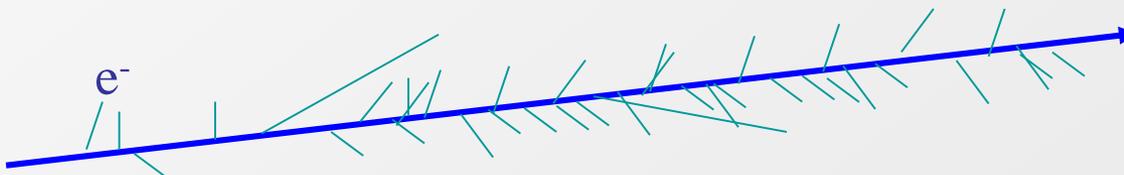


Example: Si sensor: 300  $\mu\text{m}$  thick.  $\Delta E_{\text{most probable}} \sim 82 \text{ keV}$      $\langle \Delta E \rangle \sim 115 \text{ keV}$

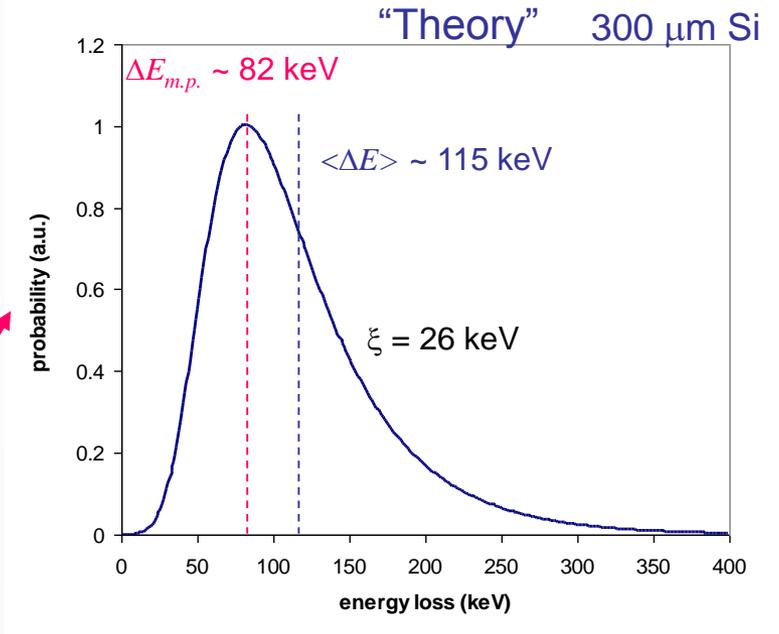
## For thick layers and high density materials:

→ Many collisions.

→ Central Limit Theorem → Gaussian shaped distributions.



# Interaction of charged particles

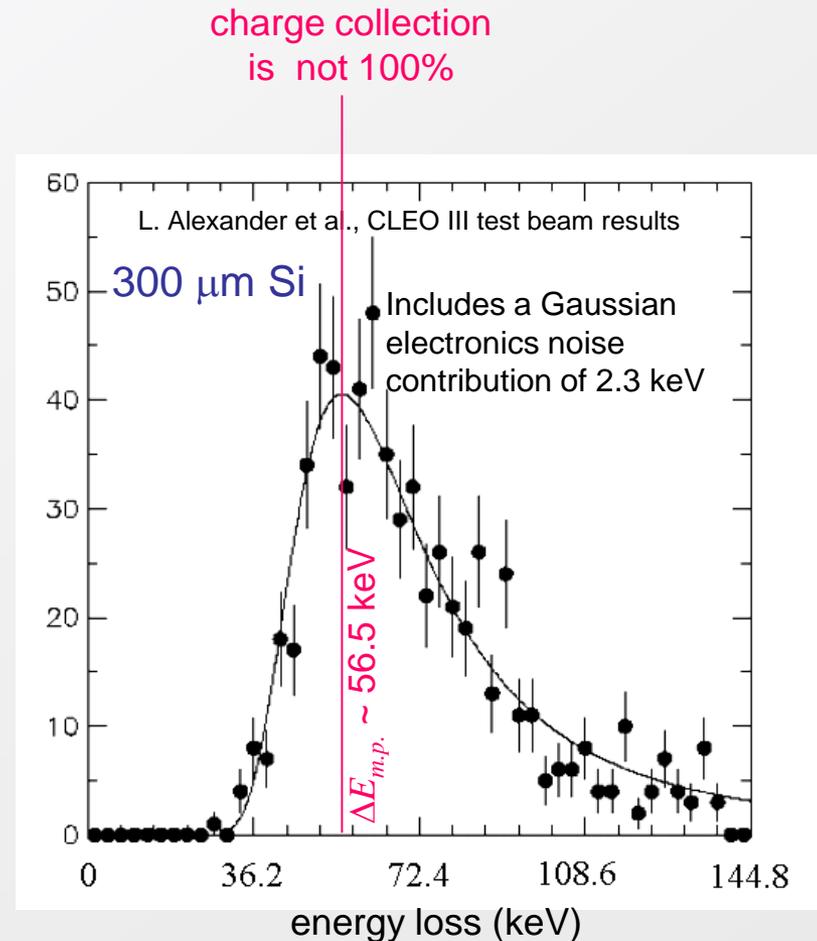


Landau's theory J. Phys (USSR) 8, 201 (1944)

$$f(x, \Delta E) = \frac{1}{\xi} \Omega(\lambda) \quad \Omega(\lambda) \approx \frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{1}{2}(\lambda + e^{-\lambda})\right\}$$

$$\lambda = \frac{\Delta E - \Delta E_{m.p.}}{\xi}$$

$$\xi = \frac{2\pi N e^4 Z}{m_e v^2 A} x \quad \leftarrow x \text{ (300 } \mu\text{m Si)} = 69 \text{ mg/cm}^2$$



# ... more interactions of charged particles

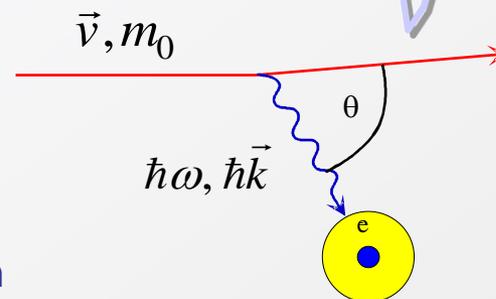
In addition to ionisation there are other ways of energy loss !

A photon in a medium has to follow the dispersion relation

$$\omega = 2\pi f = 2\pi \frac{c/n}{\lambda} = k \frac{c}{n} \quad \omega^2 - \frac{k^2 c^2}{\epsilon} = 0 \quad \epsilon = n^2$$

Assuming soft collisions + energy and momentum conservation

$$E = E' + \hbar\omega \quad \vec{p} = \vec{p}' + \hbar\vec{k}$$



→ emission of real photons:

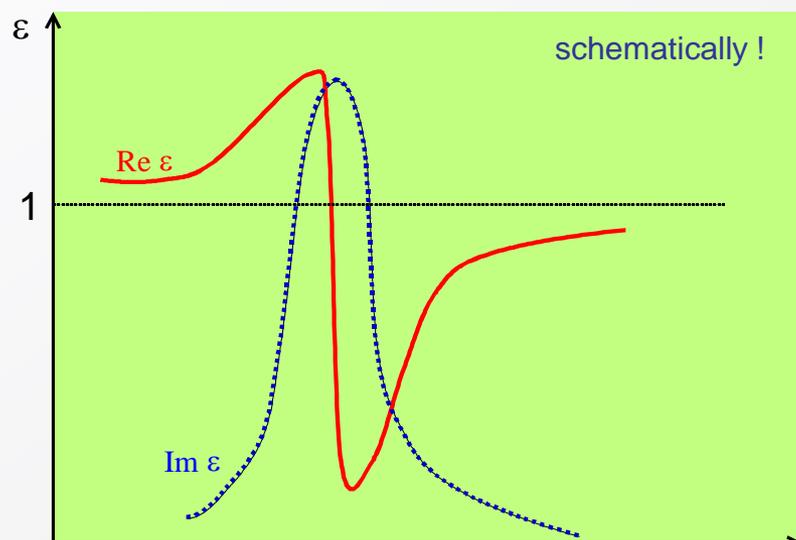
$$\omega \cong \vec{v} \cdot \vec{k} = v \cdot k \cos \theta$$

$$\rightarrow \cos \theta = \frac{\omega}{vk} = \frac{kc}{n} \cdot \frac{1}{vk} = \frac{1}{n\beta}$$

Emission of photons if

$$\beta = \frac{1}{n \cdot \cos \theta} \quad \beta \geq 1/n \quad v \geq c/n$$

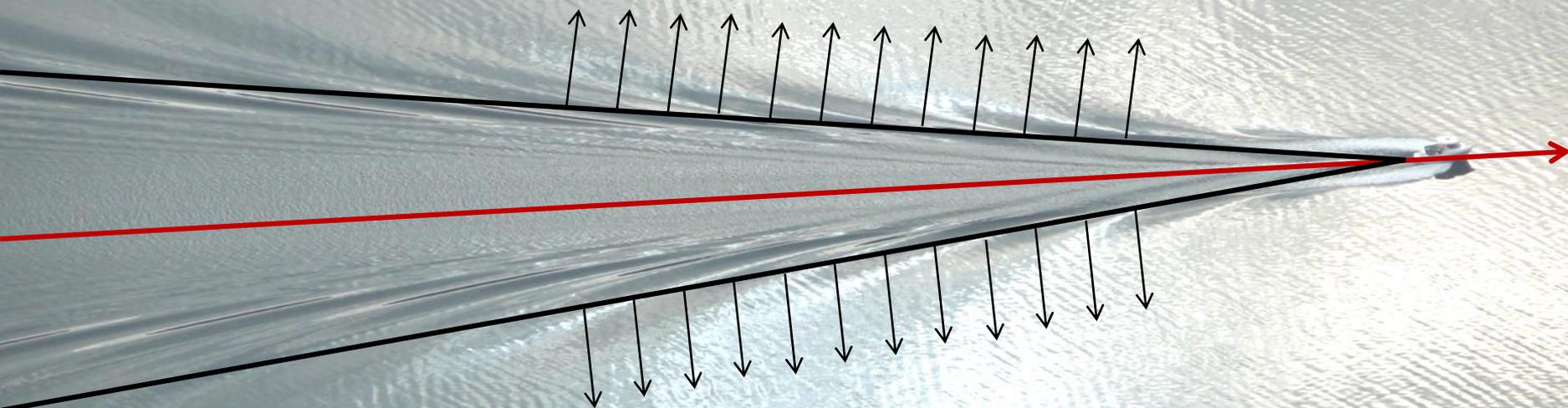
A particle emits **real photons** in a dielectric medium if its speed  $v = \beta \cdot c$  is greater than the speed of light in the medium  $c/n$



regime:	optical	absorptive	X-ray
effect:	Cherenkov radiation	ionisation	transition radiation

A charged particle, moving through a medium at a speed which is greater than the speed of light in the medium, produces Cherenkov light.

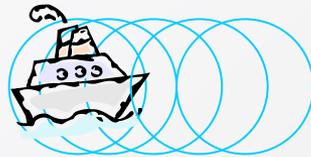
Classical analogue: fast boat on water



- A stationary boat bobbing up and down on a lake, producing waves

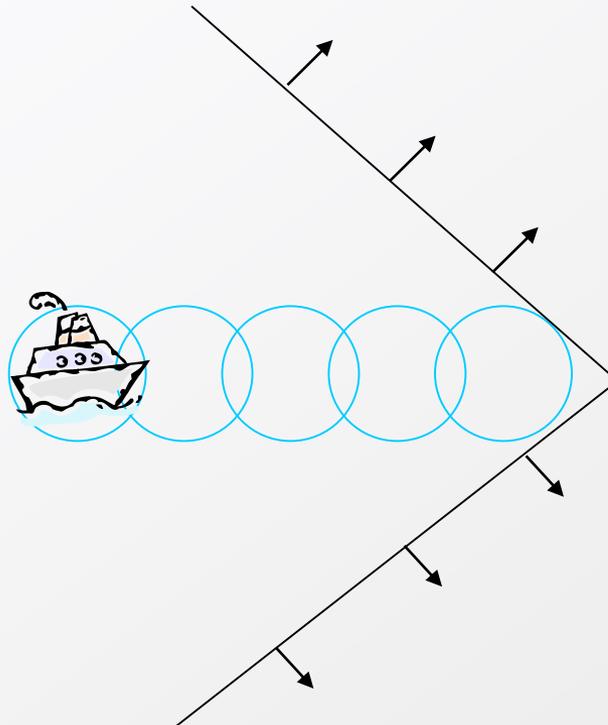


- Now the boat starts to move, but slower than the waves



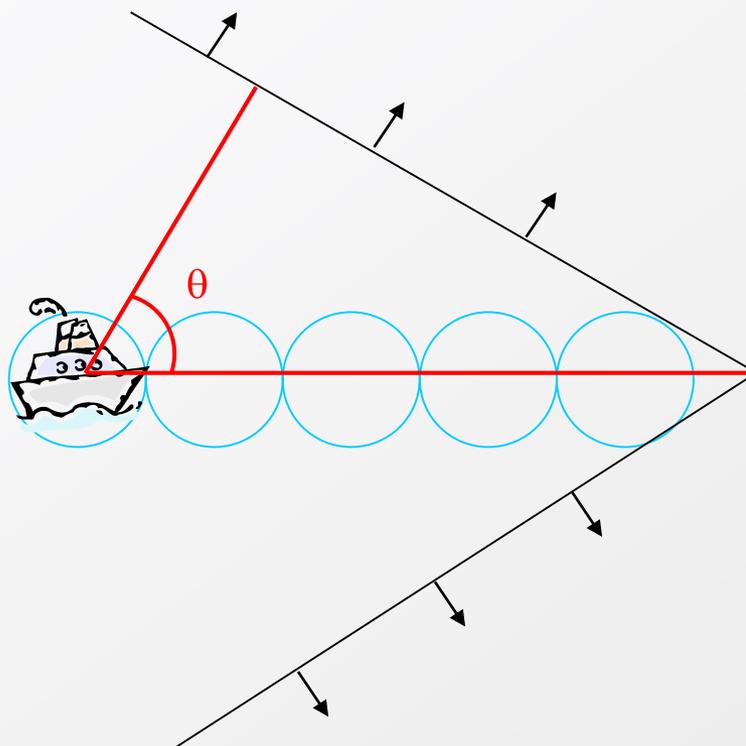
No coherent wavefront is formed

- Next the boat moves faster than the waves



A coherent wavefront is formed

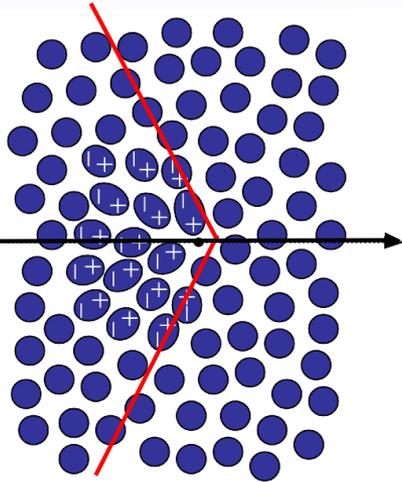
- Finally the boat moves even faster



The angle of the coherent wavefront changes with the speed

$$\cos \theta = v_{\text{wave}} / v_{\text{boat}}$$

# ... back to Cherenkov radiation



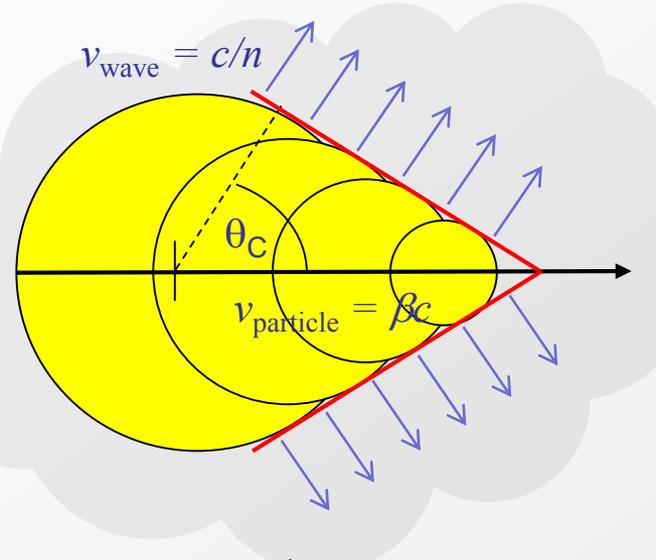
The dielectric medium is polarized by the passing particle.

↓  
"the radiator"

A coherent wave front forms if

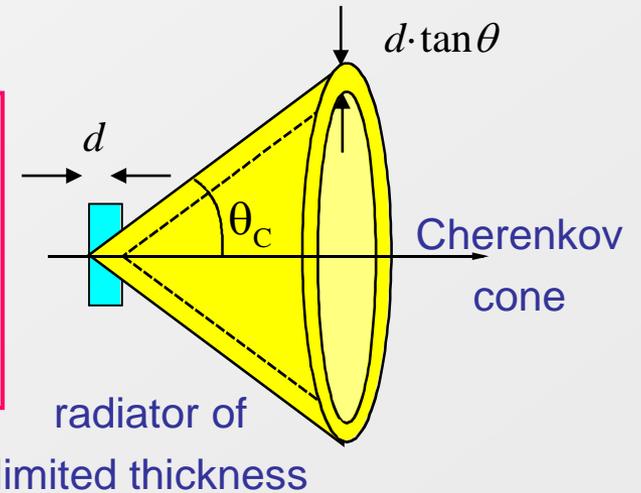
$$v_{\text{particle}} \geq c_{\text{medium}} = \frac{c}{n}$$

$$\beta_{\text{particle}} \geq \frac{1}{n} \quad (n = \text{refr. index})$$



$$\cos \theta_C = \frac{v_{\text{wave}}}{v_{\text{particle}}} = \frac{1}{n\beta}$$

with  $n = n(\lambda) \geq 1$



■  $\beta_{\text{thr}} = \frac{1}{n} \rightarrow \theta_C \approx 0$

Cherenkov threshold

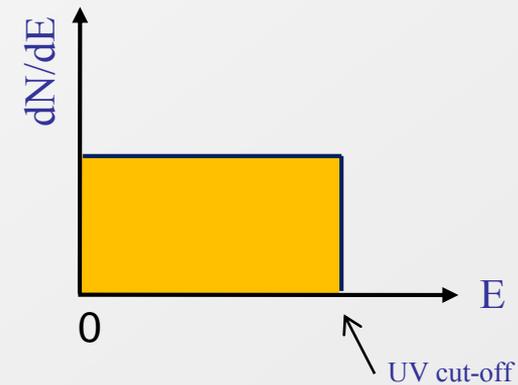
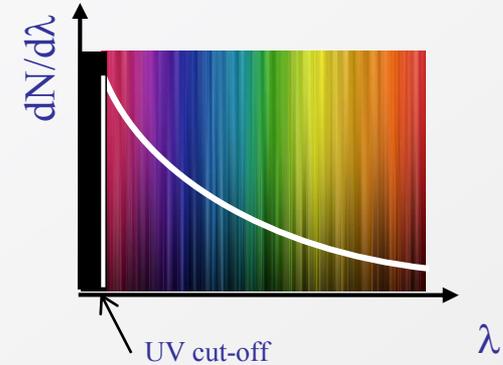
■  $\theta_{\text{max}} = \arccos \frac{1}{n}$  'saturated' angle ( $\beta=1$ )

## Number of emitted photons per unit length and unit wavelength/energy interval

$$\frac{d^2 N}{dx d\lambda} = \frac{2\pi z^2 \alpha}{\lambda^2} \left( 1 - \frac{1}{\beta^2 n^2} \right) = \frac{2\pi z^2 \alpha}{\lambda^2} \sin^2 \theta_C$$

$$\frac{d^2 N}{dx d\lambda} \propto \frac{1}{\lambda^2} \quad \text{with} \quad \lambda = \frac{c}{f} = \frac{hc}{E} \quad \frac{d^2 N}{dx dE} = \text{const.}$$

$$\frac{dN}{dx} = 370/\text{cm} \sin^2 \theta \cdot \Delta E_{\text{detector}}$$

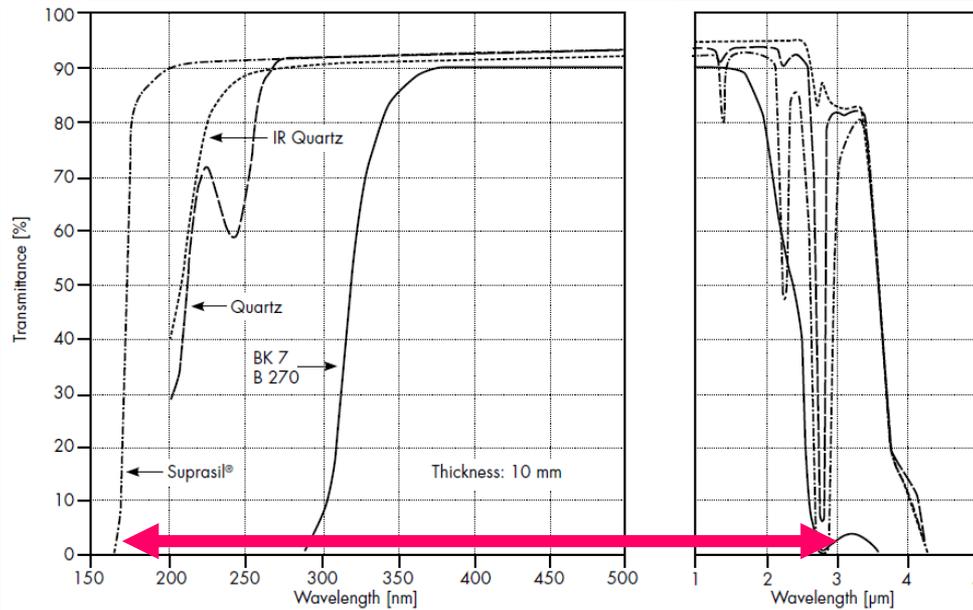


Cherenkov effect is a weak light source. There are only few photons produced.

$$\left. \frac{dE}{dx} \right|_{\text{Cherenkov}} < \approx 1 \text{ keV/cm} \approx 0.001 \cdot \left. \frac{dE}{dx} \right|_{\text{Ionization}}$$

Estimate the energy loss by Cherenkov radiation in quartz

$$\frac{dN_\gamma}{dx} = \frac{\alpha}{\hbar c} \sin^2 \theta \cdot \Delta E = \frac{370}{\text{eV} \cdot \text{cm}} \sin^2 \theta \cdot \Delta E$$



$$n_{\text{quartz}} \approx 1.46$$

$$\rightarrow \sin^2 \theta = 1 - \cos^2 \theta = 1 - 1/n^2 = 0.53$$

$$\lambda_{\text{min}} = 160 \text{ nm} \rightarrow E_{\text{max}} \approx 1234 / \lambda \approx 7.7 \text{ eV}$$

$$\lambda_{\text{max}} \approx 3 \mu\text{m} = 3000 \text{ nm} \rightarrow E_{\text{min}} \approx 0$$

$$\Delta E = 7.7 \text{ eV} \quad \langle E_\gamma \rangle = \Delta E / 2 = 3.85 \text{ eV}$$

$$\frac{dN_\gamma}{dx} = 370 \cdot 0.53 \cdot 7.7 = 1509 \text{ photons/cm}$$

$$\left. \frac{dE}{dx} \right|_{\text{Cherenkov}} = 1509 \cdot \langle E_\gamma \rangle = 5.8 \text{ keV/cm} \quad \longleftrightarrow \quad \left. \frac{dE}{dx} \right|_{\text{ionization}} \approx \rho_{\text{quartz}} \cdot 2 \text{ MeV/g} \cdot \text{cm}^2 \approx 5 \text{ MeV/cm}$$

Cherenkov effect is a weak but very useful light source.

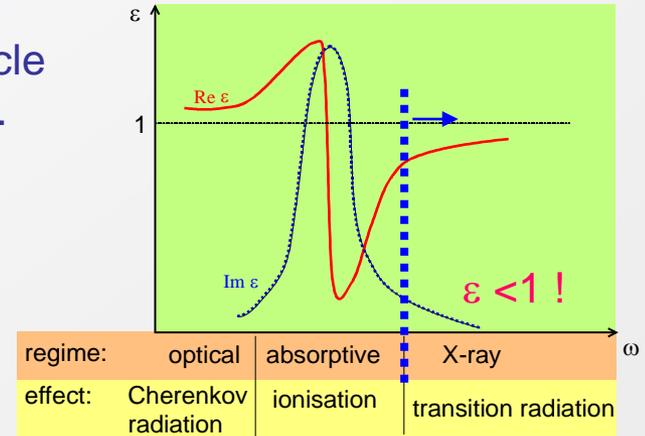
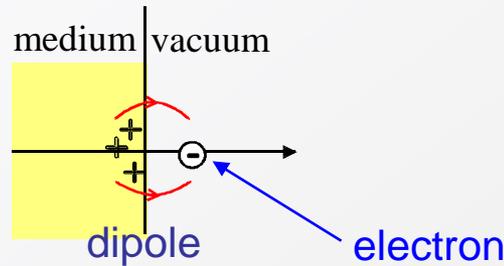
Transition Radiation was predicted by Ginzburg and Franck in 1946

Relativistic theory: G. Garibian, Sov. Phys. JETP63 (1958) 1079

TR is electromagnetic radiation emitted when a charged particle traverses a medium with a discontinuous refractive index, e.g. the boundaries between vacuum and a dielectric layer.

TR is also called **sub-threshold Cherenkov radiation**

A (too) simple picture



Medium gets polarized. Electron density displaced from its equilibrium  
 → Dipole, varying in time → radiation of energy.

Radiated energy per medium/vacuum boundary:

$$W = \frac{1}{3} \alpha \hbar \omega_p \gamma \quad \omega_p = \sqrt{\frac{N_e e^2}{\epsilon_0 m_e}} \quad \left( \begin{array}{l} \text{plasma} \\ \text{frequency} \end{array} \right) \quad \hbar \omega_p \approx 20\text{eV} \quad (\text{plastic radiators})$$

# Transition Radiation

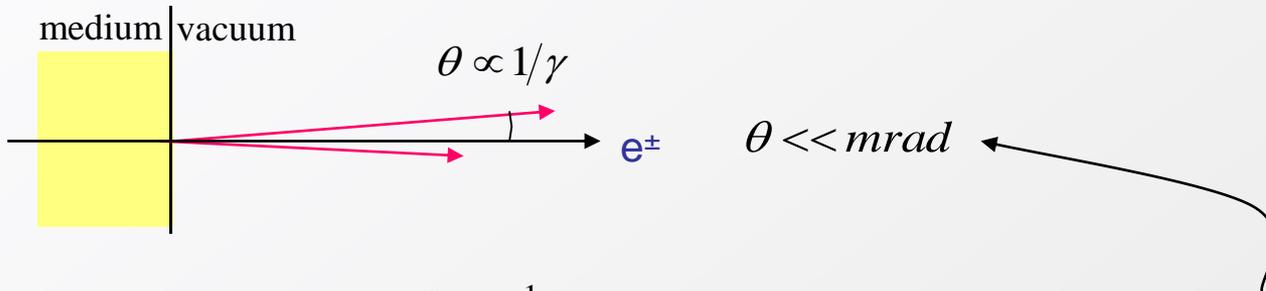
Radiated energy per medium/vacuum boundary:

$$W = \frac{1}{3} \alpha \hbar \omega_p \gamma$$

$$W \propto \gamma$$

only high energetic  $e^\pm$  emit TR of detectable intensity.  
 → particle ID

- Lorentz transformation makes the dipole radiation extremely forward peaked → TR photons stay close to particle track



- Typical photon energy:  $\hbar\omega \approx \frac{1}{4} \hbar\omega_p \gamma$        $e^\pm$  at  $E = 10 \text{ GeV}$ ; →  $\gamma \sim 2 \cdot 10^4$

$$E_\gamma \sim 0.25 \cdot 20 \text{ eV} \cdot 2 \cdot 10^4 \sim 10 \text{ keV} \rightarrow \text{X-rays}$$

- Photons / boundary:  $N_{ph} \approx \frac{W}{\hbar\omega} \propto \alpha \approx \frac{1}{137}$

- Energy loss by TR  $\left. \frac{dE}{dx} \right|^{TR} = N_{ph} \cdot E_\gamma = \alpha \cdot 10 \text{ keV} \approx 100 \text{ eV/boundary}$

(TR detectors have many boundaries!)

Energy deposition in detectors happens in small discrete and independent steps.

Even in the case of a well defined and constant amount of energy deposited in a detector, the achievable resolution in terms of energy, spatial coordinates or time is constrained by the statistical fluctuations in the number of charge carriers (electron-hole pairs, electron-ion pairs, scintillation photons) produced in the detector.

In most cases, the number of charge carriers  $n_c$  is well described by a **Poisson distribution** with mean  $\mu = \langle n_c \rangle$

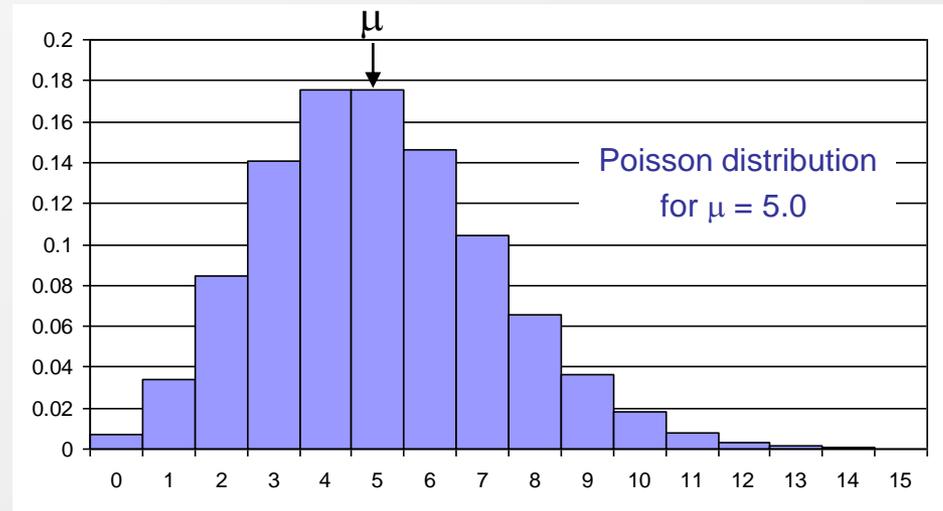
$$P(n_c, \mu) = \frac{\mu^{n_c} e^{-\mu}}{n_c!}$$

$$P(n_c, \mu)$$

The variance of the Poisson distribution is equal to its mean value.

$$\sigma_{n_c}^2 = \langle n_c^2 \rangle - \langle n_c \rangle = \langle n_c \rangle = \mu$$

$$\sigma_{n_c} = \sqrt{\mu} \quad \text{standard deviation}$$



$n_c$

For large  $\mu$  values, (e.g.  $\mu > 10$ ) the Poisson distribution becomes reasonably well approximated by the symmetric and continuous **Gauss distribution**.

$$G(n_c, \mu) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(n_c - \mu)^2}{2\sigma^2}\right)$$

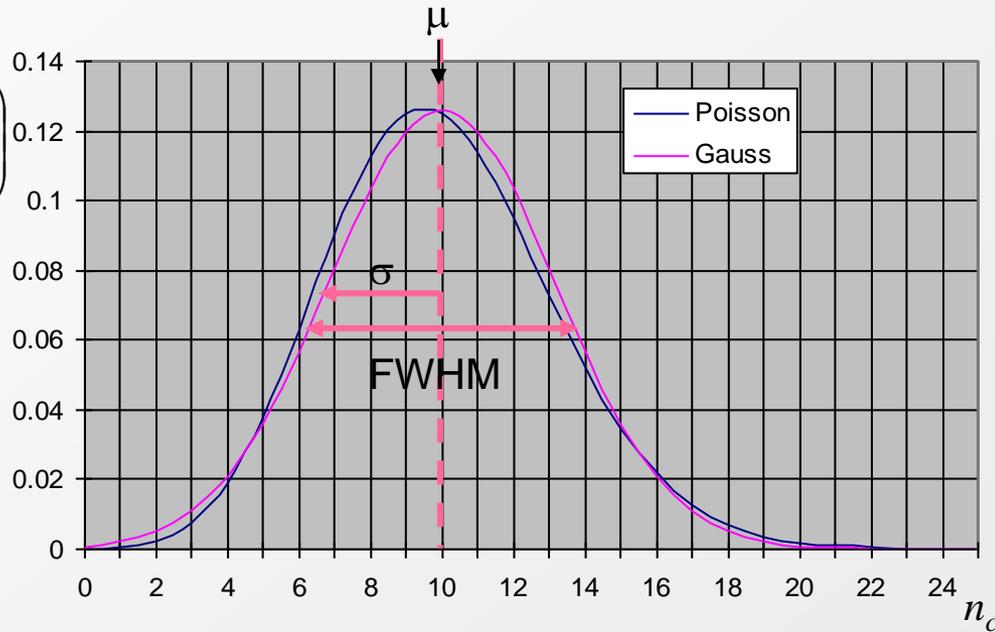
with  $\sigma = \sqrt{\mu}$

Often used to characterize detector resolution: FWHM

$$\exp\left(-\frac{(n_c - \mu)^2}{2\sigma^2}\right) \equiv \frac{1}{2}$$

$$\rightarrow n_c - \mu = \sqrt{-2 \ln 0.5} \cdot \sigma$$

$$\text{FWHM} = 2(n_c - \mu) = 2.35 \cdot \sigma$$



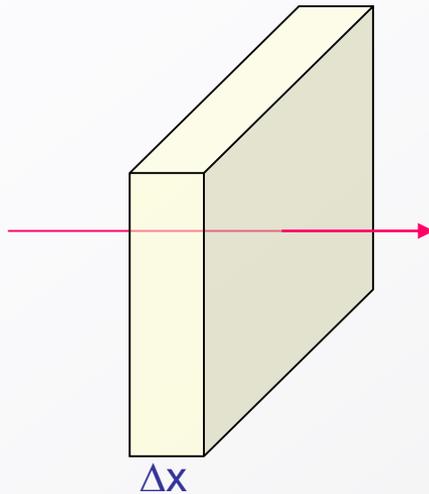
The energy resolution of many detectors is found to scale like

$$\sigma_E \propto \sqrt{\langle n_c \rangle} = \sqrt{\mu} \quad \rightarrow \quad \frac{\sigma_E}{E} \propto \frac{\sqrt{\mu}}{\mu} = \frac{1}{\sqrt{\mu}}$$

Often, also time and spatial resolution improve with increasing  $\langle n_c \rangle$

$$\frac{\sigma_{x,t}}{x,t} \propto \frac{1}{\sqrt{\mu}}$$

## Case 1: particle traversing the detector



The measured energy deposition  $E$  is derived from the number of charge carriers (e.g. e-h or e-ion pairs)

$$\langle n_c \rangle = \mu = a \cdot \frac{dE}{dx} \Delta x \quad \frac{\sigma_E}{E} \approx \frac{\sqrt{\mu}}{\mu} = \frac{1}{\sqrt{\mu}} \quad (\text{Poisson limit})$$

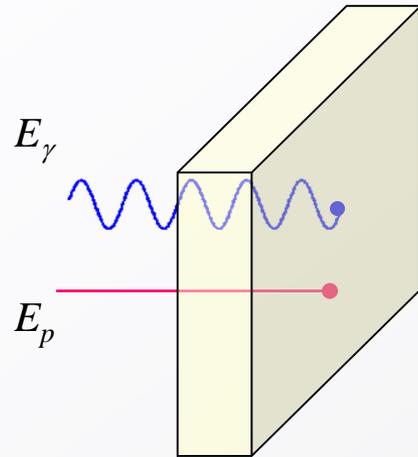
**! We ignore here many effects which, in a real detector, will degrade the resolution beyond the Poisson limit !**

$$\frac{\sigma_E}{E} \geq \frac{1}{\sqrt{\mu}}$$

In particular, depending on the thickness  $\Delta x$  and density, there may also be strong Landau tails.

Case 2: Particle stops in the detector, or (x-ray) photon is fully absorbed

→ the energy deposition in the detector is fixed (but may vary from event to event).



$$\langle n_c \rangle = \mu = a \cdot E_{p,\gamma} \quad \frac{\sigma_E}{E} = \frac{\sqrt{F}}{\sqrt{\mu}} \quad F = \text{Fano factor}$$

Ugo Fano,  
Phys. Rev. 72 (1947),  
26–29



(1912 - 2001)

For a formal derivation: See e.g. book by C. Grupen, pages 15-18.

For a physics motivated derivation: See e.g. H. Spieler, Heidelberg Lecture notes, ch. II, p25...

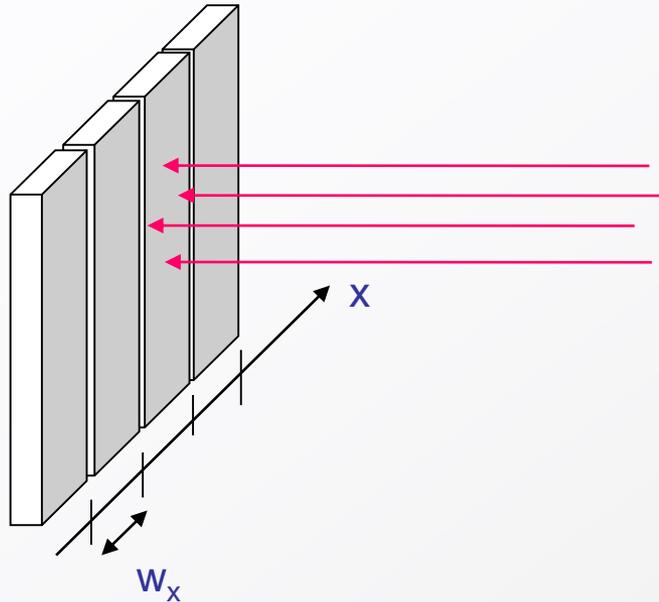
The fluctuation in the number of produced charge carriers  $n_c$  is constrained by energy conservation. The discrete steps are no longer fully independent. Their fluctuation can be smaller than the Poisson limit, i.e.  $F < 1$ .

$$F_{\text{Si}} = 0.12,$$

$$F_{\text{diamond}} = 0.08.$$

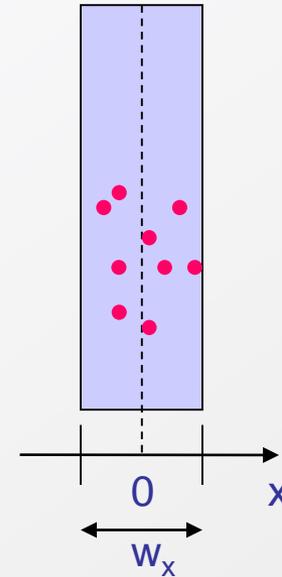
The detector resolution is (can be?) improved by a factor  $\sqrt{F}$

## Resolution of a discrete detector



Assume a detector consisting of strips of width  $w_x$ , exposed to a beam of particles. The detector produces a (binary) signal if one of the strips was hit.

What is its resolution  $\sigma_x$  for the measurement of the x-coordinate?



Consider one strip only (for simplicity at  $x = x_d = 0$ ):

For every recorded hit, we know that the strip at  $x = 0$  was hit, i.e. the particle was in the interval

$$-\frac{w_x}{2} \leq x \leq \frac{w_x}{2}$$

$$\Delta x = (x - x_d) = (x - 0) = x$$

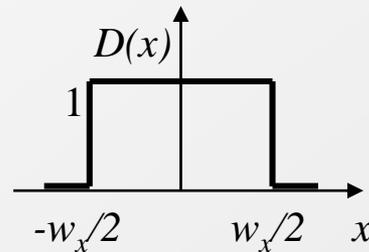
Resolution of a discrete detector (cont.)

$$\sigma_x^2 = \langle (x-0)^2 \rangle = \frac{\sum_i (x-0)^2}{\sum_i 1} \rightarrow \sigma_x^2 = \frac{\int_{-w_x/2}^{w_x/2} (x-0)^2 \cdot dx}{\int_{-w_x/2}^{w_x/2} dx} = \frac{\frac{1}{3} x^3 \Big|_{-w_x/2}^{w_x/2}}{w_x} = \frac{w_x^2}{12}$$

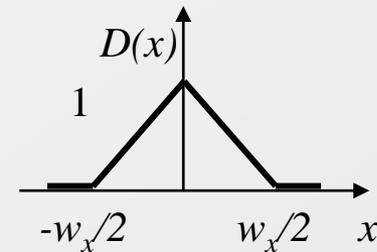
$$\sigma_x = \frac{w_x}{\sqrt{12}}$$

We have tacitly assumed that the particles are uniformly distributed over the strip width. More generally, a distribution function  $D(x)$  needs to be taken into account

$$\sigma_x^2 = \frac{\int_{-w_x/2}^{w_x/2} (x-0)^2 D(x) \cdot dx}{\int_{-w_x/2}^{w_x/2} D(x) \cdot dx}$$

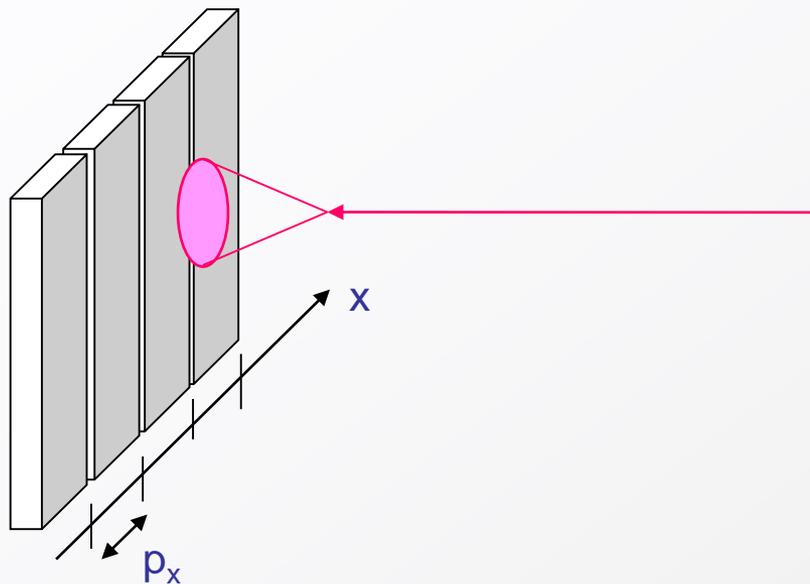


$$\sigma_x = \frac{w_x}{\sqrt{12}}$$



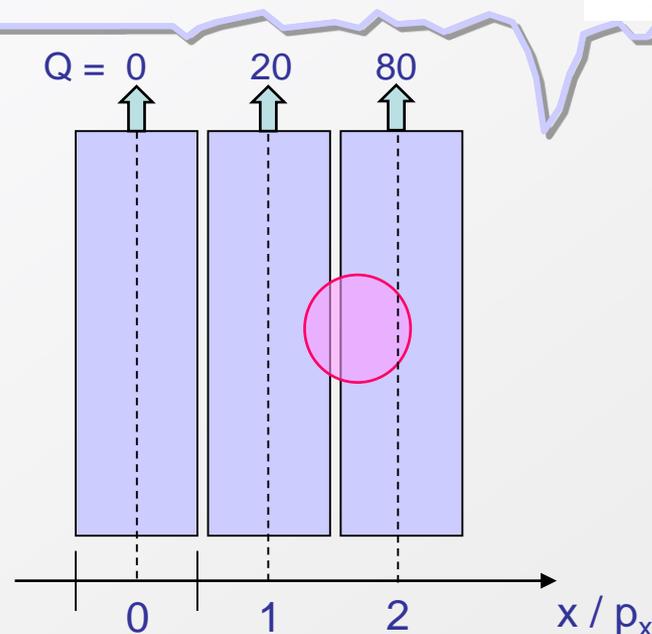
$$\sigma_x = \frac{w_x}{\sqrt{24}}$$

## Resolution of an analog detector



Assume a detector where the charge distribution is larger than its pitch  $p_x$ . Every hit cell produces an (analog) signal corresponding to the deposited charge.

What is its resolution  $\sigma_x$  for the measurement of the x-coordinate?



### Centroid calculation

$$x = \frac{1}{Q_{tot}} \sum Q_i \cdot x_i$$

$$x = \frac{1}{100} (0 \cdot 0 + 20 \cdot 1 + 80 \cdot 2) = 1.8$$

$$\sigma_x \propto \frac{ENC}{Q_{tot}} p_x \quad (\text{Equivalent noise charge})$$

Depending on charge and noise, the resolution can be much better than in the discrete case.





Back-up